

Usage Guideline

Corporate Action Movement Preliminary - Advice seev.035.001.17

DTCC - US - CA - Payment Processing - SR 2026

This document describes a usage guideline restricting the base message **MX seev.035.001.17**. You can also consult [this information online](#).

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Table of Contents

Message Functionality	3
1 Restriction summary	6
2 Structure	10
3 ISO 20022 Rules	15
4 Message Building Blocks	19
5 Message Components	34
6 Message Datatypes	409
Legal Notices	556

Message Functionality

Overview

Used to pre advice of the upcoming payment. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance.

Collection Description

Outline

The DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Movement Preliminary Advice seev.035.001.17 message is composed of 27 building blocks

- a. **Pagination**
Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.
- b. **MovementPreliminaryAdviceGeneralInformation**
General information about the movement preliminary advice document.
- c. **PreviousMovementPreliminaryAdviceIdentification**
Identification of the previously sent movement preliminary advice document.
- d. **NotificationIdentification**
Identification of a previously sent notification document.
- e. **MovementConfirmationIdentification**
Identification of a previously sent movement confirmation document.
- f. **InstructionIdentification**
Identification of a related instruction document.
- g. **OtherDocumentIdentification**
Identification of other documents as well as the document number.
- h. **EventsLinkage**
Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.
- i. **ReversalReason**
Reason for the reversal.
- j. **CorporateActionGeneralInformation**
General information about the corporate action event.
- k. **AccountDetails**
General information about the safekeeping account, owner and account balance.
- l. **CorporateActionDetails**
Information about the corporate action event.
- m. **CorporateActionMovementDetails**

Information about the corporate action option.

n. AdditionalInformation

Provides additional information.

o. IssuerAgent

Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

p. PayingAgent

Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

q. SubPayingAgent

Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

r. Registrar

Party/agent responsible for maintaining the register of a security.

s. ResellingAgent

Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

t. PhysicalSecuritiesAgent

Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

u. DropAgent

Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

v. SolicitationAgent

Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

w. InformationAgent

Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

x. Issuer

Issuer of the financial instrument.

y. Offeror

Party making the offer and which is different from the issuing company.

z. TransferAgent

Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

aa. SupplementaryData

Additional information that can not be captured in the structured fields and/or any other specific block.

1 Restriction summary

This Usage Guideline restricts the seev.035.001.17 message.







Restriction Type	See page
Removed elements	20, 21, 22, 22, 26, 27, 27, 28, 28, 29, 29, 30, 30, 31, 31, 32, 36, 39, 40, 53, 53, 53, 53, 53, 53, 53, 53, 53, 53, 53, 54, 54, 54, 55, 55, 55, 55, 55, 56, 56, 56, 56, 57, 57, 57, 60, 61, 63, 64, 64, 65, 65, 66, 66, 69, 75, 77, 80, 81, 81, 81, 82, 82, 83, 83, 83, 84, 84, 84, 85, 85, 85, 86, 86, 86, 87, 87, 87, 88, 88, 89, 90, 90, 91, 91, 92, 92, 92, 93, 93, 94, 94, 95, 95, 95, 98, 103, 104, 105, 105, 106, 115, 116, 119, 122, 124, 126, 130, 132, 132, 133, 133, 134, 134, 134, 135, 143, 143, 144, 144, 145, 145, 145, 146, 147, 147, 147, 147, 148, 149, 149, 150, 151, 154, 156, 157, 158, 158, 163, 165, 165, 166, 166, 167, 167, 168, 168, 176, 177, 178, 178, 178, 178, 178, 182, 182, 188, 189, 189, 196, 196, 197, 197, 198, 198, 198, 198, 199, 199, 199, 200, 200, 200, 201, 201, 202, 202, 203, 203, 204, 204, 207, 207, 208, 208, 209, 209, 209, 210, 210, 210, 211, 211, 212, 212, 213, 213, 214, 214, 215, 215, 216, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 231, 242, 242, 268, 268, 273, 273, 275, 277, 279, 279, 279, 279, 280, 280, 280, 280, 280, 280, 280, 280, 283, 283, 292, 292, 303, 306, 306, 307, 308, 309, 309, 310, 320, 320, 320, 320, 320, 320, 320, 320, 320, 321, 322, 323, 324, 330, 331, 332, 333, 333, 334, 334, 335, 336, 337, 337, 338, 338, 344, 345, 345, 347, 351, 355, 358, 365, 371, 372, 372, 373, 374, 374, 375, 375, 381, 382, 383, 383, 384, 385, 385, 386, 386, 388, 393, 394, 395, 395, 403, 404, 405, 406, 411, 413, 413, 414, 417, 417, 418, 418, 418, 418, 419, 419, 426, 426, 426, 427, 431, 432, 433, 434, 434, 435, 438, 440, 443, 443, 448, 448, 450, 451, 453, 453, 454, 454, 454, 456, 458, 468, 469, 469, 475, 475, 475, 477, 482, 482, 482, 482, 483, 486, 486, 496, 496, 496, 496, 497, 497, 498, 498, 499, 499, 499, 500, 511, 511, 511, 512, 512, 512, 512, 513, 513, 513, 514, 514, 514, 515, 515, 523, 524, 525, 541, 541, 546, 554, 554
Make mandatory	n.a.
Reduce Multiplicity	39, 101, 102, 103, 397














Restriction Type	See page
Ignore	n.a.
Text rules	19, 21, 23, 32, 41, 41, 42, 42, 50, 51, 51, 51, 78, 79, 79, 80, 97, 98, 99, 100, 100, 101, 102, 103, 125, 126, 127, 127, 131, 142, 145, 222, 222, 222, 222, 222, 223, 223, 223, 223, 223, 223, 229, 229, 229, 229, 230, 230, 230, 230, 230, 230, 230, 270, 270, 270, 291, 291, 325, 325, 326, 326, 335, 381, 397, 400, 400, 400, 400, 400, 400, 412, 412, 417, 427, 439, 447, 456, 466, 466, 467, 473, 476, 484, 484, 485, 485, 487, 487, 495, 497, 498, 513, 535, 536, 536, 536, 542, 543
Conditional rules	42
Formal rules	n.a.
Fixed values	n.a.
Truncates	n.a.
Comments	35, 49, 104, 107, 108, 108, 115, 116, 125, 125, 127, 128, 142, 142, 153, 157, 161, 162, 187, 195, 206, 330, 331, 335, 339, 384, 393, 394, 398, 427, 428, 429, 430, 431, 432, 432, 433, 434, 435, 436, 436, 437, 438, 439, 440, 441, 441, 442, 443, 444, 444, 445, 445, 446, 446, 449, 450, 450, 451, 452, 452, 453, 455, 455, 456, 457, 457, 458, 469, 470, 470, 471, 472, 472, 473, 473, 474, 474, 475, 476, 476, 477, 478, 479
Annotations	23, 32, 35, 63, 73, 74, 89, 90, 97, 100, 103, 106, 108, 109, 115, 117, 118, 131, 195, 206, 209, 380, 381, 384, 393
Changed datatypes	35, 36, 41, 41, 41, 41, 42, 42, 42, 42, 43, 43, 43, 43, 44, 45, 45, 45, 45, 45, 45, 45, 45, 46, 46, 46, 46, 47, 47, 48, 48, 48, 48, 49, 49, 50, 50, 50, 51, 51, 51, 61, 75, 75, 78, 78, 78, 79, 79, 80, 80, 81, 81, 82, 82, 82, 83, 83, 83, 84, 84, 84, 85, 85, 86, 86, 86, 87, 87, 88, 88, 88, 89, 89, 90, 90, 90, 91, 91, 92, 92, 92, 93, 93, 93, 94, 94, 95, 95, 95, 120, 120, 125, 125, 126, 155, 177, 188, 188, 188, 191, 191, 192, 216, 216, 217, 217, 217, 217, 217, 217, 217, 217, 217, 217, 217, 217, 217, 218, 218, 218, 218, 218, 218, 218, 218, 218, 218, 218, 218, 218, 219, 219, 219, 219, 219, 219, 219, 219, 219, 219, 219, 219, 219, 220, 220, 220, 220, 220, 220, 220, 220, 220, 220, 220, 220, 220, 221, 221, 221, 221, 221, 221, 221, 221, 221, 221, 221, 221, 221, 222,






















Restriction Type	See page
	222, 222, 222, 222, 223, 224, 224, 224, 224, 224, 224, 224, 224, 224, 224, 224, 224, 225, 225, 225, 225, 225, 225, 225, 225, 225, 225, 225, 225, 225, 226, 226, 226, 226, 226, 226, 226, 226, 226, 226, 226, 226, 226, 227, 227, 227, 227, 227, 227, 227, 227, 227, 227, 227, 227, 227, 228, 228, 228, 228, 228, 228, 228, 228, 228, 228, 228, 228, 228, 229, 229, 229, 229, 229, 229, 229, 232, 232, 232, 232, 232, 232, 232, 232, 232, 232, 232, 232, 232, 233, 233, 233, 233, 233, 233, 233, 233, 233, 233, 233, 233, 233, 234, 234, 234, 234, 234, 234, 234, 234, 234, 234, 234, 234, 234, 235, 235, 235, 235, 235, 235, 235, 235, 235, 235, 235, 235, 235, 236, 236, 236, 236, 236, 236, 236, 236, 236, 236, 236, 236, 236, 237, 237, 237, 237, 237, 237, 237, 238, 238, 238, 239, 239, 239, 240, 240, 240, 240, 240, 241, 241, 241, 241, 241, 241, 241, 241, 241, 242, 242, 242, 242, 242, 242, 242, 244, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 247, 248, 248, 248, 248, 248, 248, 248, 248, 248, 248, 248, 248, 248, 248, 249, 249, 249, 249, 249, 249, 249, 249, 249, 249, 249, 249, 249, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 250, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 251, 252, 252, 252, 252, 252, 252, 252, 252, 252, 252, 252, 252, 252, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 253, 254, 254, 254, 254, 254, 254, 254, 254, 254, 254, 254, 254, 255, 255, 255, 255, 255, 255, 255, 255, 255, 255, 255, 255, 255, 256, 256, 256, 256, 256, 256, 256, 256, 256, 256, 256, 256, 256, 257, 257, 257, 257, 257, 257, 257, 257, 257, 257, 257, 257, 258, 258, 258, 258, 258, 258, 258, 258, 258, 258, 258, 258, 258, 259, 259, 259, 259, 259, 259, 259, 259, 259, 259, 259, 259, 260, 260, 260, 260, 260, 261, 261, 261, 261, 262, 262, 262, 262, 262, 262, 262, 262, 262, 262, 262, 262, 262, 262, 263, 263, 263, 263, 263, 263, 263, 263, 263, 263, 263, 263, 263, 264, 264, 264, 264, 264, 264, 264, 264, 264, 264, 264, 264, 264, 265, 265, 266, 266, 266, 268, 270, 270, 270, 273, 273, 277, 278, 278, 278, 278, 278, 278, 278, 278, 279, 279, 279, 279, 279, 280, 282, 289, 289, 290, 290, 291, 291, 291, 308, 308, 309, 309, 313, 313, 313, 314, 314, 314, 314, 314, 314, 315, 315, 315, 315, 315, 315, 315, 315, 315, 315, 315, 315, 316, 316, 316, 316, 316, 316, 316, 316, 316, 317, 317, 317, 317, 317, 317, 317, 317, 317, 317, 318, 318, 318, 318, 318, 318, 318, 318, 318, 318, 318, 318, 318, 319, 319, 319, 319, 325, 325,


























Restriction Type	See page
	325, 325, 325, 326, 326, 326, 339, 341, 341, 341, 341, 341, 341, 342, 342, 343, 343, 343, 343, 344, 347, 347, 347, 357, 357, 359, 360, 361, 363, 364, 366, 376, 377, 398, 398, 398, 402, 402, 406, 406, 406, 406, 406, 407, 407, 407, 407, 407, 407, 407, 407, 407, 407, 408, 408
Extensions	n.a.
Synonyms	19, 35, 49, 73, 74, 78, 78, 79, 79, 79, 80, 80, 89, 89, 90, 93, 97, 98, 99, 100, 100, 101, 101, 102, 104, 106, 107, 108, 108, 109, 115, 116, 117, 118, 118, 125, 125, 127, 127, 142, 142, 146, 152, 152, 157, 161, 162, 187, 195, 195, 195, 206, 206, 206, 206, 210, 282, 292, 292, 292, 292, 293, 293, 330, 331, 335, 339, 357, 364, 384, 393, 394, 398, 398, 398, 412, 413, 413, 427, 428, 428, 428, 429, 429, 429, 430, 430, 430, 430, 431, 431, 432, 432, 433, 434, 435, 436, 436, 436, 437, 437, 437, 438, 439, 439, 440, 440, 441, 441, 441, 442, 442, 443, 444, 444, 445, 445, 445, 446, 446, 447, 447, 447, 447, 447, 448, 448, 449, 449, 450, 450, 451, 451, 452, 452, 453, 455, 455, 456, 456, 457, 457, 458, 469, 470, 470, 470, 471, 471, 471, 471, 471, 471, 471, 472, 472, 473, 473, 474, 474, 474, 475, 476, 476, 477, 477, 477, 477, 478, 478, 479, 523, 523, 524, 524

2 Structure






 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	<i>CorporateActionMovementPreliminary-AdviceV17</i>	<i><CorpActnMvmntPrimryAdv-vc></i>		[1..1]		19
	Pagination	<i><Pgntn></i>		[0..1]		19
	PageNumber	<i><PgNb></i>		[1..1]		293
	LastPageIndicator	<i><LastPgInd></i>		[1..1]		293
	MovementPreliminaryAdviceGeneralInformation	<i><MvmntPrimryAdvGnlInf></i>		[1..1]		19
	MovementPreliminaryAdviceIdentification	<i><MvmntPrimryAdvclId></i>		[0..1]		154
	Type	<i><Tp></i>		[1..1]		155
	Function	<i><Fctn></i>		[1..1]		155
	PreviousMovementPreliminaryAdviceIdentification	<i><PrvsMvmntPrimryAdvclId></i>		[0..1]		20
	Identification	<i><Id></i>		[1..1]		188
	LinkageType	<i><LkgTp></i>		[0..1]		188
	NotificationIdentification	<i><NtfctnId></i>		[0..1]		20
	Identification	<i><Id></i>		[1..1]		188
	LinkageType	<i><LkgTp></i>		[0..1]		188
	MovementConfirmationIdentification	<i><MvmntConfId></i>		[0..1]		20
	Identification	<i><Id></i>		[1..1]		188
	LinkageType	<i><LkgTp></i>		[0..1]		188
	InstructionIdentification	<i><InstrId></i>		[0..1]		21
	Identification	<i><Id></i>		[1..1]		192
	OtherDocumentIdentification	<i><OthrDocId></i>		[0..*]		21
	Identification	<i><Id></i>		[1..1]		189
	DocumentNumber	<i><DocNb></i>		[0..1]		190
	LinkageType	<i><LkgTp></i>		[0..1]		190
	EventsLinkage	<i><EvtsLkg></i>		[0..*]		22
	EventIdentification	<i><EvtId></i>		[1..1]		121
	LinkageType	<i><LkgTp></i>		[0..1]		121

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ReversalReason	<RvslRsn>		[0..1]		22
	Reason	<Rsn>		[1..1]		176
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	 	176
	CorporateActionGeneralInformation	<CorpActnGnlInf>		[1..1]		23
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		124
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		125
	ClassActionNumber	<ClsActnNb>		[0..1]	 	125
	EventProcessingType	<EvtPrcgTp>		[0..1]		126
	EventType	<EvtTp>		[1..1]		126
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]		127
	UnderlyingSecurity	<UndrlygScty>		[1..1]		128
	AccountDetails	<AcctDtls>		[1..1]		23
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]		38
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	39
	CorporateActionDetails	<CorpActnDtls>		[0..1]		24
	DateDetails	<DtDtls>		[0..1]		72
	SecuritiesQuantity	<SctiesQty>		[0..1]		72
	EventStage	<EvtStag>		[0..1]		72
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		73
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		74
	LotteryType	<LtryTp>		[0..1]		74
	CorporateActionMovementDetails	<CorpActnMvmntDtls>		[0..*]		24
	OptionNumber	<OptnNb>		[1..1]		141
	OptionType	<OptnTp>		[1..1]		142
	FractionDisposition	<FrctnDspstn>		[0..1]		142
	OfferType	<OfferTp>		[0..*]		143
	OptionFeatures	<OptnFeatrs>		[0..*]		143
	OptionAvailabilityStatus	<OptnAvlbtySts>		[0..1]		144
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		144
	NonDomicileCountry	<NonDmclCtry>		[0..*]		145
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		145

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CurrencyOption	<CcyOptn>		[0..1]		145
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStgInstr>		[1..1]		146
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		146
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		146
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		147
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		147
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		147
	SecurityIdentification	<SctyId>		[0..1]		147
	DateDetails	<DtDtls>		[0..1]		148
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		149
	PriceDetails	<PricDtls>		[0..1]		149
	SecuritiesQuantity	<SctiesQty>		[0..1]		150
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		151
	CashMovementDetails	<CshMvmntDtls>		[0..*]		152
	AdditionalInformation	<AddtlInf>		[0..1]		152
	AdditionalInformation	<AddtlInf>		[0..1]		25
	AdditionalText	<AddtlTxt>		[0..1]		130
	NarrativeVersion	<NrrtvVrsn>		[0..1]		131
	InformationConditions	<InfConds>		[0..1]		132
	InformationToComplyWith	<InfToCmplyWth>		[0..1]		132
	TaxationConditions	<TaxtnConds>		[0..1]		132
	Disclaimer	<Dsclmr>		[0..1]		133
	PartyContactNarrative	<PtyCtctNrrtv>		[0..1]		133
	RegistrationDetails	<RegnDtls>		[0..1]		134
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..1]		134
	CertificationBreakdown	<CertfctnBrkdwn>		[0..1]		135
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..1]		135
	IssuerAgent	<IssrAgt>		[0..*]		26
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LEI	<LEI>	Or	[1..1]		297
	PayingAgent	<PngAgt>		[0..*]	⊘	27
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	SubPayingAgent	<SubPngAgt>		[0..*]	⊘	27
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	Registrar	<Regar>		[0..1]	⊘	28
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	ResellingAgent	<RsellingAgt>		[0..*]	⊘	28
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	PhysicalSecuritiesAgent	<PhysScitiesAgt>		[0..1]	⊘	28
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	DropAgent	<DrpAgt>		[0..1]	⊘	29
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	SolicitationAgent	<SlctnAgt>		[0..*]	⊘	29
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or	[1..1]		294
	InformationAgent	<InfAgt>		[0..1]	⊘	30
	AnyBIC	<AnyBIC>	{Or	[1..1]		294

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294
	Issuer	<Issr>		[0..1]		30
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297
	Offeror	<Offerr>		[0..*]		31
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297
	TransferAgent	<TrfAgt>		[0..1]		31
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297
	SupplementaryData	<SplmtryData>		[0..*]		32
	PlaceAndName	<PlcAndNm>		[0..1]		403
	Envelope	<Envlp>		[1..1]		403

3 ISO 20022 Rules

R1 RateAndCorporateActionEventRule

If CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

If CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate Code value or CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate Code value is NILP (Nil Payment), then CorporateActionGeneralInformation/EventType/Code must be either DVCA (CashDividend) or INTR (InterestPayment).

Error handling: Undefined

R2 SafekeepingAccount1Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then any element in the list (MinimumNominalQuantity, MinimumExercisableQuantity, MinimumExercisableMultipleQuantity, ContractSize) must not be present in any occurrences of CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails;

(MT564 Rule C2 - part 1).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00169
- *Error Text:* Invalid message content for CorporateActionMovementDetails

R3 SafekeepingAccount2Rule ✓

If AccountDetails/ForAllAccounts/IdentificationCode value is GENR (General), then AmountDetails must not be present in any occurrences of CorporateActionMovementDetails/CashMovementDetails.

(MT564 Rule C2 - part 2).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00170
- *Error Text:* Invalid message content for CorporateActionMovementDetails

R4 OtherEventRule ✓

If CorporateActionGeneralInformation/EventType/Code is OTHR (Other), then at least one occurrence of AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C4).

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00171
- *Error Text:* Invalid message content for AdditionalInformation. AdditionalInformation/additionalText must be present.

R5 IntermediateSecuritiesDistributionRule ✓

If CorporateActionGeneralInformation/EventType/Code is RHDI (IntermediateSecuritiesDistribution), then CorporateActionDetails/IntermediateSecuritiesDistributionType must be present.

(MT 564 NVR C11).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00172
- *Error Text:* Invalid message contents for IntermediateSecuritiesDistributionType in CorporateActionDetails. Element must be present.

R6 AppliedOptionRule

If CorporateActionMovementDetails/AppliedOptionIndicator value is 'true' or '1' (Yes) in one or more occurrences of CorporateActionMovementDetails, then CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code value must be CHOS (MandatoryWithOptions) and at least one of the CorporateActionDetails/AdditionalBusinessProcessIndicator/Code values must be REAC (RequiredAction).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R7 BeneficialOwnerBreakdownRequestRule ✓

If in at least one occurrence of CorporateActionMovementDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00487
- *Error Text:* Invalid message contents for CorporateActionGeneralInformation/EventType/Code. It must be WTRC.

R8 IncentivePremiumEventType1Rule ✓

If Code value is equal to INCP (IncentivePremiumPayment) in CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00539
- *Error Text:* Invalid message contents for EventType code in CorporateActionGeneralInformation since an Incentive Premium Payment is processed.

R9 BeneficialOwnerBreakdownRequestUGRule

If in at least one occurrence of CorporateActionOptionDetails, OptionType/Code value is BOBD (BeneficialOwnerBreakdownRequest), then CorporateActionGeneralInformation/EventType/Code value must be WTRC (Withholding Tax Relief Certification).

(MT 564 NVR C23).

Error handling: Undefined

R10 IncentivePremiumEventTypeUG1Rule

If Code value is equal to INCP (IncentivePremiumPayment) in any occurrence of CorporateActionDetails/AdditionalBusinessProcessIndicator, then CorporateActionGeneralInformation/EventType/Code value must be equal to CONS (Consent).

(MT 564 NVR C26)

Error handling: Undefined

R11 ReversalReasonRule

If CorporateActionDetails/AdditionalBusinessProcessIndicator/Code value is equal to REVR (ReversalReason), then

ReversalReason must be present and MovementPreliminaryAdviceGeneralInformation/Function code value is equal to CAPA (PreadviceOfMovement).

Error handling: Undefined

R12 PreviousMovementPreliminaryAdviceIdentification1Rule ✓

If MovementPreliminaryAdviceGeneralInformation/Type is REPL (Replace) and Pagination/PageNumber is equal to value 1, then PreviousMovementPreliminaryAdviceIdentification must be present.

(MT 564 NVR C9).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00649
- *Error Text:* PreviousMovementPreliminaryAdviceIdentification is absent.

R13 PaginationRule ✓

If pagination is present, then MovementPreliminaryAdviceGeneralInformation/MovementPreliminaryAdviceIdentification must be present.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00652
- *Error Text:* MovementPreliminaryAdviceIdentification is absent.

4 Message Building Blocks

Note The following chapter identifies the building blocks of the DTCC - US - CA - Payment Processing - SR 2026 / Corporate Action Movement Preliminary Advice seev.035.001.17 message definition.

Usage Guideline on Root Node

- on seev.035.001.17/
 - **Market Practice Usage Rule:**

Used to pre advice of the upcoming payment. Separate messages will be sent for payments based on position capture date balance, stock loan balance, repurchase agreements balance (REPOs) and failed trades (Fails) balance.
- on seev.035.001.17/

Synonym (DTCC): Projected Payment







4.1 Pagination

XML Tag: Pgntn

Presence: [0..1]

Definition: Page number of the message and continuation indicator to indicate that the multi-part preliminary advice is to continue or that the message is the last page of the multi-part preliminary advice.

The **Pgntn** block contains the following elements (see datatype "[Pagination1](#)" on [page 293](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		293
	LastPageIndicator	<LastPgInd>		[1..1]		293







4.2 MovementPreliminaryAdviceGeneralInformation

XML Tag: MvmntPrlmryAdvGnlInf

Presence: [1..1]

Definition: General information about the movement preliminary advice document.

The **MvmntPrlmryAdvGnlInf** block contains the following elements (see datatype "[CorporateActionPreliminaryAdviceType4](#)" on [page 154](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MovementPreliminaryAdviceIdentification	<MvmntPrimryAdvclId>		[0..1]	⚠	154
	Type	<Tp>		[1..1]		155
	Function	<Fctn>		[1..1]		155

4.3 PreviousMovementPreliminaryAdviceIdentification

XML Tag: PrvsMvmntPrlimryAdvclId

Presence: [0..1]

Definition: Identification of the previously sent movement preliminary advice document.

The **PrvsMvmntPrlimryAdvclId** block contains the following elements (see datatype "DocumentIdentification31_1" on page 188 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	188
	LinkageType	<LkgTp>		[0..1]	ⓧ	188

4.4 NotificationIdentification

XML Tag: NtfctnId

Presence: [0..1]

Definition: Identification of a previously sent notification document.

The **NtfctnId** block contains the following elements (see datatype "DocumentIdentification31_1" on page 188 for details)

ⓧ Removed - ⓧ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	188
	LinkageType	<LkgTp>		[0..1]		188

Usage Guideline details

- This element(seev.035.001.17/NotificationIdentification) is removed.

4.5 MovementConfirmationIdentification

XML Tag: MvmntConflId

Presence: [0..1]

Definition: Identification of a previously sent movement confirmation document.

The **MvmntConflId** block contains the following elements (see datatype "[DocumentIdentification31_1](#)" on page 188 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	188
	LinkageType	<LkgTp>		[0..1]	🚫	188

Usage Guideline details

- on [seev.035.001.17/MovementConfirmationIdentification](#)

– Market Practice Usage Rule:

Used when movement confirmation (CACO) is being reversed; the CACO's 'Business Message Identifier' from the BAH is mapped here.

4.6 InstructionIdentification

XML Tag: InstrId

Presence: [0..1]

Definition: Identification of a related instruction document.

The **InstrId** block contains the following elements (see datatype "[DocumentIdentification9_1](#)" on page 191 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]	⚠	192

Usage Guideline details

- This element([seev.035.001.17/InstructionIdentification](#)) is removed.

4.7 OtherDocumentIdentification

XML Tag: OthrDocId

Presence: [0..*]

Definition: Identification of other documents as well as the document number.

The **OthrDocId** block contains the following elements (see datatype "[DocumentIdentification32_1](#)" on page 189 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		189
	DocumentNumber	<DocNb>		[0..1]		190
	LinkageType	<LkgTp>		[0..1]		190

Usage Guideline details

- This element(seev.035.001.17/OtherDocumentIdentification) is removed.

4.8 EventsLinkage

XML Tag: EvtSLkg

Presence: [0..*]

Definition: Identification of another corporate action event that needs to be closely linked to the processing of the event notified in this document.

The **EvtSLkg** block contains the following elements (see datatype "[CorporateActionEventReference3_1](#)" on page 120 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		121
	LinkageType	<LkgTp>		[0..1]		121

Usage Guideline details

- This element(seev.035.001.17/EventsLinkage) is removed.







4.9 ReversalReason



XML Tag: RvslRsn

Presence: [0..1]

Definition: Reason for the reversal.

The **RvslRsn** block contains the following elements (see datatype "[CorporateActionReversalReason8_1](#)" on page 176 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Reason	<Rsn>		[1..1]		176
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	 	176

Usage Guideline details

- on **seev.035.001.17/ReversalReason**
 - **Market Practice Implementation Rule:**
Used when sent as a reversal preadvice. ISO Code IPRI used as a default.
- on **seev.035.001.17/ReversalReason**
 - **Applicability:**
true







4.10 CorporateActionGeneralInformation








XML Tag: CorpActnGnlInf

Presence: [1..1]

Definition: General information about the corporate action event.

The **CorpActnGnlInf** block contains the following elements (see datatype "CorporateActionGeneralInformation178_1" on page 124 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		124
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		125
	ClassActionNumber	<ClssActnNb>		[0..1]	 	125
	EventProcessingType	<EvtPrcgTp>		[0..1]		126
	EventType	<EvtTp>		[1..1]		126
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]		127
	UnderlyingSecurity	<UndrlygScty>		[1..1]		128

4.11 AccountDetails

XML Tag: AcctDtls

Presence: [1..1]

Definition: General information about the safekeeping account, owner and account balance.

The **AcctDtls** block contains the following elements (see datatype "[AccountIdentification70Choice 1](#)" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	🚫	38
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	39

4.12 CorporateActionDetails

XML Tag: CorpActnDtls

Presence: [0..1]

Definition: Information about the corporate action event.

The **CorpActnDtls** block contains the following elements (see datatype "[CorporateAction91 1](#)" on page 71 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		72
	SecuritiesQuantity	<ScitiesQty>		[0..1]		72
	EventStage	<EvtStag>		[0..1]	⚠	72
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		73
	FollowingEventTypeIndicator	<FlwngEvtTpInd>		[0..1]		74
	LotteryType	<LtryTp>		[0..1]	⚠	74

4.13 CorporateActionMovementDetails
























XML Tag: CorpActnMvmntDtls

Presence: [0..*]

Definition: Information about the corporate action option.

The **CorpActnMvmntDtls** block contains the following elements (see datatype "[CorporateActionOption252 1](#)" on page 139 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		141
	OptionType	<OptnTp>		[1..1]		142
	FractionDisposition	<FrctnDspstn>		[0..1]		142
	OfferType	<OfferTp>		[0..*]		143
	OptionFeatures	<OptnFeatrs>		[0..*]		143
	OptionAvailabilityStatus	<OptnAvlbtySts>		[0..1]		144
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		144
	NonDomicileCountry	<NonDmclCtry>		[0..*]		145
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		145
	CurrencyOption	<CcyOptn>		[0..1]		145
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStglInstr>		[1..1]		146
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		146
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		146
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		147
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		147
	AppliedOptionIndicator	<ApldOptnInd>		[0..1]		147
	SecurityIdentification	<Sctyld>		[0..1]		147
	DateDetails	<DtDtls>		[0..1]		148
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		149
	PriceDetails	<PricDtls>		[0..1]		149
	SecuritiesQuantity	<SctiesQty>		[0..1]		150
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		151
	CashMovementDetails	<CshMvmntDtls>		[0..*]		152
	AdditionalInformation	<AddtlInf>		[0..1]		152

4.14 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative56_1](#)" on [page 130](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..1]		130
	NarrativeVersion	<NrrtvVrsn>		[0..1]		131
	InformationConditions	<InfConds>		[0..1]		132
	InformationToComplyWith	<InfToCmplyWth>		[0..1]		132
	TaxationConditions	<TaxtnConds>		[0..1]		132
	Disclaimer	<Dsclmr>		[0..1]		133
	PartyContactNarrative	<PtyCtctNrrtv>		[0..1]		133
	RegistrationDetails	<RegnDtls>		[0..1]		134
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..1]		134
	CertificationBreakdown	<CertfctnBrkdw>		[0..1]		135
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..1]		135

4.15 IssuerAgent

XML Tag: IssrAgt

Presence: [0..*]

Definition: Party appointed to administer the event on behalf of the issuer company/offoror. The party may be contacted for more information about the event.

The **IssrAgt** block contains the following elements (see datatype "PartyIdentification129Choice_1" on page 296 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297

Usage Guideline details

- This element(seev.035.001.17/IssuerAgent) is removed.

4.16 PayingAgent

XML Tag: PngAgt

Presence: [0..*]

Definition: Agent (principal or fiscal paying agent) appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **PngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/PayingAgent) is removed.

4.17 SubPayingAgent

XML Tag: SubPngAgt

Presence: [0..*]

Definition: Sub-agent appointed to execute the payment for the corporate action event on behalf of the issuer company/offoror.

The **SubPngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/SubPayingAgent) is removed.

4.18 Registrar

XML Tag: Regar

Presence: [0..1]

Definition: Party/agent responsible for maintaining the register of a security.

The **Regar** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/Registrar) is removed.

4.19 ResellingAgent

XML Tag: RsellngAgt

Presence: [0..*]

Definition: Broker-dealer responsible for reselling to new investors securities (usually bonds) that have been tendered for purchase by their owner.

The **RsellngAgt** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/ResellingAgent) is removed.

4.20 PhysicalSecuritiesAgent

XML Tag: PhysSctiesAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an issuer to accept presentations of instruments, usually bonds, for transfer and or exchange.

The **PhysSctiesAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/PhysicalSecuritiesAgent) is removed.

4.21 DropAgent

XML Tag: DrpAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution that acts on behalf of an out-of-town agent or event agent where securities can be delivered in person.

The **DrpAgt** block contains the following elements (see datatype "PartyIdentification120Choice 1" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/DropAgent) is removed.

4.22 SolicitationAgent

XML Tag: SlctnAgt

Presence: [0..*]

Definition: Trust company, bank or similar financial institution assigned by an issuer to maintain records of investors and account balances and transactions for the consent of a material change.

The **SictnAgt** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/SolicitationAgent) is removed.

4.23 InformationAgent

XML Tag: InfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution assigned by an Issuer to provide information and copies of the offering documentation.

The **InfAgt** block contains the following elements (see datatype "[PartyIdentification120Choice 1](#)" on page 293 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Usage Guideline details

- This element(seev.035.001.17/InformationAgent) is removed.

4.24 Issuer

XML Tag: Issr

Presence: [0..1]

Definition: Issuer of the financial instrument.

The **Issr** block contains the following elements (see datatype "PartyIdentification129Choice_1" on page 296 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297

Usage Guideline details

- This element(seev.035.001.17/Issuer) is removed.

4.25 Offeror

XML Tag: Offerr

Presence: [0..*]

Definition: Party making the offer and which is different from the issuing company.

The **Offerr** block contains the following elements (see datatype "PartyIdentification129Choice_1" on page 296 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297

Usage Guideline details

- This element(seev.035.001.17/Offeror) is removed.

4.26 TransferAgent

XML Tag: TrfAgt

Presence: [0..1]

Definition: Trust company, bank or similar financial institution appointed by a fund management company to maintain records of investors and account balances. The transfer agent records transactions, including subscriptions, redemptions, switches, transfers, and re-registrations.

The **TrfAg** block contains the following elements (see datatype "[PartyIdentification129Choice_1](#)" on [page 296](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297

Usage Guideline details

- This element(seev.035.001.17/TransferAgent) is removed.

4.27 SupplementaryData

XML Tag: SplmtryData

Presence: [0..*]

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

The **SplmtryData** block contains the following elements (see datatype "[SupplementaryData1](#)" on [page 402](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	403
	Envelope	<Envlp>		[1..1]		403

Usage Guideline details

- on seev.035.001.17/SupplementaryData

- Market Practice Usage Rule:

Used to incorporate DTCC extensions: corporate action movement preliminary advice extension schema.

- on seev.035.001.17/SupplementaryData

- Applicability:

true

5 Message Components

Note The following chapter identifies the message components.

5.1 AccountAndBalance59__1

Definition: Provides account and balance information.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	35
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	36
	AccountOwner	<AcctOwnr>		[0..1]	⊘	36
	SafekeepingPlace	<SfkpgPlc>		[0..1]		36
	Balance	<Bal>		[0..1]		37

Rules

R32 SafekeepingPlaceRule

The SafekeepingPlace is only to be used by global custodians that allow their clients to specify where the securities are to be held, for example, in an ICSD account versus an account at the local CSD.

Error handling: Undefined

R33 SafekeepingAccountOrBlockChainAddress1Rule ✓

Either SafekeepingAccount or BlockChainAddressOrWallet must be present but not both.

Error handling:

- *Error severity:* Warning
- *Error Code:* X00551
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R34 SafekeepingAccountOrBlockChainAddress2Rule ✓

If BlockChainAddressOrWallet is present then SafekeepingAccount must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00565
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

R35 SafekeepingAccountOrBlockchainAddress3Rule ✓

If SafekeepingAccount is present, then BlockchainAddressOrWallet must be absent.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00566
- *Error Text:* Invalid message contents for SafekeepingAccount or BlockchainAddressOrWallet. Only one of both must be present.

Used in element(s)

"AccountsListAndBalanceDetails" on page 39

5.1.1 SafekeepingAccount

XML Tag: SfkpgAcct

Presence: [0..1]

Definition: Account where financial instruments are maintained.

Datatype: "RestrictedFINXMax35Text" on page 551

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount**
Type changed to: RestrictedFINXMax35Text on page 551
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount**
 - **Applicability:**
true
 - **REDEML Trace:**
Participant Number
 - **REDEMG Trace:**
Participant Number
 - **REDEMA Trace:**
Participant Number
 - **REDEMIX Trace:**
Participant Number
 - **REDEMC Trace:**
Participant Number
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount**
Synonym (DTCC): Participant Number
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingAccount**
Comment:
DTCC assigned internal participant identification number.

5.1.2 BlockChainAddressOrWallet

XML Tag: BlckChainAdrOrWllt

Presence: [0..1]

Definition: Blockchain address or wallet where digital assets are maintained. This is the equivalent of safekeeping account for digital assets.

Datatype: ["RestrictedFINXMax140Text" on page 548](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/BlockChainAddressOrWallet](#)

Type changed to: [RestrictedFINXMax140Text on page 548](#)







5.1.3 AccountOwner

XML Tag: AcctOwnr

Presence: [0..1]

Definition: Party that legally owns the account.

The **AcctOwnr** block contains the following elements (see datatype ["PartyIdentification127Choice 1"](#) on page 295 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		295
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		295

Usage Guideline details

- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/AccountOwner](#)) is removed.







5.1.4 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype ["SafekeepingPlaceFormat42Choice 1"](#) on page 373 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	373
	Country	<Ctry>	Or	[1..1]	🚫	374
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		374
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	374
	Proprietary	<Prtry>	Or}	[1..1]	🚫	375

5.1.5 Balance

XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "CorporateActionBalanceDetails43_1" on page 95 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]	⚠	96
	BlockedBalance	<BlckdBal>		[0..1]	🚫	97
	BorrowedBalance	<BrrwdBal>		[0..1]	⚠	98
	CollateralInBalance	<CollInBal>		[0..1]	⚠	98
	CollateralOutBalance	<CollOutBal>		[0..1]	⚠	99
	OnLoanBalance	<OnLnBal>		[0..1]	⚠	100
	PendingDeliveryBalance	<PdgDlvryBal>		[0..*]	⚠ R[0..1]	101
	PendingReceiptBalance	<PdgRctBal>		[0..*]	⚠ R[0..1]	101
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]	🚫	102
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	⚠ R[0..1]	103
	StreetPositionBalance	<StrtPosBal>		[0..1]	🚫	104
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]	🚫	104
	InTransshipmentBalance	<InTrnsShpmtBal>		[0..1]	🚫	105
	RegisteredBalance	<RegdBal>		[0..1]	🚫	105
	ObligatedBalance	<OblgtdBal>		[0..1]	⚠	106
	UninstructedBalance	<UinstdBal>		[0..1]	⚠	107
	InstructedBalance	<InstdBal>		[0..1]	⚠	107

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AffectedBalance	<AfctdBal>		[0..1]	⚠	108
	UnaffectedBalance	<UafctdBal>		[0..1]	⚠	109

5.2 AccountIdentification10

Definition: Provides account identification information.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		38

Used in element(s)

"ForAllAccounts" on page 38

5.2.1 IdentificationCode

XML Tag: IdCd

Presence: [1..1]

Definition: Standard code to specify that announcement applies to all safekeeping accounts that own underlying financial instrument.

Datatype: "SafekeepingAccountIdentification1Code" on page 551

5.3 AccountIdentification70Choice__1

Definition: Choice between all accounts (GENR - General in ISO 15022) or one or more selected accounts.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForAllAccounts	<ForAllAccts>	{Or	[1..1]	⊘	38
	AccountsListAndBalanceDetails	<AcctsListAndBalDtls>	Or}	[1..*]	R[1..1]	39

Used in element(s)

"AccountDetails" on page 23

5.3.1 ForAllAccounts

XML Tag: ForAllAccts

Presence: [1..1]

Definition: All safekeeping accounts that own an underlying financial instrument.

The **ForAllAccts** block contains the following elements (see datatype "[AccountIdentification10](#)" on page 38 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IdentificationCode	<IdCd>		[1..1]		38

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/ForAllAccounts) is removed.

5.3.2 AccountsListAndBalanceDetails

XML Tag: AcctsListAndBalDtls

Presence: [1..*]

Definition: Selected safekeeping accounts list (and optionally balance information) to which the corporate action event applies.

The **AcctsListAndBalDtls** block contains the following elements (see datatype "[AccountAndBalance59](#)" on page 34 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingAccount	<SfkpgAcct>		[0..1]	⚠	35
	BlockChainAddressOrWallet	<BlckChainAdrOrWllt>		[0..1]	⚠	36
	AccountOwner	<AcctOwnr>		[0..1]	🚫	36
	SafekeepingPlace	<SfkpgPlc>		[0..1]		36
	Balance	<Bal>		[0..1]		37

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails

This element has change repeatability, the maximum occurrence has been changed to : 1

5.4 AdditionalBusinessProcessFormat18Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of the additional business process, that is, a tax refund.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		40
	Proprietary	<Prtry>	Or}	[1..1]		40

Used in element(s)

"AdditionalBusinessProcessIndicator" on page 73

5.4.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the additional business process "tax refund" linked to a corporate action event.

Datatype: "AdditionalBusinessProcess10Code" on page 411

5.4.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the additional business process "tax refund" linked to a corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253



Usage Guideline details

- This element(seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary) is removed.

5.5 AmountAndQuantityRatio4__1

Definition: Ratio expressed as amount per quantity.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		41
	Quantity	<Qty>		[1..1]		42

Used in element(s)

["AmountToQuantity" on page 370](#), ["AmountToQuantity" on page 401](#), ["QuantityToAmount" on page 370](#)

5.5.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Amount](#)
 - Market Practice Rule:

Old amount
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Amount](#)
 - Market Practice rule:

New Amount

5.5.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Quantity expressed as number.

Datatype: "RestrictedFINDecimalNumber" on page 547

Usage Guideline details

- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity`
 Type changed to: RestrictedFINDecimalNumber on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity`
 Type changed to: RestrictedFINDecimalNumber on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity`
 Type changed to: RestrictedFINDecimalNumber on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/AmountToQuantity/Quantity`
 Type changed to: RestrictedFINDecimalNumber on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToQuantity/Quantity`
 - **Market Practice rule:**

```

Old Quantity

```
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToAmount/Quantity`
 - **Market Practice rule:**

```

New quantity

```
- on `seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/AmountToQuantity/Quantity`
 - **Market Practice Implementation Rule:**

```

Establishes use of quantity for debt and non debt underlying securities.

If
Underlying Security or "Event Security" is a debt security







Then
Use the par value. 1000 unless it is a baby bond.


Else
Use value of 1.

```

5.6 AmountAndRateStatus1__1

Definition: Specifies an amount and a rate status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		43
	RateStatus	<RateSts>		[1..1]		43

Used in element(s)

["AmountAndRateStatus" on page 266](#),
 ["AmountAndRateStatus" on page 280](#),
 ["AmountAndRateStatus" on page 268](#),
 ["AmountAndRateStatus" on page 282](#)

5.6.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

5.6.2 RateStatus

XML Tag: RateSts







Presence: [1..1]


Definition: Value expressed as a rate status.

Datatype: ["RateStatus1Code" on page 540](#)

5.7 AmountPrice2__1

Definition: Price expressed as an actual amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		44
	PriceValue	<PricVal>		[1..1]		44

Used in element(s)

"AmountPrice" on page 304

5.7.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: "AmountPriceType2Code" on page 419

5.7.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545







Usage Guideline details


- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.8 AmountPrice3__1

Definition: Price expressed as an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		45
	PriceValue	<PricVal>		[1..1]		45

Used in element(s)

["AmountPrice" on page 310](#), ["AmountPrice" on page 309](#), ["AmountPrice" on page 306](#)

5.8.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 417](#)

5.8.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/MarketPrice/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/PriceDetails/OverSubscriptionDepositPrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice/IndicativePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/IssuePrice/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.9 AmountPrice6__1

Definition: Price expressed as an actual amount.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		46
	PriceValue	<PricVal>		[1..1]	⚠	46

Used in element(s)

["AmountPrice" on page 304](#)

5.9.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType3Code" on page 419](#)

5.9.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/PriceDetails/MaximumCashToInstruct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/PriceDetails/MinimumMultipleCashToInstruct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/PriceDetails/MinimumCashToInstruct/AmountPrice/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

5.10 AmountPricePerAmount2__1

Definition: Specifies a ratio: Amount price per amount.

Example:

ISIN US629377AS17. Repurchase USD1087.17 cash for every USD1000 stock (NRG Energy Inc 8% Senior Notes 15/12/13).

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		47
	PriceValue	<PricVal>		[1..1]		47
	Amount	<Amt>		[1..1]		48

Used in element(s)

["AmountPricePerAmount" on page 307](#)

5.10.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 417](#)

5.10.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/PriceValue](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.10.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: The amount on which the price is based.







Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 545



Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.11 AmountPricePerFinancialInstrumentQuantity10__1

Definition: Specifies a ratio: amount price per financial instrument quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		48
	PriceValue	<PricVal>		[1..1]		49
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		49

Used in element(s)

["AmountPricePerFinancialInstrumentQuantity"](#) on page 306

5.11.1 AmountPriceType

XML Tag: AmtPricTp

Presence: [1..1]

Definition: Type of amount price.

Datatype: ["AmountPriceType1Code" on page 417](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType](#)

Comment:

value 'ACTU' must be used

5.11.2 PriceValue

XML Tag: PricVal

Presence: [1..1]

Definition: Value of the price.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/PriceValue](#)

Synonym: DTC Cash Rate







5.11.3 FinancialInstrumentQuantity





XML Tag: FinInstrmQty

Presence: [1..1]

Definition: Quantity of financial instrument.







The **FinInstrmQty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice_1" on page 216](#) for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

5.12 AmountToAmountRatio2__1

Definition: Ratio expressed as a quotient of amounts.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		50
	Amount2	<Amt2>		[1..1]		51

Used in element(s)

"AmountToAmount" on page 368, "AmountToAmount" on page 370

5.12.1 Amount1

XML Tag: Amt1

Presence: [1..1]

Definition: Numerator of the quotient of amounts.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount1](#)
– Market Practice Rule:

New Amount

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount1](#)

– Market Practice Rule:

New amount

5.12.2 Amount2

XML Tag: Amt2

Presence: [1..1]

Definition: Denominator of the quotient of amounts.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/AmountToAmount/Amount2](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/AmountToAmount/Amount2](#)

– Market Practice rule:

Old amount

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/AmountToAmount/Amount2](#)

– Market Practice Rule:

Existing Amount

5.13 BalanceFormat11Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below - M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	53

Used in element(s)

"AffectedBalance" on page 108, "BlockedBalance" on page 97, "BorrowedBalance" on page 98, "CollateralInBalance" on page 98, "CollateralOutBalance" on page 99, "InTransshipmentBalance" on page 105, "InstructedBalance" on page 107, "ObligatedBalance" on page 106, "OnLoanBalance" on page 100, "OutForRegistrationBalance" on page 102, "RegisteredBalance" on page 105, "StreetPositionBalance" on page 104, "TradeDatePositionBalance" on page 104, "UnaffectedBalance" on page 109, "UninstructedBalance" on page 107

5.13.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat11_1" on page 399 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]	⚠	399
	QuantityChoice	<QtyChc>		[1..1]		400

5.13.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance](#)) is removed.







5.13.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "[SignedQuantityFormat10_1](#)" on [page 398](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/NotEligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance](#)) is removed.
- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance](#)) is removed.

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/On-LoanBalance/NotEligibleBalance) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance) is removed.

5.14 BalanceFormat12Choice__1

Definition: Choice between balance, eligible balance and not eligible balance formats.

⊘ Removed - ⊘ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		54
	EligibleBalance	<ElgblBal>	Or	[1..1]	⊘	54
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	⊘	55
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	⊘	56
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	⊘	56

Used in element(s)

"PendingDeliveryBalance" on page 101, "PendingReceiptBalance" on page 101, "SettlementPositionBalance" on page 103

5.14.1 Balance

XML Tag: Bal

Presence: [1..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "SignedQuantityFormat11__1" on page 399 for details)

⊘ Removed - ⊘ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]	⚠	399
	QuantityChoice	<QtyChc>		[1..1]		400

5.14.2 EligibleBalance

XML Tag: ElgblBal

Presence: [1..1]

Definition: Provide eligible balance information in different formats.

The **ElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/EligibleBalance) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance) is removed.

5.14.3 NotEligibleBalance

XML Tag: NotElgblBal

Presence: [1..1]

Definition: Provide not eligible balance information in different formats.

The **NotElgblBal** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance) is removed.

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance) is removed.

5.14.4 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/FullPeriodUnits) is removed.

5.14.5 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [1..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10_1" on page 398 for details)

🚫 Removed - 🚫 Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits) is removed.

5.15 BeneficiaryCertificationType13Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of beneficiary certification required.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		57
	Proprietary	<Prtry>	Or}	[1..1]		57

Used in element(s)

"CertificationBreakdownType" on page 144

5.15.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a coded form.

Datatype: "BeneficiaryCertificationType6Code" on page 421







5.15.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Beneficial owner certification type expressed in a proprietary form.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.16 BlockchainAddressWallet3

Definition: Digital account where digital assets or digital tokens can be stored and where an entry is made.

⓪ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ⓧ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		58
	Type	<Tp>		[0..1]		58
	Name	<Nm>		[0..1]		59

Used in element(s)

"BlockchainCashWallet" on page 60

5.16.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Unambiguous identification for the account between the account owner and the account servicer.

Datatype: "Max140Text" on page 518

5.16.2 Type

XML Tag: Tp

Presence: [0..1]

Definition: Specifies the type of securities account.

The **Tp** block contains the following elements (see datatype "GenericIdentification30" on page 245 for details)

⓪ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ⓧ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245

5.16.3 Name

XML Tag: Nm







Presence: [0..1]

Definition: Description of the account.

Datatype: ["Max70Text" on page 520](#)

5.17 BorrowerLendingDeadline5__1

Definition: Stock lending deadline assigned to a borrower of the stock.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdln>		[1..1]		59
	Borrower	<Brrwr>		[1..1]		59

Used in element(s)

["BorrowerStockLendingDeadline" on page 113](#)







5.17.1 StockLendingDeadline

XML Tag: StockLndgDdln

Presence: [1..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event for which the underlying security is out on loan.

The **StockLndgDdln** block contains the following elements (see datatype ["DateFormat43Choice__1" on page 182](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183







5.17.2 Borrower

XML Tag: Brrwr

Presence: [1..1]

Definition: Party who has borrowed stocks on loan.







The **Brrwr** block contains the following elements (see datatype ["PartyIdentification127Choice__1" on page 295](#) for details)




 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		295
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		295

5.18 CashAccountIdentification9Choice__1

Definition: Unique identifier of an account, as assigned by the account servicer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]		60
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		60
	Proprietary	<Prtry>	Or}	[1..1]	 	61

Used in element(s)

"CashAccountIdentification" on page 66

5.18.1 IBAN

XML Tag: IBAN

Presence: [1..1]

Definition: International Bank Account Number (IBAN) - identifier used internationally by financial institutions to uniquely identify the account of a customer. Further specifications of the format and content of the IBAN can be found in the standard ISO 13616 "Banking and related financial services - International Bank Account Number (IBAN)" version 1997-10-01, or later revisions.

Datatype: "IBAN2007Identifier" on page 500

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/CashAccountIdentification/IBAN) is removed.







5.18.2 BlockChainCashWallet

XML Tag: BlckChainCshWllt

Presence: [1..1]

Definition: Block Chain Wallet where digital currencies are maintained. This is the equivalent of cash account for digital currencies.

The **BlockChainCshWlt** block contains the following elements (see datatype "[BlockChainAddressWallet3](#)" on page 58 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		58
	Type	<Tp>		[0..1]		58
	Name	<Nm>		[0..1]		59

5.18.3 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique identifier for an account. It is assigned by the account servicer using a proprietary identification scheme.

Datatype: "[RestrictedFINX2Max34Text](#)" on page 548







Usage Guideline details








- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/CashAccountIdentification/Proprietary](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/CashAccountIdentification/Proprietary](#)



Type changed to: [RestrictedFINX2Max34Text](#) on page 548

5.19 CashOption117__1

Definition: Provides information about the cash option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		63
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]		63
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		63
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		64
	IncomeType	<IncmTp>		[0..1]		64
	OtherIncomeType	<OthrIncmTp>		[0..*]		65
	ExemptionType	<XmptnTp>		[0..*]		65

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		66
	CashAccountIdentification	<CshAcctId>		[0..1]		66
	AmountDetails	<AmtDtls>		[0..1]		66
	DateDetails	<DtDtls>		[1..1]		68
	ForeignExchangeDetails	<FXDtls>		[0..1]		69
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		69
	PriceDetails	<PricDtls>		[0..1]		70

Rules

R63 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R64 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R65 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R66 IncomeType2Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00546
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

"CashMovementDetails" on page 152

5.19.1 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Indicates whether the value is a debit or a credit.

Datatype: "CreditDebitCode" on page 484

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/CreditDebitIndicator
 - **Applicability:**
true

5.19.2 ContractualPaymentIndicator

XML Tag: CtrctlPmtInd

Presence: [0..1]

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Datatype: "Payment1Code" on page 533

Usage Guideline details

- **This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/ContractualPaymentIndicator) is removed.**







5.19.3 NonEligibleProceedsIndicator

XML Tag: NonElgblPrclsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrclsInd** block contains the following elements (see datatype "NonEligibleProceedsIndicator3Choice 1" on page 283 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		284

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/NonEligibleProceedsIndicator) is removed.







5.19.4 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 275 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		275
	Proprietary	<Prtry>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

5.19.5 IncomeType







XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/CashMovementDetails/IncomeType) is removed.

5.19.6 OtherIncomeType

XML Tag: OthrlncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrlncmTp** block contains the following elements (see datatype "GenericIdentification30 1" on page 246 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/CashMovementDetails/OtherIncomeType) is removed.

5.19.7 ExemptionType

XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "GenericIdentification30 1" on page 246 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/ExemptionType) is removed.

5.19.8 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 483

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/CountryOfIncomeSource) is removed.

5.19.9 CashAccountIdentification

XML Tag: CshAcctId

Presence: [0..1]

Definition: Identification of the account in which cash is maintained.

The **CshAcctId** block contains the following elements (see datatype "CashAccountIdentification9Choice 1" on page 60 for details)

⛔ Removed - ⚠ Partial Removed - ⬢ Ignored - ⬢ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IBAN	<IBAN>	{Or	[1..1]	⛔	60
	BlockChainCashWallet	<BlckChainCshWllt>	Or	[1..1]		60
	Proprietary	<Prtry>	Or}	[1..1]	⛔ ⚠	61

5.19.10 AmountDetails

XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a cash movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts78_1" on page 76 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]		77
	NetAmount	<NetAmt>		[0..1]		78
	SolicitationFees	<SlctnFees>		[0..1]		78
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		79
	CapitalGain	<CptlGn>		[0..1]		79
	InterestAmount	<IntrstAmt>		[0..1]		80
	MarketClaimAmount	<MktClmAmt>		[0..1]		80
	IndemnityAmount	<IndmntyAmt>		[0..1]		81
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]		81
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]		81
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]		82
	UnfrankedAmount	<UfrnkAmt>		[0..1]		82
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]		82
	TaxFreeAmount	<TaxFreeAmt>		[0..1]		83
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]		83
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		83
	StampDutyAmount	<StmpDtyAmt>		[0..1]		84
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		84
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		84
	TaxArrearAmount	<TaxArrearAmt>		[0..1]		85
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		85
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		85
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]		86
	FiscalStampAmount	<FscIStmpAmt>		[0..1]		86
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		87
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		87
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		87

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	⛔ ⚠	88
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	⛔ ⚠	88
	ChargesAmount	<ChrgsAmt>		[0..1]	⛔ ⚠	88
	EntitledAmount	<EntitldAmt>		[0..1]	⚠	89
	OriginalAmount	<OrgnlAmt>		[0..1]	⛔ ⚠	89
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]	⚠	90
	IncomePortion	<IncmPrtn>		[0..1]	⛔ ⚠	90
	EqualisationAmount	<EqulstnAmt>		[0..1]	⛔ ⚠	91
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	⛔ ⚠	91
	NRATaxAmount	<NRATaxAmt>		[0..1]	⛔ ⚠	91
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	⛔ ⚠	92
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	⛔ ⚠	92
	TransactionTax	<TxTax>		[0..1]	⛔ ⚠	92
	DeemedAmount	<DmdAmt>		[0..1]	⚠	93
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]	⛔ ⚠	93
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	⛔ ⚠	94
	DeemedFundAmount	<DmdFndAmt>		[0..1]	⛔ ⚠	94
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	⛔ ⚠	94
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	⛔ ⚠	95
	BuyUpAmount	<BuyUpAmt>		[0..1]	⛔ ⚠	95

5.19.11 DateDetails

XML Tag: DtDtls



Presence: [1..1]

Definition: Provides information about the dates related to a cash movement.

The **DtDtls** block contains the following elements (see datatype "CorporateActionDate84" on page 114 for details)

⛔ Removed - ⛔ Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	114
	ValueDate	<ValDt>		[0..1]	⛔	115

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ForeignExchangeRateFixingDate	<FXRateFxdt>		[0..1]		115
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]		116







5.19.12 ForeignExchangeDetails


XML Tag: FXDtIs

Presence: [0..1]

Definition: Exchange rate between the amount and the resulting amount.

The **FXDtIs** block contains the following elements (see datatype "ForeignExchangeTerms39_1" on page 243 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		243
	QuotedCurrency	<QtdCcy>		[1..1]		243
	ExchangeRate	<XchgRate>		[1..1]		243
	ResultingAmount	<RsltgtAmt>		[0..1]		244

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/ForeignExchangeDetails) is removed.







5.19.13 RateAndAmountDetails




XML Tag: RateAndAmtDtIs

Presence: [0..1]

Definition: Provides information about the corporate action option.

The **RateAndAmtDtIs** block contains the following elements (see datatype "Rate50_1" on page 326 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		329
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		330
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		330

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	331
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	332
	ChargesFees	<ChrgsFees>		[0..1]	🚫	332
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]	🚫	333
	FiscalStamp	<FscIStmp>		[0..1]	🚫	333
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]	🚫	334
	NetDistributionRate	<NetDstrbtnRate>		[0..*]	⚠️	334
	ApplicableRate	<AplblRate>		[0..1]	🚫	335
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]	🚫	335
	TaxCreditRate	<TaxCdtRate>		[0..1]		336
	TaxOnIncome	<TaxOnIncm>		[0..1]	🚫	336
	TaxOnProfits	<TaxOnPrfts>		[0..1]	🚫	337
	TaxReclaimRate	<TaxRclmRate>		[0..1]	🚫	337
	EqualisationRate	<EqulstnRate>		[0..1]	🚫	338
	DeemedRate	<DmdRate>		[0..*]	⚠️	338

5.19.14 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.







The **PricDtls** block contains the following elements (see datatype "PriceDetails38__1" on page 301 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		302
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		302
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	303

5.20 ClassificationType32Choice__1

Definition: Choice of format for the classification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		71
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		71

Used in element(s)

"ClassificationType" on page 196, "ClassificationType" on page 207

5.20.1 ClassificationFinancialInstrument

XML Tag: ClssfctnFinInstrm

Presence: [1..1]

Definition: ISO 10962 Classification of Financial Instrument (CFI).

Datatype: "CFIOct2015Identifier" on page 423







5.20.2 AlternateClassification




XML Tag: AltrnClssfctn

Presence: [1..1]

Definition: Proprietary classification of financial instrument.







The **AltrnClssfctn** block contains the following elements (see datatype "GenericIdentification36_1" on page 259 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]



Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		260
	Issuer	<Issr>		[1..1]		260
	SchemeName	<SchmeNm>		[0..1]		261

5.21 CorporateAction91__1

Definition: Provides information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateDetails	<DtDtls>		[0..1]		72
	SecuritiesQuantity	<SctiesQty>		[0..1]		72

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventStage	<EvtStag>		[0..1]		72
	AdditionalBusinessProcessIndicator	<AddtlBizPrclnd>		[0..*]		73
	FollowingEventTypeIndicator	<FlwngEvtTplnd>		[0..1]		74
	LotteryType	<LtryTp>		[0..1]		74

Used in element(s)

"CorporateActionDetails" on page 24







5.21.1 DateDetails




XML Tag: DtDtls

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action event.

The **DtDtls** block contains the following elements (see datatype "CorporateActionCode85 1" on page 116 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]		117
	ExDividendDate	<ExDvddDt>		[0..1]		117
	LotteryDate	<LtryDt>		[0..1]		118







5.21.2 SecuritiesQuantity



XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity linked to a corporate action.

The **SctiesQty** block contains the following elements (see datatype "CorporateActionQuantity12 1" on page 161 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BaseDenomination	<BaseDnmtn>		[0..1]		161
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]		162

5.21.3 EventStage

XML Tag: EvtStag

Presence: [0..1]

Definition: Stage in the corporate action event life cycle.

The **EvtStag** block contains the following elements (see datatype "[CorporateActionEventStageFormat14Choice 1](#)" on page 121 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]	🚫	122

Usage Guideline details

- on [seev.035.001.17/CorporateActionDetails/EventStage](#)
 - **Applicability:**
true
 - **Redemption Rule:**
Mapped from DTCC Event Stage data element. Mapping is without conditions. The following codes are applicable: Cancellation and Re Run, Supplemental Cancellation and Supplemental Cancellation and Re Run. The Unconditional As to Acceptance field does not apply to Redemption based lottery events.
 - **REDEML Trace:**
Lottery Type
- on [seev.035.001.17/CorporateActionDetails/EventStage](#)
Synonym (DTCC): Event Stage

5.21.4 AdditionalBusinessProcessIndicator

XML Tag: AddtlBizPrclnd

Presence: [0..*]

Definition: Indicates whether the message is related to a claim on the associated corporate action event.

The **AddtlBizPrclnd** block contains the following elements (see datatype "[AdditionalBusinessProcessFormat18Choice 1](#)" on page 39 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		40
	Proprietary	<Prtry>	Or}	[1..1]	🚫	40

5.21.5 FollowingEventTypeIdIndicator

XML Tag: FllwngEvtTpInd

Presence: [0..1]

Definition: Specifies the event type of the following event.

The **FllwngEvtTpInd** block contains the following elements (see datatype "[IntermediateSecuritiesDistributionTypeFormat19Choice](#)" on page 274 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		274
	Proprietary	<Prtry>	Or}	[1..1]	🚫	274

5.21.6 LotteryType

XML Tag: LtryTp

Presence: [0..1]

Definition: Specifies the type of lottery announced.

The **LtryTp** block contains the following elements (see datatype "[LotteryTypeFormat4Choice](#) 1" on page 276 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		276
	Proprietary	<Prtry>	Or}	[1..1]	🚫	276




Usage Guideline details

- on [seev.035.001.17/CorporateActionDetails/LotteryType](#)
 - **Redemption Rule:**
Mapped from DTCC Lottery Type data element. Mapping is without conditions. The following codes are applicable: Original and Supplemental.
 - **Applicability:**
true
 - **REDEML Trace:**
Lottery Type
- on [seev.035.001.17/CorporateActionDetails/LotteryType](#)
Synonym (DTCC): Lottery Type

5.22 CorporateActionAmounts60__1

Definition: Specifies amounts in the framework of a corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		75
	SecondLevelTaxAmount	<ScndLvITaxAmt>		[0..1]	 	75

Used in element(s)

"AmountDetails" on page 388

5.22.1 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/AmountDetails/WithholdingTaxAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 546

5.22.2 SecondLevelTaxAmount

XML Tag: ScndLvITaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/AmountDetails/SecondLevelTaxAmount






































Type changed to: RestrictedFINActiveCurrencyAndAmount on page 546

5.23 CorporateActionAmounts78__1

Definition: Specifies amounts in the framework of a corporate action event.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GrossAmount	<GrssAmt>		[0..1]		77
	NetAmount	<NetAmt>		[0..1]		78
	SolicitationFees	<SlctnFees>		[0..1]		78
	CashInLieuOfShare	<CshInLieuOfShr>		[0..1]		79
	CapitalGain	<CptlGn>		[0..1]		79
	InterestAmount	<IntrstAmt>		[0..1]		80
	MarketClaimAmount	<MktClmAmt>		[0..1]		80
	IndemnityAmount	<IndmntyAmt>		[0..1]		81
	ManufacturedDividendPaymentAmount	<ManfctrdDvddPmtAmt>		[0..1]		81
	ReinvestmentAmount	<RinvstmtAmt>		[0..1]		81
	FullyFrankedAmount	<FullyFrnkAmt>		[0..1]		82
	UnfrankedAmount	<UfrnkAmt>		[0..1]		82
	SundryOrOtherAmount	<SndryOrOthrAmt>		[0..1]		82
	TaxFreeAmount	<TaxFreeAmt>		[0..1]		83
	TaxDeferredAmount	<TaxDfrdAmt>		[0..1]		83
	ValueAddedTaxAmount	<ValAddedTaxAmt>		[0..1]		83
	StampDutyAmount	<StmpDtyAmt>		[0..1]		84
	TaxReclaimAmount	<TaxRclmAmt>		[0..1]		84
	TaxCreditAmount	<TaxCdtAmt>		[0..1]		84
	TaxArrearAmount	<TaxArrearAmt>		[0..1]		85
	AdditionalTaxAmount	<AddtlTaxAmt>		[0..1]		85
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		85
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]		86
	FiscalStampAmount	<FscIStmpAmt>		[0..1]		86
	ExecutingBrokerAmount	<ExctgBrkrAmt>		[0..1]		87
	PayingAgentCommissionAmount	<PngAgtComssnAmt>		[0..1]		87
	LocalBrokerCommissionAmount	<LclBrkrComssnAmt>		[0..1]		87

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RegulatoryFeesAmount	<RgltryFeesAmt>		[0..1]	 	88
	ShippingFeesAmount	<ShppgFeesAmt>		[0..1]	 	88
	ChargesAmount	<ChrgsAmt>		[0..1]	 	88
	EntitledAmount	<EntitldAmt>		[0..1]		89
	OriginalAmount	<OrgnlAmt>		[0..1]	 	89
	AccruedInterestAmount	<AcrdIntrstAmt>		[0..1]		90
	IncomePortion	<IncmPrtn>		[0..1]	 	90
	EqualisationAmount	<EqulstnAmt>		[0..1]	 	91
	FATCATaxAmount	<FATCATaxAmt>		[0..1]	 	91
	NRATaxAmount	<NRATaxAmt>		[0..1]	 	91
	BackUpWithholdingTaxAmount	<BckUpWhldgTaxAmt>		[0..1]	 	92
	TaxOnIncomeAmount	<TaxOnIncmAmt>		[0..1]	 	92
	TransactionTax	<TxTax>		[0..1]	 	92
	DeemedAmount	<DmdAmt>		[0..1]		93
	ForeignIncomeAmount	<FrgnIncmAmt>		[0..1]	 	93
	DeemedDividendAmount	<DmdDvddAmt>		[0..1]	 	94
	DeemedFundAmount	<DmdFndAmt>		[0..1]	 	94
	DeemedInterestAmount	<DmdIntrstAmt>		[0..1]	 	94
	DeemedRoyaltiesAmount	<DmdRyltsAmt>		[0..1]	 	95
	BuyUpAmount	<BuyUpAmt>		[0..1]	 	95

Used in element(s)

"AmountDetails" on page 66

5.23.1 GrossAmount

XML Tag: GrssAmt

Presence: [0..1]

Definition: Amount of money before any tax deductions have been made.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/GrossAmount) is removed.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/GrossAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.2 NetAmount

XML Tag: NetAmt

Presence: [0..1]

Definition: Amount of money after tax deductions have been made.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/NetAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/NetAmount](#)

Synonym (DTCC): Cash Amount (Payout: Dividend)

5.23.3 SolicitationFees

XML Tag: SlctnFees

Presence: [0..1]

Definition: Cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

– Market Practice Implementation Rule:

Cash amount from Premium payouts will be used here with the exception of some events where Premium payout does not represent an incentive for participation or a consent fee.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SolicitationFees](#)

Synonym (DTCC): Cash Amount (Payout: Premium)

5.23.4 CashInLieuOfShare

XML Tag: CshInLieuOfShr

Presence: [0..1]

Definition: Cash disbursement in lieu of a fractional quantity of, for example, equity.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CashInLieuOfShare](#)

– **DTCC Cash In Lieu Of Share Rule:**

Used when the message is sent for Cash In Lieu Payment.

5.23.5 CapitalGain

XML Tag: CptlGn

Presence: [0..1]

Definition: Amount of money distributed as the result of a capital gain.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

– **Market Practice Usage Rule:**

Each movement corresponds to a payout 'block' on DTCC model. Mapping is done based on the payout type.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Synonym (DTCC): Cash Amount (Payout: Long Term Capital Gains)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Synonym (DTCC): Cash Amount (Payout: Return of Capital)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Synonym (DTCC): Cash Amount (Payout: Short Term Capital Gains)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/CapitalGain](#)

Synonym (DTCC): Cash Amount (Payout: Capital Gains)

5.23.6 InterestAmount

XML Tag: IntrstAmt

Presence: [0..1]

Definition: Amount of money representing a coupon payment.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/InterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/InterestAmount](#)

– **Market Practice Implementation Rule:**

Used when the event type is Interest or Principal.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/InterestAmount](#)

Synonym (DTCC): Cash Amount (Payout: Interest)

5.23.7 MarketClaimAmount

XML Tag: MktClmAmt

Presence: [0..1]

Definition: Amount of money resulting from a market claim.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- **This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/MarketClaimAmount](#)) is removed.**

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/MarketClaimAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.8 IndemnityAmount

XML Tag: IndmntyAmt

Presence: [0..1]

Definition: Market claim/reverse market claim amount treated as an indemnity (whether net or gross).

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/IndemnityAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.9 ManufacturedDividendPaymentAmount

XML Tag: ManfctrDvddPmtAmt

Presence: [0..1]

Definition: Any amount representative of a dividend/other income payment that is required to be paid by one person to another, under a contract or any other arrangement between the parties, for the transfer of the underlying securities. Manufactured payments are a compensation/substitute payment made by the holder of the securities to the original owner, to reflect the fact that the original owner does not receive the real dividend or interest.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ManufacturedDividendPaymentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.10 ReinvestmentAmount

XML Tag: RinvstmtAmt

Presence: [0..1]

Definition: Amount of money reinvested in additional securities.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)) is removed.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ReinvestmentAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.11 FullyFrankedAmount

XML Tag: FullyFrnkAmt

Presence: [0..1]

Definition: Amount resulting from a fully franked dividend paid by a company; amount includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FullyFrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.12 UnfrankedAmount

XML Tag: UfrnkAmt

Presence: [0..1]

Definition: Amount resulting from an unfranked dividend paid by a company; the amount does not include tax credit and is subject to withholding tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/UnfrankedAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.13 SundryOrOtherAmount

XML Tag: SndryOrOthrAmt

Presence: [0..1]

Definition: Amount of money related to taxable income that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SundryOrOtherAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.14 TaxFreeAmount

XML Tag: TaxFreeAmt

Presence: [0..1]

Definition: Amount of money that has not been subject to taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxFreeAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.15 TaxDeferredAmount

XML Tag: TaxDfrdAmt

Presence: [0..1]

Definition: Amount of income eligible for deferred taxation.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxDeferredAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.16 ValueAddedTaxAmount

XML Tag: ValAddedTaxAmt

Presence: [0..1]

Definition: Amount of value added tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ValueAddedTaxAmount](#)
- Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.17 StampDutyAmount

XML Tag: StmpDtyAmt

Presence: [0..1]

Definition: Amount of stamp duty.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/StampDutyAmount](#)
- Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.18 TaxReclaimAmount

XML Tag: TaxRclmAmt

Presence: [0..1]

Definition: Amount that was paid in excess of actual tax obligation and was reclaimed.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxReclaimAmount](#)
- Type changed to:** [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.19 TaxCreditAmount

XML Tag: TaxCdtAmt

Presence: [0..1]

Definition: Amount of taxes that have been previously paid in relation to the taxable event.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxCreditAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxCreditAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.20 TaxArrearAmount

XML Tag: TaxArrearAmt

Presence: [0..1]

Definition: Amount of money paid by the Tax Authorities in addition to the payment of the tax refund itself.

Datatype: ["ActiveCurrencyAndAmount" on page 409](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxArrearAmount](#)) is removed.

5.23.21 AdditionalTaxAmount

XML Tag: AddtlTaxAmt

Presence: [0..1]

Definition: Amount of additional taxes that cannot be categorised.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/AdditionalTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.22 WithholdingTaxAmount

XML Tag: WhldgTaxAmt

Presence: [0..1]

Definition: Amount of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/WithholdingTaxAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 546

5.23.23 SecondLevelTaxAmount

XML Tag: ScndLvITaxAmt

Presence: [0..1]

Definition: Amount of money withheld by the jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate levied by the jurisdiction of the issuer's tax domicile.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/SecondLevelTaxAmount

Type changed to: RestrictedFINActiveCurrencyAndAmount on page 546

5.23.24 FiscalStampAmount

XML Tag: FscIStmpAmt

Presence: [0..1]

Definition: Amount of fiscal tax to apply.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FiscalStampAmount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FiscalStampAmount

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.25 ExecutingBrokerAmount

XML Tag: ExctgBrkrAmt

Presence: [0..1]

Definition: Amount of money paid to an executing broker as a commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ExecutingBrokerAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.26 PayingAgentCommissionAmount

XML Tag: PngAgtComssnAmt

Presence: [0..1]

Definition: Amount of paying/sub-paying agent commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/PayingAgentCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.27 LocalBrokerCommissionAmount

XML Tag: LclBrkrComssnAmt

Presence: [0..1]

Definition: Local broker's commission.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)) is removed.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/LocalBrokerCommissionAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.28 RegulatoryFeesAmount

XML Tag: RgltryFeesAmt

Presence: [0..1]

Definition: Amount of money charged by a regulatory authority, for example, securities and exchange fees.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/RegulatoryFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.29 ShippingFeesAmount

XML Tag: ShppgFeesAmt

Presence: [0..1]

Definition: All costs related to the physical delivery of documents such as stamps, postage, carrier fees, insurances or messenger services.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ShippingFeesAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.30 ChargesAmount

XML Tag: ChrgsAmt

Presence: [0..1]

Definition: Amount of money paid for the provision of financial services that cannot be categorised by another qualifier.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ChargesAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.31 EntitledAmount

XML Tag: EntitldAmt

Presence: [0..1]

Definition: Cash amount based on the terms of the corporate action event and balance of underlying securities, entitled to/from account owner (which may be positive or negative).

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)
 - **Applicability:**
true
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)
Synonym (DTCC): Cash Amount (Payout: Cash)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EntitledAmount](#)
Synonym (DTCC): Cash Amount (Payout: Principal)

5.23.32 OriginalAmount

XML Tag: OrgnlAmt

Presence: [0..1]

Definition: Posting/settlement amount in its original currency when conversion from/into another currency has occurred.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/OriginalAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.33 AccruedInterestAmount

XML Tag: `AcrdIntrstAmt`

Presence: [0..1]

Definition: Amount of interest that has been accrued in between coupon payment periods.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 546

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

– Applicability:
true

– Redemption Rule:
Mapped from Interest payout on a Redemption event.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/AccruedInterestAmount](#)

Synonym (DTCC): Cash Amount (Payout: Interest)

5.23.34 IncomePortion

XML Tag: `IncMPrtn`

Presence: [0..1]

Definition: Amount relating to the underlying security for which income is distributed.

Datatype: "[RestrictedFINActiveCurrencyAndAmount](#)" on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/IncomePortion](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/IncomePortion](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.35 EqualisationAmount

XML Tag: EquIstnAmt

Presence: [0..1]

Definition: Portion of the fund distribution amount which represents the average accrued income included in the purchase price for units bought during the account period.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EqualisationAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.36 FATCATaxAmount

XML Tag: FATCATaxAmt

Presence: [0..1]

Definition: FATCA (Foreign Account Tax Compliance Act) related tax amount.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FATCATaxAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/FATCATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.37 NRATaxAmount

XML Tag: NRATaxAmt

Presence: [0..1]

Definition: Amount of tax related income subject to NRA (Non Resident Alien).

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/NRATaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.38 BackUpWithholdingTaxAmount

XML Tag: BckUpWhldgTaxAmt

Presence: [0..1]

Definition: Amount of tax related to back up withholding.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/BackUpWithholdingTaxAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.39 TaxOnIncomeAmount

XML Tag: TaxOnIncmAmt

Presence: [0..1]

Definition: Amount of overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TaxOnIncomeAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.40 TransactionTax

XML Tag: TxTax

Presence: [0..1]

Definition: Amount of transaction tax.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TransactionTax](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/TransactionTax](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.41 DeemedAmount

XML Tag: DmdAmt

Presence: [0..1]

Definition: Amount of proceeds which is not actually paid to the security holder but on which withholding tax is applicable.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedAmount](#)
Synonym (DTCC): Gross Amount

5.23.42 ForeignIncomeAmount

XML Tag: FrgnIncmAmt

Presence: [0..1]

Definition: Amount relating to a foreign income such as a conduit foreign income.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/ForeignIncomeAmount](#)
Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.43 DeemedDividendAmount

XML Tag: DmdDvddAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of dividend proceeds attributed to the security holder for the taxable year and the rate of dividend proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedDividendAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.44 DeemedFundAmount

XML Tag: DmdFndAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of the proceeds components comprising a fund payment attributed to the security holder for the taxable year and the rate of the fund proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)) is removed.
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedFundAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.23.45 DeemedInterestAmount

XML Tag: DmdIntrstAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of interest proceeds attributed to the security holder for the taxable year and the rate of interest proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: "RestrictedFINActiveCurrencyAndAmount" on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedInterestAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.46 DeemedRoyaltiesAmount

XML Tag: DmdRyltsAmt

Presence: [0..1]

Definition: Deemed amount resulting from the difference between the rate of royalty proceeds attributed to the security holder for the taxable year and the rate of royalty proceeds previously notified to the security holder in relation to cash distributions for the year.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/DeemedRoyaltiesAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.23.47 BuyUpAmount

XML Tag: BuyUpAmt

Presence: [0..1]

Definition: Amount of cash debited in the context of a buy up option.

Datatype: ["RestrictedFINActiveCurrencyAndAmount"](#) on page 546

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/BuyUpAmount](#)) is removed.
 - on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/BuyUpAmount](#)
- Type changed to: [RestrictedFINActiveCurrencyAndAmount](#) on page 546

5.24 CorporateActionBalanceDetails43__1

Definition: Eligible and not eligible balance of securities for a corporate action event.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TotalEligibleBalance	<TtlElgblBal>		[0..1]		96
	BlockedBalance	<BlckdBal>		[0..1]		97
	BorrowedBalance	<BrrwdBal>		[0..1]		98
	CollateralInBalance	<CollInBal>		[0..1]		98
	CollateralOutBalance	<CollOutBal>		[0..1]		99
	OnLoanBalance	<OnLnBal>		[0..1]		100
	PendingDeliveryBalance	<PdgDlvrBal>		[0..*]	R[0..1]	101
	PendingReceiptBalance	<PdgRctBal>		[0..*]	R[0..1]	101
	OutForRegistrationBalance	<OutForRegnBal>		[0..1]		102
	SettlementPositionBalance	<SttlmPosBal>		[0..*]	R[0..1]	103
	StreetPositionBalance	<StrtPosBal>		[0..1]		104
	TradeDatePositionBalance	<TradDtPosBal>		[0..1]		104
	InTransshipmentBalance	<InTrnsShpmtBal>		[0..1]		105
	RegisteredBalance	<RegdBal>		[0..1]		105
	ObligatedBalance	<OblgtdBal>		[0..1]		106
	UninstructedBalance	<UinstdBal>		[0..1]		107
	InstructedBalance	<InstdBal>		[0..1]		107
	AffectedBalance	<AfctdBal>		[0..1]		108
	UnaffectedBalance	<UafctdBal>		[0..1]		109

Rules

R36 AffectedAndUnaffectedBalanceRule

UnaffectedBalance and AffectedBalance should only be mentioned as a result of the process that was run through the event. For instance lottery results, Dutch auction results or tender offer results.

Error handling: Undefined

Used in element(s)

"Balance" on page 37

5.24.1 TotalEligibleBalance

XML Tag: TtlElgblBal

Presence: [0..1]

Definition: Total balance of securities eligible for this corporate action event. The entitlement calculation is based on this balance.

The **TtlElgblBal** block contains the following elements (see datatype "TotalEligibleBalanceFormat10_1" on page 404 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		404
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	405
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	405

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
 - **DTCC Total Eligible Balance Distributions Rule:**
Represents current eligible [for elections, allocations] balance.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
 - **Redemption Rule:**
General position the summary of the sub accounts as of day prior to Publication Date.
 - **Applicability:**
true
 - **REDEML Trace:**
Total Position
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance**
Synonym (DTCC): Total Eligible Balance

5.24.2 BlockedBalance

XML Tag: BlckdBal

Presence: [0..1]

Definition: Balance of financial instruments that are blocked.

The **BlckdBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance) is removed.







5.24.3 BorrowedBalance



XML Tag: BrrwdBal

Presence: [0..1]

Definition: Balance of financial instruments that have been borrowed from another party.

The **BrrwdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance
 - Market Practice Usage Rule:

Represents the negative net balance of the stock loans.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance

Synonym (DTCC): Stock Loan (negative balance)

5.24.4 CollateralInBalance

XML Tag: CollInBal

Presence: [0..1]

Definition: Balance of securities that belong to a third party and that are held for the purpose of collateralisation.

The **CollInBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on [page 51](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	53

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance](#)
 - **Market Practice Usage Rule:**

Represents the positive net balance of the repurchase agreements (REPO).
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance](#)

Synonym (DTCC): REPO (positive balance)

5.24.5 CollateralOutBalance

XML Tag: CollOutBal

Presence: [0..1]

Definition: Balance of securities that belong to the safekeeping account indicated within this message, and are deposited with a third party for the purpose of collateralisation.

The **CollOutBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on [page 51](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	53

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance**
 - **DTCC Collateral Out Balance Distribution Rule:**
Represents the negative net balance of the repurchase agreements (REPO).
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance**
 - **Applicability:**
true
 - **Redemption Rule:**
Portion of the Original Balance position held in DTC Pledged account as of day prior to Publication Date. Position held in this account is subject to redemption lottery call.
 - **REDEML Trace:**
Pledged Position
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance**
Synonym (DTCC (Redmptions)): Pledged Balance
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance**
Synonym (DTCC (Distributions)): REPO (negative balance)

5.24.6 OnLoanBalance

XML Tag: OnLnBal

Presence: [0..1]

Definition: Balance of financial instruments that have been loaned to a third party.

The **OnLnBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]	🚫	53

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance**
 - **Market Practice Usage Rule:**

Represents the positive net balance of the stock loans.

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance
Synonym (DTCC): Stock Loan (positive balance)

5.24.7 PendingDeliveryBalance

XML Tag: PdgDlvryBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending delivery.

The **PdgDlvryBal** block contains the following elements (see datatype "BalanceFormat12Choice 1" on page 54 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		54
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	54
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	55
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	56
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	56

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance

This element has change repeatability, the maximum occurrence has been changed to : 1

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance

– Market Practice Usage Rule:

Represents the negative net balance of the fail trades.

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance

Synonym (DTCC): FAILs (negative balance)

5.24.8 PendingReceiptBalance

XML Tag: PdgRctBal

Presence: [0..*]

Definition: Balance of financial instruments that are pending receipt.

The **PdgRctBal** block contains the following elements (see datatype "[BalanceFormat12Choice_1](#)" on page 54 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		54
	EligibleBalance	<ElgblBal>	Or	[1..1]	🚫	54
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]	🚫	55
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]	🚫	56
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]	🚫	56

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance](#)
This element has change repeatability, the maximum occurrence has been changed to : 1
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance](#)
 - **Market Practice Usage Rule:**
Represents the positive net balance of the fail trades.
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance](#)
Synonym (DTCC): FAILs (positive balance)

5.24.9 OutForRegistrationBalance

XML Tag: OutForRegnBal

Presence: [0..1]

Definition: Balance of financial instruments currently being processed by the institution responsible for registering the new beneficial owner (or nominee).

The **OutForRegnBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on page 51 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Out-ForRegistrationBalance](#)) is removed.







5.24.10 SettlementPositionBalance





XML Tag: SttlmPosBal

Presence: [0..*]

Definition: Balance of securities representing only settled transactions; pending transactions not included.

The **SttlmPosBal** block contains the following elements (see datatype "[BalanceFormat12Choice 1](#)" on [page 54](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		54
	EligibleBalance	<ElgblBal>	Or	[1..1]		54
	NotEligibleBalance	<NotElgblBal>	Or	[1..1]		55
	FullPeriodUnits	<FullPrdUnits>	Or	[1..1]		56
	PartWayPeriodUnits	<PartWayPrdUnits>	Or}	[1..1]		56

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

This element has change repeatability, the maximum occurrence has been changed to : 1

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

– **DTCC Settlement Position Balance for Distributions Rule:**

Represents current eligible [for elections, allocations] balance.

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance](#)

– **Applicability:**

true

– **Redemption Rule:**

Current position held in a redemption security as of prior close of business.

– **REDEML Trace:**

Current Position

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance**
Synonym (DTCC (Distributions)): Settlement Position Balance
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance**
Comment:
Balance eligible for allocations, elections.

5.24.11 StreetPositionBalance

XML Tag: StrtPosBal

Presence: [0..1]

Definition: Balance of financial instruments that remain registered in the name of the prior beneficial owner.

The **StrtPosBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element(**seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance**) is removed.

5.24.12 TradeDatePositionBalance

XML Tag: TradDtPosBal

Presence: [0..1]

Definition: Balance of securities based on trade date, for example, includes all pending transactions in addition to the balance of settled transactions.

The **TradDtPosBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance) is removed.







5.24.13 InTransshipmentBalance

XML Tag: InTrnsShpmtBal

Presence: [0..1]

Definition: Balance of physical securities that are in the process of being transferred from one depository/agent to another.

The **InTrnsShpmtBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance) is removed.







5.24.14 RegisteredBalance

XML Tag: RegdBal

Presence: [0..1]

Definition: Balance of financial instruments that are registered (in the name of a nominee name or of the beneficial owner).

The **RegdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance) is removed.







5.24.15 ObligatedBalance



XML Tag: OblgtdBal

Presence: [0..1]

Definition: Position that account holders should return to the account servicer to participate in the event or to fulfill their obligation for the event to be complete, for example, return of securities for late announced drawing.

The **OblgtdBal** block contains the following elements (see datatype "BalanceFormat11Choice 1" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance
 - **Applicability:**
true
 - **Redemption Rule:**
Position that account holders should return to the account servicer to participate in the event or to fulfill their obligation for the event to be complete, for example, return of securities for late announced drawing.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance
 - Synonym (DTCC (Redemptions)):** Obligated Balance

5.24.16 UninstructedBalance

XML Tag: UinstdBal

Presence: [0..1]

Definition: Balance of uninstructed position.

The **UinstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 51](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance**
Synonym (DTCC): Uninstructed Balance
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance**
Comment:
 For Reorg PUT events and Redemption of Warrants

5.24.17 InstructedBalance

XML Tag: InstdBal

Presence: [0..1]

Definition: Balance of instructed position.

The **InstdBal** block contains the following elements (see datatype "[BalanceFormat11Choice 1](#)" on [page 51](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance](#)
Synonym (DTCC): Instructed Balance
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance](#)
Comment:
For Reorg PUT events







5.24.18 AffectedBalance



XML Tag: AfctdBal

Presence: [0..1]

Definition: Balance that has been affected by the process run through the event.

The **AfctdBal** block contains the following elements (see datatype "[BalanceFormat11Choice_1](#)" on [page 51](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance](#)
 - **Applicability:**
true
 - **REDEML Trace:**
Called Quantity
 - **Redemption Rule:**
The quantity that has been determined to be redeemed if the participant had position in a redemption security.
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance](#)
Synonym (DTCC (Redemptions)): Affected Balance
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance](#)
Comment:
The quantity that has been determined to be redeemed if the participant had position in a redemption security.







5.24.19 UnaffectedBalance



XML Tag: UafctdBal

Presence: [0..1]

Definition: Balance that has not been affected by the process run through the event.

The **UafctdBal** block contains the following elements (see datatype "BalanceFormat11Choice_1" on page 51 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>	{Or	[1..1]		52
	EligibleBalance	<ElgblBal>	Or	[1..1]		52
	NotEligibleBalance	<NotElgblBal>	Or}	[1..1]		53

Usage Guideline details

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance
 - **Applicability:**
true
 - **REDEML Trace:**
Position Uncalled
 - **Redemption Rule:**
Positions remaining balance after the lottery is run.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance
Synonym (DTCC (Redemptions)): Unaffected Balance

5.25 CorporateActionDate106__1

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdln>		[0..1]		110
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		110
	ProtectDeadline	<PrtctDdln>		[0..1]		111
	MarketDeadline	<MktDdln>		[0..1]		111

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ResponseDeadline	<RspnDdln>		[0..1]		111
	ExpiryDate	<XpryDt>		[0..1]		112
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]		112
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]		112
	StockLendingDeadline	<StockLndgDdln>		[0..1]		113
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdln>		[0..*]		113
	DocumentationDeadline	<DcmnttnDdln>		[0..1]		113

Used in element(s)

"DateDetails" on page 148







5.25.1 EarlyResponseDeadline

XML Tag: EarlyRspnDdln

Presence: [0..1]

Definition: Date/time that the account servicer has set as the deadline to respond, with instructions, to an outstanding event, giving the holder eligibility to incentives.

The **EarlyRspnDdln** block contains the following elements (see datatype "DateFormat43Choice _1" on page 182 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183







5.25.2 CoverExpirationDeadline

XML Tag: CoverXprtnDdln

Presence: [0..1]

Definition: Last day a holder can deliver the securities that it had elected on and/or previously protected.

The **CoverXprtnDdln** block contains the following elements (see datatype "DateFormat43Choice _1" on page 182 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>	Or}	[1..1]		183







5.25.3 ProtectDeadline

XML Tag: PrtctDdln

Presence: [0..1]

Definition: Last date/time a holder can request to defer delivery of securities pursuant to a notice of guaranteed delivery or other required documentation.

The **PrtctDdln** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on [page 182](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183







5.25.4 MarketDeadline

XML Tag: MktDdln

Presence: [0..1]

Definition: Issuer or issuer's agent deadline to respond with an instruction to an outstanding offer or privilege.

The **MktDdln** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on [page 182](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

5.25.5 ResponseDeadline

XML Tag: RspnDdln

Presence: [0..1]

Definition: Date/time at which the account servicer has set as the deadline to respond, with instructions, to an outstanding event.

The **RspnDdln** block contains the following elements (see datatype "[DateFormat44Choice 1](#)" on [page 183](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		183
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		184
	DateCode	<DtCd>	Or}	[1..1]		184







5.25.6 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date/time at which an order expires or on which a privilege or offer terminates.

The **XpryDt** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 182 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183







5.25.7 SubscriptionCostDebitDate

XML Tag: SbcptCostDbtDt

Presence: [0..1]

Definition: Date/time by which cash must be in place in order to take part in the event.

The **SbcptCostDbtDt** block contains the following elements (see datatype "[DateFormat43Choice_1](#)" on page 182 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

5.25.8 DepositoryCoverExpirationDate

XML Tag: DpstryCoverXprtnDt

Presence: [0..1]

Definition: Last day that a participant of the depository can deliver securities that it had elected on and/or previously protected.

The **DpstryCoverXprtnDt** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on page 182 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

5.25.9 StockLendingDeadline

XML Tag: StockLndgDdlIn

Presence: [0..1]

Definition: Date/time set as the deadline to respond, with instructions, to an outstanding event, for which the underlying security is out on loan.

The **StockLndgDdlIn** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on page 182 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

5.25.10 BorrowerStockLendingDeadline

XML Tag: BrrwrStockLndgDdlIn

Presence: [0..*]

Definition: Specifies the party borrowing stocks and the associated stock lending deadline assigned to the borrower.

The **BrrwrStockLndgDdlIn** block contains the following elements (see datatype "[BorrowerLendingDeadline5 1](#)" on page 59 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StockLendingDeadline	<StockLndgDdlIn>		[1..1]		59
	Borrower	<Brrwr>		[1..1]		59

5.25.11 DocumentationDeadline

XML Tag: DcmnttnDdlIn

Presence: [0..1]

Definition: Date/Time by when the documentation must be provided.

The **DcmnttnDdln** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on [page 182](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

5.26 CorporateActionDate84__1

Definition: Specifies corporate action dates.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	114
	ValueDate	<ValDt>		[0..1]	🚫	115
	ForeignExchangeRateFixingDate	<FXRateFxdDt>		[0..1]	🚫	115
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠	116

Used in element(s)

"DateDetails" on [page 68](#)

5.26.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time on which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "[DateFormat30Choice 1](#)" on [page 181](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate**
 - **Applicability:**
true
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate**
Synonym (DTCC): Declared Payable Date
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate**
Comment:
Payable date as announced by the issuer or offeror.







5.26.2 ValueDate

XML Tag: ValDt

Presence: [0..1]

Definition: Date/time when calculating economic benefit for a cash amount.

The **ValDt** block contains the following elements (see datatype "[DateFormat57Choice 1](#)" on page 184 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		185
	DateCode	<DtCd>	Or}	[1..1]		185

Usage Guideline details

- This element(**seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ValueDate**) is removed.







5.26.3 ForeignExchangeRateFixingDate

XML Tag: FXRateFxdDt

Presence: [0..1]

Definition: Date/time at which a foreign exchange rate will be determined.

The **FXRateFxdDt** block contains the following elements (see datatype "[DateFormat43Choice 1](#)" on page 182 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate) is removed.







5.26.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time on which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 181 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/EarliestPaymentDate
 Synonym (DTCC): DTC Anticipated Payable Date
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/EarliestPaymentDate
 Comment:
 Date when DTC anticipates to receive funds / securities and make a payment.

5.27 CorporateActionDate85__1

Definition: Specifies corporate action dates.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RecordDate	<RcrdDt>		[0..1]	⚠	117
	ExDividendDate	<ExDvddDt>		[0..1]	⚠	117
	LotteryDate	<LtryDt>		[0..1]	⚠	118

Used in element(s)

"DateDetails" on page 72

5.27.1 RecordDate

XML Tag: RcrdDt

Presence: [0..1]

Definition: Date/time at which positions are struck at the end of the day to note which parties will receive the relevant amount of entitlement, due to be distributed on payment date.

The **RcrdDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 181 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]	⊘	182

Usage Guideline details

- on seev.035.001.17/CorporateActionDetails/DateDetails/RecordDate
 - **Applicability:**
true
 - **Redemption Rule:**
Calculated as the business day prior to Publication Date.
- on seev.035.001.17/CorporateActionDetails/DateDetails/RecordDate
Synonym (DTCC): Record Date

5.27.2 ExDividendDate

XML Tag: ExDvddDt

Presence: [0..1]

Definition: Date/time as from which trading (including exchange and OTC trading) occurs on the underlying security without the benefit.

The **ExDvddDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 181 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on [seev.035.001.17/CorporateActionDetails/DateDetails/ExDividendDate](#)
Synonym (DTCC): Ex Date

5.27.3 LotteryDate

XML Tag: LtryDt

Presence: [0..1]

Definition: Date/time on which the lottery is run and applied to the holder's positions. This is also applicable to partial calls.

The **LtryDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on [page 181](#) for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on [seev.035.001.17/CorporateActionDetails/DateDetails/LotteryDate](#)
 - **Redemption Rule:**
Date on which called positions are moved either into a segregated account or a DTC Contra CUSIPS(s).
 - **Applicability:**
true
 - **REDEML Trace:**
Lottery Date
- on [seev.035.001.17/CorporateActionDetails/DateDetails/LotteryDate](#)
Synonym (DTCC): Lottery Swing Date

5.28 CorporateActionEventProcessingType2Choice__1

Definition: Choice between a standard code or a proprietary code for specifying the processing type of a corporate action event.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		119
	Proprietary	<Prtry>	Or}	[1..1]	🚫	119

Used in element(s)

"EventProcessingType" on page 126

5.28.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the processing type of a corporate action event.

Datatype: "CorporateActionEventProcessingType1Code" on page 423

5.28.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the processing type of a corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253



Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/EventProcessingType/Proprietary) is removed.

5.29 CorporateActionEventReference3Choice__1

Definition: Choice between a corporate action identification or a corporate action official identification.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtld>	{Or	[1..1]		120
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		120

Used in element(s)

["EventIdentification" on page 121](#)

5.29.1 LinkedOfficialCorporateActionEventIdentification

XML Tag: LkdOffclCorpActnEvtld

Presence: [1..1]

Definition: Official and unique reference assigned by the official central body/ entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text" on page 548](#)

Usage Guideline details

- on [seev.035.001.17/EventsLinkage/EventIdentification/LinkedOfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.29.2 LinkedCorporateActionIdentification

XML Tag: LkdCorpActnId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a related corporate action event.







Datatype: ["RestrictedFINXMax16Text" on page 548](#)

Usage Guideline details

- on [seev.035.001.17/EventsLinkage/EventIdentification/LinkedCorporateActionIdentification](#)
Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.30 CorporateActionEventReference3__1

Definition: Identification of a linked corporate action event.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EventIdentification	<EvtId>		[1..1]		121
	LinkageType	<LkgTp>		[0..1]		121

Used in element(s)

"EventsLinkage" on page 22







5.30.1 EventIdentification



XML Tag: EvtId

Presence: [1..1]

Definition: Identification of the linked corporate action event.

The **EvtId** block contains the following elements (see datatype "[CorporateActionEventReference3Choice__1](#)" on page 119 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	LinkedOfficialCorporateAction-EventIdentification	<LkdOffclCorpActnEvtId>	{Or}	[1..1]		120
	LinkedCorporateActionIdentification	<LkdCorpActnId>	Or}	[1..1]		120







5.30.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this corporate action event is to be processed relative to a linked corporate action event.







The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice__1](#)" on page 311 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		311
	Proprietary	<Prtry>	Or}	[1..1]		312

5.31 CorporateActionEventStageFormat14Choice__1

Definition: Choice between a standard code or proprietary code to specify the event stage type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		122
	Proprietary	<Prtry>	Or}	[1..1]		122

Used in element(s)

"EventStage" on page 72

5.31.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the stage of the corporate action event.

Datatype: "CorporateActionEventStage4Code" on page 424







5.31.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the stage of the corporate action event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253


Usage Guideline details

- This element(seev.035.001.17/CorporateActionDetails/EventStage/Proprietary) is removed.

5.32 CorporateActionEventType108Choice__1

Definition: Choice between formats to express the corporate event type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		123
	Proprietary	<Prtry>	Or}	[1..1]		123

Rules

R22 EventTypeRule

If Code is present, the code OTHR (Other) must only be used in case no other corporate action event code is appropriate.

If Code is present, the code CHAN (Change) must only be used in case no other corporate action event code is appropriate and only for an event which relates to a change.

If Code is present, the code REDM (Final Maturity) must only be used when the redemption is decided by the issuer and not by the securities holders.

Error handling: Undefined

Used in element(s)

["EventType" on page 126](#)

5.32.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Event type expressed as a code.

Datatype: ["CorporateActionEventType36Code" on page 426](#)







5.32.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Event type expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 246](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253








Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/EventType/Proprietary) is removed.

5.33 CorporateActionGeneralInformation178__1

Definition: General information about the corporate action event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CorporateActionEventIdentification	<CorpActnEvtId>		[1..1]		124
	OfficialCorporateActionEventIdentification	<OffclCorpActnEvtId>		[0..1]		125
	ClassActionNumber	<ClssActnNb>		[0..1]	 	125
	EventProcessingType	<EvtPrcgTp>		[0..1]		126
	EventType	<EvtTp>		[1..1]		126
	MandatoryVoluntaryEventType	<MndtryVlntryEvtTp>		[1..1]		127
	UnderlyingSecurity	<UndrlygScty>		[1..1]		128

Rules

R21 OfficialCorporateActionEventReference

The Securities Market Practice Group (SMPG) has published market practice recommendations on the structure and usage of the Official Corporate Action Event Reference (COAF). Those recommendations are

available in the SMPG Global Market Practices Part 1 document on www.smpg.info.

Error handling: Undefined

Used in element(s)

"CorporateActionGeneralInformation" on page 23

5.33.1 CorporateActionEventIdentification

XML Tag: CorpActnEvtId

Presence: [1..1]

Definition: Reference assigned by the account servicer to unambiguously identify a corporate action event.

Datatype: "RestrictedFINXMax16Text" on page 548

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 548
- on [seev.035.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Synonym (DTCC): CA ID
- on [seev.035.001.17/CorporateActionGeneralInformation/CorporateActionEventIdentification](#)
Comment:
DTC unique identifier of the corporate action event.

5.33.2 OfficialCorporateActionEventIdentification

XML Tag: OffclCorpActnEvtId

Presence: [0..1]

Definition: Official and unique reference assigned by the official central body/entity within each market at the beginning of a corporate action event.

Datatype: ["RestrictedFINXMax16Text"](#) on page 548

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Type changed to: [RestrictedFINXMax16Text](#) on page 548
- on [seev.035.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
– Market Practice Usage Rule:
Provided for DTC Eligible securities only.
- on [seev.035.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Synonym (DTCC): Official Reference CA ID
- on [seev.035.001.17/CorporateActionGeneralInformation/OfficialCorporateActionEventIdentification](#)
Comment:
Official corporate action unique identifier.

5.33.3 ClassActionNumber

XML Tag: ClssActnNb

Presence: [0..1]

Definition: Reference assigned by a court to a class action.

Datatype: ["RestrictedFINXMax16Text"](#) on page 548

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/ClassActionNumber) is removed.
- on seev.035.001.17/CorporateActionGeneralInformation/ClassActionNumber
Type changed to: RestrictedFINXMax16Text on page 548







5.33.4 EventProcessingType


XML Tag: EvtPrcgTp

Presence: [0..1]

Definition: Type of processing involved by a corporate action.

The **EvtPrcgTp** block contains the following elements (see datatype "CorporateActionEventProcessing-Type2Choice 1" on page 118 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		119
	Proprietary	<Prtry>	Or}	[1..1]		119

Usage Guideline details

- on seev.035.001.17/CorporateActionGeneralInformation/EventProcessingType
 - **Market Practice Usage Rule:**

Will be used based on DTC categorisation of events. DTC distinguishes Distribution, Reorganisation, General and Redemption (not currently in standard message) event groups. Redemptions value will be extended in supplementary data.







5.33.5 EventType

XML Tag: EvtTp


Presence: [1..1]

Definition: Type of corporate action event.

The **EvtTp** block contains the following elements (see datatype "CorporateActionEvent-Type108Choice 1" on page 122 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		123

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or	[1..1]		123

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/EventType](#)

– Market Practice Usage Rule:

Identifies type of the corporate action event.
ISO EventType Other (OTHR) is used when no other corporate action code is appropriate. DTCC event type set is more granular than ISO, so it is possible for two or more events to use same ISO Event Type code. Other tags within the message will help to uniquely identify the event scenario. For complete rules please refer to DTCC Corporate Action Event Dictionary.

- on [seev.035.001.17/CorporateActionGeneralInformation/EventType](#)

Synonym (DTCC): Event Type

- on [seev.035.001.17/CorporateActionGeneralInformation/EventType](#)

Comment:

Type of corporate action event. (e.g., Exchange Offer, Final Paydown).







5.33.6 MandatoryVoluntaryEventType


XML Tag: MndtryVlntryEvtTp

Presence: [1..1]

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

The **MndtryVlntryEvtTp** block contains the following elements (see datatype "[CorporateActionMandatoryVoluntary3Choice 1](#)" on page 129 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		129
	Proprietary	<Prtry>	Or	[1..1]		129

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType](#)

– Market PracticeImplementation Rule:

The value is determined by the following rule: Issuer (market announced) and DTC processing values for mandatory voluntary event type are compared and highest "chosable" value is determined. For an example if MAND and CHOS were evaluated, CHOS would prevail, if CHOS and VOLU were evaluated VOLU would prevail.

- on [seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType](#)

Synonym (DTCC): DTC Mandatory/ Voluntary

- on [seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType](#)

Comment:

Specifies the nature of the event as announced by the agent or issuer and processed by DTC.







5.33.7 UnderlyingSecurity




















XML Tag: UndrlygScty





Presence: [1..1]

Definition: Security concerned by the corporate action.

The **UndrlygScty** block contains the following elements (see datatype "[FinancialInstrumentAttributes128](#)" on page 193 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]


Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		194
	PlaceOfListing	<PlcOfListg>		[0..1]		196
	DayCountBasis	<DayCntBsis>		[0..1]		196
	ClassificationType	<ClssfctnTp>		[0..1]		196
	OptionStyle	<OptnStyle>		[0..1]		197
	DenominationCurrency	<DnmtnCcy>		[0..1]		197
	NextCouponDate	<NxtCpnDt>		[0..1]		198
	ExpiryDate	<XpryDt>		[0..1]		198
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]		198
	MaturityDate	<MtrtyDt>		[0..1]		198
	IssueDate	<IsseDt>		[0..1]		199
	NextCallableDate	<NxtClblDt>		[0..1]		199
	PutableDate	<PutblDt>		[0..1]		199
	DatedDate	<DtdDt>		[0..1]		200
	ConversionDate	<ConvsDt>		[0..1]		200
	InterestRate	<IntrstRate>		[0..1]		200
	NextInterestRate	<NxtIntrstRate>		[0..1]		201
	PercentageOfDebtClaim	<PctgOfDebtClm>		[0..1]		201
	PreviousFactor	<PrvsFctr>		[0..1]		202

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NextFactor	<NxtFctr>		[0..1]		202
	WarrantParity	<WarrtParity>		[0..1]		203
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]		203
	ContractSize	<CtrctSz>		[0..1]		204

5.34 CorporateActionMandatoryVoluntary3Choice__1

Definition: Choice between a standard code or a proprietary code to indicate if a corporate action event is mandatory or not.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		129
	Proprietary	<Prtry>	Or}	[1..1]		129

Used in element(s)

"MandatoryVoluntaryEventType" on page 127

5.34.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify whether the event is mandatory, mandatory with options or voluntary.

Datatype: "CorporateActionMandatoryVoluntary1Code" on page 466







5.34.2 Proprietary


XML Tag: Prtry


Presence: [1..1]

Definition: Proprietary identification of an event.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SchemeName	<SchmeNm>		[0..1]		253











Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEvent-Type/Proprietary) is removed.

5.35 CorporateActionNarrative56__1

Definition: Provides additional information such as the taxation conditions.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..1]		130
	NarrativeVersion	<NrrtvVrsn>		[0..1]		131
	InformationConditions	<InfCnds>		[0..1]		132
	InformationToComplyWith	<InfToCmplyWth>		[0..1]		132
	TaxationConditions	<TaxtnCnds>		[0..1]		132
	Disclaimer	<Dsclmr>		[0..1]		133
	PartyContactNarrative	<PtyCtctNrrtv>		[0..1]		133
	RegistrationDetails	<RegnDtls>		[0..1]		134
	BasketOrIndexInformation	<BsktOrIndxInf>		[0..1]		134
	CertificationBreakdown	<CertfctnBrkdwn>		[0..1]		135
	ProcessingTextForNextIntermediary	<PrcgTxtForNxtIntrmy>		[0..1]		135

Rules

R81 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 25

5.35.1 AdditionalText

XML Tag: AddtlTxt

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- on [seev.035.001.17/AdditionalInformation/AdditionalText](#)

- **DTCC OTHR Event Type Rule:**

To support ISO cross element rule when Event Type code is RHDI this code will be used.

5.35.2 NarrativeVersion

XML Tag: NrrtvVrsn

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields of this message, - or narrative information not needed for automatic processing.

The **NrrtvVrsn** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- on [seev.035.001.17/AdditionalInformation/NarrativeVersion](#)

- **Redemption Rule:**

External comments regarding for example: rate change, conditional call, positions taken down due to an issue in default, partial pre-refunding and partial defeasement listing new CUSIPS and the rate, etc.

- **Applicability:**

true

– **REDEMC Trace:**
External Comments

5.35.3 InformationConditions

XML Tag: InfConds

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/InformationConditions) is removed.

5.35.4 InformationToComplyWith

XML Tag: InfToCmplyWith

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToCmplyWith** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/InformationToComplyWith) is removed.

5.35.5 TaxationConditions

XML Tag: TaxtnConds

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/TaxationConditions) is removed.

5.35.6 Disclaimer

XML Tag: Dsclmr

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]	⚠	406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/Disclaimer) is removed.

5.35.7 PartyContactNarrative


XML Tag: PtyCtctNrrtv

Presence: [0..1]

Definition: Provides additional information regarding the party, for example, the contact unit or person responsible for the transaction identified in the message.

The **PtyCtctNrrtv** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/PartyContactNarrative) is removed.







5.35.8 RegistrationDetails


XML Tag: RegnDtls

Presence: [0..1]

Definition: Provides information required for the registration.

The **RegnDtls** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/RegistrationDetails) is removed.







5.35.9 BasketOrIndexInformation


XML Tag: BsktOrIdxInf

Presence: [0..1]

Definition: Provides additional information on the basket or index underlying a security, for example a warrant.

The **BsktOrIdxInf** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- This element(seev.035.001.17/AdditionalInformation/BasketOrIndexInformation) is removed.







5.35.10 CertificationBreakdown


XML Tag: CertfctnBrkdown

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.

The **CertfctnBrkdown** block contains the following elements (see datatype "[UpdatedAdditionalInformation17__1](#)" on page 406 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Usage Guideline details

- This element([see v.035.001.17/AdditionalInformation/CertificationBreakdown](#)) is removed.







5.35.11 ProcessingTextForNextIntermediary


XML Tag: PrcgTxtForNxtIntrmy

Presence: [0..1]

Definition: Provides additional information from the account servicer or a service provider solely intended for the next immediate account holder to enable or facilitate event processing between parties.







The **PrcgTxtForNxtIntrmy** block contains the following elements (see datatype "[UpdatedAdditionalInformation17__1](#)" on page 406 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

5.36 CorporateActionNarrative57__1

Definition: Provides additional information such as the taxation conditions.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..1]		136
	NarrativeVersion	<NrrtvVrsn>		[0..1]		136
	InformationConditions	<InfConds>		[0..1]		137

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	InformationToComplyWith	<InfToCmplyWth>		[0..1]		137
	SecurityRestriction	<SctyRstrctn>		[0..1]		137
	TaxationConditions	<TaxtnConds>		[0..1]		138
	Disclaimer	<Dsclmr>		[0..1]		138
	CertificationBreakdown	<CertfctnBrkdown>		[0..1]		138

Rules

R80 AdditionalInformationRule

The AdditionalInformation field in all elements must not contain information that can be provided in a structured field unless bilaterally agreed or advised differently in the element definition as in NarrativeVersion.

Error handling: Undefined

Used in element(s)

"AdditionalInformation" on page 152







5.36.1 AdditionalText


XML Tag: AddtlTxt

Presence: [0..1]

Definition: Provides additional information or specifies in more detail the content of a message. This field may only be used when the information to be transmitted, cannot be coded.

The **AddtlTxt** block contains the following elements (see datatype "UpdatedAdditionalInformation18_1" on page 407 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..1]		408







5.36.2 NarrativeVersion


XML Tag: NrrtvVrsn

Presence: [0..1]

Definition: Provides information that can be ignored for automated processing; - reiteration of information that has been included within structured fields, - or narrative information not needed for automatic processing.

The **NrrtvVrsn** block contains the following elements (see datatype "UpdatedAdditionalInformation18_1" on page 407 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..1]		408







5.36.3 InformationConditions


XML Tag: InfConds

Presence: [0..1]

Definition: Provides conditional information related to the event, for example, an offer is subject to 50 percent acceptance, the offeror allows the securities holder to set some conditions.

The **InfConds** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406







5.36.4 InformationToComplyWith


XML Tag: InfToCmplyWth

Presence: [0..1]

Definition: Provides information conditions to the account owner that are to be complied with, for example, not open to US/Canadian residents, Qualified Institutional Buyers (QIB) or SIL (Sophisticated Investor Letter) to be provided.

The **InfToCmplyWth** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406







5.36.5 SecurityRestriction


XML Tag: SctyRstrctn

Presence: [0..1]

Definition: Provides restriction(s) on securities.

The **SctyRstrctn** block contains the following elements (see datatype "[UpdatedAdditionalInformation17_1](#)" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406







5.36.6 TaxationConditions


XML Tag: TaxtnConds

Presence: [0..1]

Definition: Provides taxation conditions that cannot be included within the structured fields of this message and has not been mentioned in the Service Level Agreement (SLA).

The **TaxtnConds** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406







5.36.7 Disclaimer


XML Tag: Dsclmr

Presence: [0..1]

Definition: Provides a disclaimer relative to the information provided in the message. It may be ignored for automated processing.

The **Dsclmr** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406







5.36.8 CertificationBreakdown


XML Tag: CertfctnBrkdwn

Presence: [0..1]

Definition: Provides additional information about the type of certification/breakdown required.







The **CertfctnBrkdwn** block contains the following elements (see datatype "UpdatedAdditionalInformation17_1" on page 406 for details)
























 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

5.37 CorporateActionOption252__1

Definition: Provides information about the corporate action option.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OptionNumber	<OptnNb>		[1..1]		141
	OptionType	<OptnTp>		[1..1]		142
	FractionDisposition	<FrctnDspstn>		[0..1]		142
	OfferType	<OfferTp>		[0..*]		143
	OptionFeatures	<OptnFeatrs>		[0..*]		143
	OptionAvailabilityStatus	<OptnAvlbtYSts>		[0..1]		144
	CertificationBreakdownType	<CertfctnBrkdwnTp>		[0..*]		144
	NonDomicileCountry	<NonDmclCtry>		[0..*]		145
	ValidDomicileCountry	<VldDmclCtry>		[0..*]		145
	CurrencyOption	<CcyOptn>		[0..1]		145
	DefaultProcessingOrStandingInstruction	<DfltPrcgOrStglInstr>		[1..1]		146
	ChargesAppliedIndicator	<ChrgsAppldInd>		[0..1]		146
	CertificationBreakdownIndicator	<CertfctnBrkdwnInd>		[0..1]		146
	WithdrawalAllowedIndicator	<WdrwlAllwdInd>		[0..1]		147
	ChangeAllowedIndicator	<ChngAllwdInd>		[0..1]		147
	AppliedOptionIndicator	<AppldOptnInd>		[0..1]		147
	SecurityIdentification	<Sctyld>		[0..1]		147
	DateDetails	<DtDtls>		[0..1]		148
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		149
	PriceDetails	<PricDtls>		[0..1]		149
	SecuritiesQuantity	<SctiesQty>		[0..1]		150
	SecuritiesMovementDetails	<SctiesMvmntDtls>		[0..*]		151
	CashMovementDetails	<CshMvmntDtls>		[0..*]		152
	AdditionalInformation	<AddtlInf>		[0..1]		152

Rules**R38 AdditionalTextRule ✓**

If OptionType/Code is OTHR (Other), then AdditionalInformation/AdditionalText/AdditionalInformation must be present.

(MT564 NVR C5).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00175
- *Error Text:* Invalid message content for AdditionalInformation

R39 RevocabilityPeriodRule

If WithdrawalAllowedIndicator or ChangeAllowedIndicator is present and is 'true' or '1' (Yes), then PeriodDetails/RevocabilityPeriod must be present to indicate the period during which the change or withdrawal of instruction is allowed.

Error handling: Undefined

R40 AppliedOptionRule

If DefaultProcessingOrStandingInstruction/DefaultOptionIndicator is present and its value is '1' or 'true' (Yes), then AppliedOptionIndicator value must not be '1' or 'true' (Yes).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R41 InstructCashAmountRule ✓

If OptionFeatures/Code QCAS (InstructCashAmount) is not present, then any message elements in the list (PriceDetails/MaximumCashToInstruct, PriceDetails/MinimumCashToInstruct, PriceDetails/MinimumMultipleCashToInstruct) must not be present.

(MT564 NVR C20).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00417
- *Error Text:* Invalid message content for OptionFeatures QCAS (Instruct Cash Amount)

R42 DissenterRights1Rule ✓

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal

- *Error Code:* X00561
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R43 DissenterRights2Rule ✓

If OfferType/Code value is equal to NDISS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00564
- *Error Text:* Invalid message contents for OfferType. Either code value DISS or NDISS is allowed, not both.

R44 DissenterRights1UGRule

If OfferType/Code value is equal to DISS (DissenterRights), then OfferType/Code value equal to NDIS (DissenterRightsNotApplicable) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

R45 DissenterRights2UGRule

If OfferType/Code value is equal to NDIS (DissenterRightsNotApplicable), then OfferType/Code value equal to DISS (DissenterRights) is not allowed in any occurrence of OfferType.

(MT 564 NVR C27)

Error handling: Undefined

Guidelines

1. OptionNumberGuideline

For differentiation between options, it is recommended to use the OptionNumber rather than the OptionType, for example, in the case where two or more offers can be described by the same corporate action option code.

Used in element(s)

"CorporateActionMovementDetails" on page 24

5.37.1 OptionNumber

XML Tag: OptnNb

Presence: [1..1]

Definition: Number identifying the available corporate action options.

Datatype: "Exact3NumericText" on page 491

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/OptionNumber](#)

Synonym (DTCC): Option Number

- on [seev.035.001.17/CorporateActionMovementDetails/OptionNumber](#)

Comment:

Unique number identifying the option within the event.







5.37.2 OptionType


XML Tag: OptnTp

Presence: [1..1]

Definition: Specifies the corporate action options available to the account owner.

The **OptnTp** block contains the following elements (see datatype "[CorporateActionOption37Choice_1](#)" on page 153 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		153
	Proprietary	<Prtry>	Or}	[1..1]		154

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/OptionType](#)

– **DTCC Option Type Rule:**

Same Option Type as used in Announcement

- on [seev.035.001.17/CorporateActionMovementDetails/OptionType](#)

Synonym (DTCC): Option Type

- on [seev.035.001.17/CorporateActionMovementDetails/OptionType](#)

Comment:

Describes the type of option associated with the event (e.g., retain, sell).







5.37.3 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "[FractionDisposition-Type26Choice_1](#)" on page 244 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/FractionDisposition) is removed.







5.37.4 OfferType

XML Tag: OfferTp

Presence: [0..*]

Definition: Specifies the conditions that apply to the offer.

The **OfferTp** block contains the following elements (see datatype "OfferTypeFormat17Choice 1" on page 285 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		285

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/OfferType) is removed.







5.37.5 OptionFeatures

XML Tag: OptnFeatrs

Presence: [0..*]

Definition: Specifies the features that may apply to a corporate action option.

The **OptnFeatrs** block contains the following elements (see datatype "OptionFeaturesFormat32Choice 1" on page 287 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		287

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Proprietary	<Prtry>	Or}	[1..1]		287

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/OptionFeatures) is removed.







5.37.6 OptionAvailabilityStatus

XML Tag: OptnAvlbtYsts

Presence: [0..1]

Definition: Specifies the status of the option.

The **OptnAvlbtYsts** block contains the following elements (see datatype "OptionAvailabilityStatus3Choice 1" on page 286 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		286
	Proprietary	<Prtry>	Or}	[1..1]		286

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/OptionAvailabilityStatus) is removed.







5.37.7 CertificationBreakdownType

XML Tag: CertfctnBrkdwnTp

Presence: [0..*]

Definition: Type of certification/breakdown.

The **CertfctnBrkdwnTp** block contains the following elements (see datatype "BeneficiaryCertificationType13Choice 1" on page 57 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		57
	Proprietary	<Prtry>	Or}	[1..1]		57

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/CertificationBreakdownType](#)) is removed.

5.37.8 NonDomicileCountry

XML Tag: NonDmclCtry

Presence: [0..*]

Definition: Holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.

Datatype: ["CountryCode" on page 483](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/NonDomicileCountry](#)) is removed.

5.37.9 ValidDomicileCountry

XML Tag: VldDmclCtry

Presence: [0..*]

Definition: Country of domicile in which the Corporate Action option is valid. The holder of the security has to certify that it is domiciled in the country indicated.

Datatype: ["CountryCode" on page 483](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/ValidDomicileCountry](#)) is removed.

5.37.10 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Datatype: ["ActiveCurrencyCode" on page 410](#)

Usage Guideline details

- on [see v.035.001.17/CorporateActionMovementDetails/CurrencyOption](#)
 - **Market Practice Usage Rule:**

Used to identify a foreign currency option on an event - a currency option with foreign (non U.S.) currency election component.

- on [seev.035.001.17/CorporateActionMovementDetails/CurrencyOption](#)

Synonym (DTCC): Currency Election (Sub Event Type)







5.37.11 DefaultProcessingOrStandingInstruction



XML Tag: DfltPrcgOrStgInstr

Presence: [1..1]

Definition: Indicates whether the corporate action movement is a default processing or a standing instruction.

The **DfltPrcgOrStgInstr** block contains the following elements (see datatype "[DefaultProcessingOrStandingInstruction2Choice](#)" on page 187 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]		187
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]		187

5.37.12 ChargesAppliedIndicator

XML Tag: ChrgsAppldInd

Presence: [0..1]

Definition: Indicates whether redemption charges apply.

Datatype: "[YesNoIndicator](#)" on page 555

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/ChargesAppliedIndicator](#)) is removed.

5.37.13 CertificationBreakdownIndicator

XML Tag: CertfctnBrkdwnInd

Presence: [0..1]

Definition: Indicates whether certification/breakdown is required from the account owner.

Yes: certification required

No: no certification required.

Datatype: "[YesNoIndicator](#)" on page 555

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CertificationBreakdownIndicator](#)) is removed.

5.37.14 WithdrawalAllowedIndicator

XML Tag: WdrwlAllwdInd

Presence: [0..1]

Definition: Indicates whether withdrawal of instruction is allowed.

Datatype: ["YesNoIndicator" on page 555](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/WithdrawalAllowedIndicator](#)) is removed.

5.37.15 ChangeAllowedIndicator

XML Tag: ChngAllwdInd

Presence: [0..1]

Definition: Indicates whether change of instruction is allowed.

Datatype: ["YesNoIndicator" on page 555](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/ChangeAllowedIndicator](#)) is removed.

5.37.16 AppliedOptionIndicator

XML Tag: ApldOptnInd

Presence: [0..1]

Definition: Indicates whether the option, different from the default one, shall be applied by the account owner.

Datatype: ["YesNoIndicator" on page 555](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/AppliedOptionIndicator](#)) is removed.

5.37.17 SecurityIdentification

XML Tag: SctyId

Presence: [0..1]

Definition: Identifies the financial instrument.

The **SctyId** block contains the following elements (see datatype "[SecurityIdentification19](#) 1" on [page 395](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		397
	OtherIdentification	<OthrId>		[0..*]		397
	Description	<Desc>		[0..1]		397

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecurityIdentification](#)) is removed.

5.37.18 DateDetails

XML Tag: DtDtIs

Presence: [0..1]

Definition: Provides information about the dates related to a corporate action option.

The **DtDtIs** block contains the following elements (see datatype "[CorporateActionDate106](#) 1" on [page 109](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	EarlyResponseDeadline	<EarlyRspnDdln>		[0..1]		110
	CoverExpirationDeadline	<CoverXprtnDdln>		[0..1]		110
	ProtectDeadline	<PrtctDdln>		[0..1]		111
	MarketDeadline	<MktDdln>		[0..1]		111
	ResponseDeadline	<RspnDdln>		[0..1]		111
	ExpiryDate	<XpryDt>		[0..1]		112
	SubscriptionCostDebitDate	<SbcptCostDbtDt>		[0..1]		112
	DepositoryCoverExpirationDate	<DpstryCoverXprtnDt>		[0..1]		112
	StockLendingDeadline	<StockLndgDdln>		[0..1]		113
	BorrowerStockLendingDeadline	<BrrwrStockLndgDdln>		[0..*]		113

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DocumentationDeadline	<DcmnttnDdln>		[0..1]		113

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/DateDetails) is removed.







5.37.19 RateAndAmountDetails

XML Tag: RateAndAmtDtls

Presence: [0..1]

Definition: Provides information about rates and amounts related to a corporate action option.

The **RateAndAmtDtls** block contains the following elements (see datatype "CorporateAction-Rate140" on page 168 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		171
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		171
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		171
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		172
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]		172
	ProrationRate	<PrratnRate>		[0..1]		173
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		173
	SecondLevelTax	<ScndLvITax>		[0..*]		173
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		174
	TaxOnIncome	<TaxOnIncm>		[0..1]		174

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails) is removed.

5.37.20 PriceDetails

XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to a corporate action option.

The **PricDtls** block contains the following elements (see datatype "[CorporateActionPrice86_1](#)" on [page 158](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		159
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		159
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		160
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		160
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		160

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/PriceDetails) is removed.

5.37.21 SecuritiesQuantity

XML Tag: SctiesQty

Presence: [0..1]

Definition: Provides information about securities quantity related to a corporate action option.

The **SctiesQty** block contains the following elements (see datatype "[SecuritiesOption81_1](#)" on [page 388](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]		389
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		389
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		390
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		390
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		391
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]		391
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]		391

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity) is removed.







5.37.22 SecuritiesMovementDetails














XML Tag: SctiesMvmntDtls

Presence: [0..*]

Definition: Provides information about securities movement related to a corporate action option.

The **SctiesMvmntDtls** block contains the following elements (see datatype "[SecuritiesOption122_1](#)" on [page 377](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtls>		[1..1]		379
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		380
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]		380
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		381
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		381
	NewSecuritiesIssuanceIndicator	<NewSctiesIssncInd>		[0..1]		382
	IncomeType	<IncmTp>		[0..1]		382
	OtherIncomeType	<OthrIncmTp>		[0..*]		383
	ExemptionType	<XmptnTp>		[0..*]		383
	EntitledQuantity	<EntitldQty>		[0..1]		384
	SafekeepingPlace	<SfkpgPlc>		[0..1]		384
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		385
	FractionDisposition	<FrctnDspstn>		[0..1]		385
	CurrencyOption	<CcyOptn>		[0..1]		385
	TradingPeriod	<TradgPrd>		[0..1]		386
	DateDetails	<DtDtls>		[1..1]		386
	RateDetails	<RateDtls>		[0..1]		387
	PriceDetails	<PricDtls>		[0..1]		387
	AmountDetails	<AmtDtls>		[0..1]		388

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails](#)
Synonym (DTCC): Payout (Securities)

5.37.23 CashMovementDetails

XML Tag: CshMvmntDtls

Presence: [0..*]

Definition: Provides information about the cash movement linked to the corporate action option.

The **CshMvmntDtls** block contains the following elements (see datatype "[CashOption117](#) 1" on [page 61](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CreditDebitIndicator	<CdtDbtInd>		[1..1]		63
	ContractualPaymentIndicator	<CtrctlPmtInd>		[0..1]		63
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		63
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		64
	IncomeType	<IncmTp>		[0..1]		64
	OtherIncomeType	<OthrIncmTp>		[0..*]		65
	ExemptionType	<XmptnTp>		[0..*]		65
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		66
	CashAccountIdentification	<CshAcctId>		[0..1]		66
	AmountDetails	<AmtDtls>		[0..1]		66
	DateDetails	<DtDtls>		[1..1]		68
	ForeignExchangeDetails	<FXDtls>		[0..1]		69
	RateAndAmountDetails	<RateAndAmtDtls>		[0..1]		69
	PriceDetails	<PricDtls>		[0..1]		70

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails](#)
Synonym (DTCC): Payout (Cash)

5.37.24 AdditionalInformation

XML Tag: AddtlInf

Presence: [0..1]

Definition: Provides additional information about the corporate action movement.

The **AddtlInf** block contains the following elements (see datatype "[CorporateActionNarrative57__1](#)" on page 135 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalText	<AddtlTxt>		[0..1]		136
	NarrativeVersion	<NrrtvVrsn>		[0..1]		136
	InformationConditions	<InfConds>		[0..1]		137
	InformationToComplyWith	<InfToCmplyWth>		[0..1]		137
	SecurityRestriction	<SctyRstrctn>		[0..1]		137
	TaxationConditions	<TaxtnConds>		[0..1]		138
	Disclaimer	<Dsclmr>		[0..1]		138
	CertificationBreakdown	<CertfctnBrkdown>		[0..1]		138

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation](#)

Comment:

If Option Type is OTHR

Populate /Document/CorpActnMvmntPrlimryAdvC/CorpActnMvmntDtls/AddtlInf/Ad-dtlTxt/AddtlInf with "Option type is unknown".

5.38 CorporateActionOption37Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of corporate action options.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		153
	Proprietary	<Prtry>	Or}	[1..1]		154

Used in element(s)

"OptionType" on page 142

5.38.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of corporate action options.

Datatype: "CorporateActionOption15Code" on page 468

5.38.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of corporate action options.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/OptionType/Proprietary) is removed.

5.39 CorporateActionPreliminaryAdviceType4__1

Definition: Type of movement preliminary advice document.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MovementPreliminaryAdviceIdentification	<MvmntPrimryAdvclId>		[0..1]	⚠	154
	Type	<Tp>		[1..1]		155
	Function	<Fctn>		[1..1]		155

Used in element(s)

"MovementPreliminaryAdviceGeneralInformation" on page 19

5.39.1 MovementPreliminaryAdviceIdentification

XML Tag: MvmntPrimryAdvclId

Presence: [0..1]

Definition: Unique identification of the group of corporate action movement preliminary advice messages when the preliminary advice is split in multiple (paginated) messages.

Datatype: ["RestrictedFINXMax16Text" on page 548](#)

Usage Guideline details

- on [seev.035.001.17/MovementPreliminaryAdviceGeneralInformation/MovementPreliminaryAdviceIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.39.2 Type

XML Tag: Tp

Presence: [1..1]

Definition: Type of movement preliminary advice, for example. new or replacement.

Datatype: ["CorporateActionPreliminaryAdviceType1Code" on page 481](#)

5.39.3 Function

XML Tag: Fctn

Presence: [1..1]

Definition: Specifies whether the message advises about the final eligible balance and entitlements or about an upcoming posting or reversal of securities and/or cash postings.

Datatype: ["CorporateActionMovementPreliminaryAdviceFunction1Code" on page 467](#)

5.40 CorporateActionPrice82__1

Definition: Specifies prices related to a corporate action option.

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]	⊘	156
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	⚠	156
	CashValueForTax	<CshValForTax>		[0..1]	⊘	157
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]	⊘	157
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]	⊘	158

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, etc.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 387

5.40.1 IndicativeOrMarketPrice

XML Tag: IndctvOrMktPric

Presence: [0..1]

Definition: Indicates whether the price is an indicative price or a market price.

The **IndctvOrMktPric** block contains the following elements (see datatype "IndicativeOrMarketPrice12Choice 1" on page 270 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		271
	MarketPrice	<MktPric>	Or}	[1..1]		271

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/IndicativeOrMarketPrice) is removed.

5.40.2 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 310 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpdfdPric>	Or}	[1..1]		311

Usage Guideline details

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice**

Synonym (DTCC): DTC Cash in Lieu Price

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice**

Comment:

DTC Cash in Lieu Price: DTC price for cash disbursement for fractional shares.
Declared Cash In Lieu Price: issuer / market announced price for fractional shares.







5.40.3 CashValueForTax

XML Tag: CshValForTax

Presence: [0..1]

Definition: Cash value of resulting securities proceeds for tax calculation and/or reporting.

The **CshValForTax** block contains the following elements (see datatype "[PriceFormat46Choice_1](#)" on page 303 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpdfdPric>	Or}	[1..1]		304

Usage Guideline details

- This element(**seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashValueForTax**) is removed.







5.40.4 GenericCashPricePaidPerProduct


XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "[PriceFormat73Choice_1](#)" on page 308 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		308
	AmountPrice	<AmtPric>	Or	[1..1]		309
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		309
	IndexPoints	<IndxPts>	Or}	[1..1]		309

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct) is removed.







5.40.5 GenericCashPriceReceivedPerProduct


XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat72Choice 1" on page 305 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		305
	AmountPrice	<AmtPric>	Or	[1..1]		306
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		306
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		306
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]		307
	IndexPoints	<IndxPts>	Or}	[1..1]		307

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct) is removed.

5.41 CorporateActionPrice86__1

Definition: Specifies prices related to a corporate action option.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		159
	OverSubscriptionDepositPrice	<OverSbcptDpstPric>		[0..1]		159
	MaximumCashToInstruct	<MaxCshToInst>		[0..1]		160
	MinimumCashToInstruct	<MinCshToInst>		[0..1]		160
	MinimumMultipleCashToInstruct	<MinMltplCshToInst>		[0..1]		160

Used in element(s)

"PriceDetails" on page 149







5.41.1 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 310 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		311







5.41.2 OverSubscriptionDepositPrice

XML Tag: OverSbcptDpstPric

Presence: [0..1]

Definition: Amount of money required per over-subscribed equity as defined by the issuer.

The **OverSbcptDpstPric** block contains the following elements (see datatype "PriceFormat74Choice 1" on page 310 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpcdfPric>	Or}	[1..1]		311







5.41.3 MaximumCashToInstruct

XML Tag: MaxCshToInst

Presence: [0..1]

Definition: Maximum cash amount that may be instructed.

The **MaxCshToInst** block contains the following elements (see datatype "PriceFormat61Choice_1" on page 304 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpcdfPric>	Or}	[1..1]		305







5.41.4 MinimumCashToInstruct

XML Tag: MinCshToInst

Presence: [0..1]

Definition: Minimum cash amount that may be instructed.

The **MinCshToInst** block contains the following elements (see datatype "PriceFormat61Choice_1" on page 304 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpcdfPric>	Or}	[1..1]		305







5.41.5 MinimumMultipleCashToInstruct

XML Tag: MinMltplCshToInst

Presence: [0..1]

Definition: Minimum multiple of a cash amount that may be instructed.







The **MinMltplCshToInst** block contains the following elements (see datatype "PriceFormat61Choice_1" on page 304 for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		305

5.42 CorporateActionQuantity12__1

Definition: Specifies corporate action quantities.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	BaseDenomination	<BaseDnmtn>		[0..1]		161
	IncrementalDenomination	<IncrmtlDnmtn>		[0..1]		162

Used in element(s)

"SecuritiesQuantity" on page 72







5.42.1 BaseDenomination





XML Tag: BaseDnmtn

Presence: [0..1]

Definition: Minimum integral amount of securities that each account owner must have remaining after the called amounts are applied.

The **BaseDnmtn** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 239 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		240
	FaceAmount	<FaceAmt>	Or	[1..1]		241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

Usage Guideline details

- on seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination
Synonym (DTCC): Base Denomination
- on seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination

Comment:

Used for DRAW and PDEF events







5.42.2 IncrementalDenomination





XML Tag: IncrmtlDnmtn

Presence: [0..1]

Definition: Amount used when the called amount is not met by running the lottery with the base denomination.

The **IncrmtlDnmtn** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice_1" on page 239 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		240
	FaceAmount	<FaceAmt>	Or	[1..1]		241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243


Usage Guideline details

- on [seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination](#)
Synonym (DTCC): Incremental Denomination
- on [seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination](#)
Comment:
 Used for DRAW and PDEF events

5.43 CorporateActionRate123__1

Definition: Specifies security rate details.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcbdRsIt-ntScties>		[0..1]		163
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScties>		[0..1]		163
	NewToOld	<NewToOd>		[0..1]		164

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TransformationRate	<TrfrmatnRate>		[0..1]	🚫	164
	ChargesFees	<ChrgsFees>		[0..1]	🚫	165
	FiscalStamp	<FscIStmp>		[0..1]	🚫	165
	ApplicableRate	<AplblRate>		[0..1]	🚫	166
	TaxCreditRate	<TaxCdtRate>		[0..1]	🚫	166
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]	🚫	167
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	167
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	168

Used in element(s)

"RateDetails" on page 387

5.43.1 AdditionalQuantityForSubscribedResultantSecurities

XML Tag: AddtlQtyForSbcbdrslntScies

Presence: [0..1]

Definition: Quantity of additional intermediate securities/new equities awarded for a given quantity of securities derived from subscription.

The **AddtlQtyForSbcbdrslntScies** block contains the following elements (see datatype "[RatioFormat17Choice 1](#)" on page 368 for details)

🚫 Removed - 🚫 Partial Removed - 💡 Ignored - 💡 Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		368
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		368
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		368

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities](#)) is removed.

5.43.2 AdditionalQuantityForExistingSecurities

XML Tag: AddtlQtyForExstgScies

Presence: [0..1]

Definition: Quantity of additional securities for a given quantity of underlying securities where underlying securities are not exchanged or debited, for example, 1 for 1: 1 new equity credited for every 1 underlying equity = 2 resulting equities.

The **AddtlQtyForExstgScties** block contains the following elements (see datatype "[RatioFormat17Choice 1](#)" on page 368 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		368
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		368
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		368

5.43.3 NewToOld

XML Tag: NewToOd

Presence: [0..1]

Definition: Quantity of new securities for a given quantity of underlying securities, where the underlying securities will be exchanged or debited, for example, 2 for 1: 2 new equities credited for every 1 underlying equity debited = 2 resulting equities.

The **NewToOd** block contains the following elements (see datatype "[RatioFormat18Choice 1](#)" on page 369 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		369
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		370
	AmountToAmount	<AmtToAmt>	Or	[1..1]		370
	AmountToQuantity	<AmtToQty>	Or	[1..1]		370
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		370

5.43.4 TransformationRate

XML Tag: TrfrmatnRate

Presence: [0..1]

Definition: Rate used to determine the cash consideration split across outturn settlement transactions that are the result of a transformation of the parent transaction.

Datatype: "[Percentage14Rate](#)" on page 534

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/TransformationRate) is removed.

5.43.5 ChargesFees

XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "[RateAndAmountFormat57Choice 1](#)" on page 341 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]	⚠	342

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/ChargesFees) is removed.

5.43.6 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/FiscalStamp) is removed.







5.43.7 ApplicableRate

XML Tag: AplblRate

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/ApplicableRate) is removed.







5.43.8 TaxCreditRate


XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "RateFormat26Choice 1" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		347
	Amount	<Amt>	Or	[1..1]		347
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		347

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate) is removed.







5.43.9 FinancialTransactionTaxRate

XML Tag: FinTxTaxRate

Presence: [0..1]

Definition: Rate of financial transaction tax.

The **FinTxTaxRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/FinancialTransactionTaxRate) is removed.







5.43.10 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat56Choice](#) 1" on page 340 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]		340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate) is removed.

5.43.11 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFor-mat56Choice 1" on page 340 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]	⚠	340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax) is removed.

5.44 CorporateActionRate140__1

Definition: Specifies rates related to a corporate action option.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		171
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		171
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		171
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		172

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumAllowedOversubscriptionRate	<MaxAllwdOvrsbcptRate>		[0..1]		172
	ProrationRate	<PratrtnRate>		[0..1]		173
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		173
	SecondLevelTax	<ScndLvITax>		[0..*]		173
	TaxableIncomePerDividendShare	<TaxblIncmPerDvddShr>		[0..*]		174
	TaxOnIncome	<TaxOnIncm>		[0..1]		174

Rules

R46 GrossDistributionRate2Rule

If GrossDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate.

(MT 564 NVR C8).

Error handling: Undefined

R47 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossDistributionRate/AmountAndRateStatus or GrossDistributionRate/NotSpecifiedRate may be present, only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R48 NetDistributionRate1Rule

If NetDistributionRate is repeated, then RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R49 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present. (MT 564 NVR C7).

Error handling: Undefined

R50 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00386
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R51 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R52 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R53 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R54 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 149

5.44.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice 1" on page 341 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]	⚠	342

5.44.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat36Choice 1" on page 266 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	266
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		266
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		267
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		267

5.44.3 NetDistributionRate


XML Tag: NetDstrbtnRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtnRate** block contains the following elements (see datatype "NetDividendRateFormat38Choice 1" on page 280 for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		280
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		280
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		281
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		281

5.44.4 GrossInterestRateUsedForPayment







XML Tag: GrsslIntrstRateUsdForPmt


Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrsslIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat11Choice 1" on page 272 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		273
	Amount	<Amt>	Or	[1..1]		273
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		273
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		274







5.44.5 MaximumAllowedOversubscriptionRate

XML Tag: MaxAllwdOvrscptRate

Presence: [0..1]

Definition: Maximum percentage of shares available through the over subscription privilege, usually a percentage of the basic subscription shares, for example, an account owner subscribing to 100 shares may over subscribe to a maximum of 50 additional shares when the over subscription maximum is 50 percent.

The **MaxAllwdOvrscptRate** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		346







5.44.6 ProrationRate

XML Tag: PrratnRate

Presence: [0..1]

Definition: Percentage of securities accepted by the offeror/issuer.

The **PrratnRate** block contains the following elements (see datatype "[RateFormat32Choice](#)" on page 348 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		349
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		349







5.44.7 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFormat56Choice_1](#)" on page 340 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]		340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

5.44.8 SecondLevelTax

XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is

levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvlTax** block contains the following elements (see datatype "[RateAndAmountFormat56Choice 1](#)" on page 340 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]	⚠	340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

5.44.9 TaxableIncomePerDividendShare

XML Tag: TaxblIncmPerDvddShr

Presence: [0..*]

Definition: Amount included in the dividend/NAV that is identified as gains directly or indirectly derived from interest payments, for example, in the context of the EU Savings directive.

The **TaxblIncmPerDvddShr** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus26 1](#)" on page 358 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		358
	Amount	<Amt>		[1..1]	⚠	359
	RateStatus	<RateSts>		[0..1]		359

5.44.10 TaxOnIncome

XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.







The **TaxOnIncm** block contains the following elements (see datatype "[RateAndAmountFormat57Choice 1](#)" on page 341 for details)


🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]	⚠	342

5.45 CorporateActionReversalReason10Choice__1

Definition: Choice between a standard code or proprietary code to specify the reason for the reversal.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		175
	Proprietary	<Prtry>	Or}	[1..1]		175

Used in element(s)

"Reason" on page 176

5.45.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the reason for the reversal.

Datatype: "CorporateActionReversalReason3Code" on page 482







5.45.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification for the reason of the reversal.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(seev.035.001.17/ReversalReason/Reason/Proprietary) is removed.

5.46 CorporateActionReversalReason__1

Definition: Specifies the reason why the corporate action reversal occurs.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Reason	<Rsn>		[1..1]		176
	AdditionalReasonInformation	<AddtlRsnInf>		[0..1]	🚫 ⚠	176

Rules

R20 AdditionalReasonInformationRule

The AdditionalReasonInformation element must not contain information that can be provided in a structured field unless bilaterally agreed.

Error handling: Undefined

Used in element(s)

"ReversalReason" on page 22

5.46.1 Reason

XML Tag: Rsn

Presence: [1..1]

Definition: Specifies the reason for the reversal.

The **Rsn** block contains the following elements (see datatype "CorporateActionReversalReason10Choice__1" on page 175 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		175
	Proprietary	<Prtry>	Or}	[1..1]	🚫	175

5.46.2 AdditionalReasonInformation

XML Tag: AddtlRsnInf

Presence: [0..1]

Definition: Provides additional information about the processed instruction.







Datatype: ["RestrictedFINXMax256Text" on page 549](#)

Usage Guideline details

- This element([seev.035.001.17/ReversalReason/AdditionalReasonInformation](#)) is removed.
- on [seev.035.001.17/ReversalReason/AdditionalReasonInformation](#)
Type changed to: [RestrictedFINXMax256Text on page 549](#)

5.47 DateAndDateTime2Choice

Definition: Choice between a date or a date and time format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		177
	DateTime	<DtTm>	Or}	[1..1]		177

Used in element(s)

["Date" on page 182](#), ["Date" on page 183](#)

5.47.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Specified date.

Datatype: ["ISODate" on page 501](#)

5.47.2 DateTime

XML Tag: DtTm







Presence: [1..1]


Definition: Specified date and time.

Datatype: ["ISODateTime" on page 501](#)

5.48 DateCode19Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		178
	Proprietary	<Prtry>	Or}	[1..1]		178

Used in element(s)

["DateCode" on page 182](#), ["DateCode" on page 183](#), ["DateCode" on page 184](#)

5.48.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType8Code" on page 486](#)







5.48.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.

The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 246](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary](#)) is removed.
- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary](#)) is removed.
- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary](#)) is removed.
- This element([seev.035.001.17/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary](#)) is removed.
- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary](#)) is removed.

5.49 DateCode20Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		179
	Proprietary	<Prtry>	Or}	[1..1]		179

Used in element(s)

"DateCode" on page 185

5.49.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to indicate the date is unknown.

Datatype: "DateType1Code" on page 485







5.49.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.50 DateCode21Choice__1

Definition: Choice between a code or a proprietary code for a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		180
	Proprietary	<Prtry>	Or}	[1..1]		180

Used in element(s)

["DateCode" on page 181](#)

5.50.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of date.

Datatype: ["DateType7Code" on page 486](#)







5.50.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of date.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.51 DateCodeAndTimeFormat3__1

Definition: Specifies a date code and a time.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		181
	Time	<Tm>		[1..1]		181

Used in element(s)

["DateCodeAndTime" on page 184](#)







5.51.1 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode21Choice_1](#)" on page 179 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		180
	Proprietary	<Prtry>	Or}	[1..1]		180

5.51.2 Time

XML Tag: Tm







Presence: [1..1]


Definition: Specifies the time.

Datatype: ["ISOTime"](#) on page 502

5.52 DateFormat30Choice__1

Definition: Choice between an ISODate format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Used in element(s)

["AvailableDate"](#) on page 393,
 ["DividendRankingDate"](#) on page 393,
 ["EarliestPaymentDate"](#) on page 394,
 ["EarliestPaymentDate"](#) on page 116,
 ["ExDividendDate"](#) on page 117,
 ["LastTradingDate"](#) on page 395,
 ["LotteryDate"](#) on page 118,
 ["PariPassuDate"](#) on page 394,
 ["PaymentDate"](#) on page 392,
 ["PaymentDate"](#) on page 114,
 ["RecordDate"](#) on page 117

5.52.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: ["ISODate"](#) on page 501







5.52.2 DateCode


XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode19Choice__1" on page 177 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		178
	Proprietary	<Prtry>	Or}	[1..1]		178

Usage Guideline details

- This element(seev.035.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode) is removed.
- This element(seev.035.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode) is removed.

5.53 DateFormat43Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		182
	DateCode	<DtCd>	Or}	[1..1]		183

Used in element(s)

"CoverExpirationDeadline" on page 110, "DepositoryCoverExpirationDate" on page 112, "DocumentationDeadline" on page 113, "EarlyResponseDeadline" on page 110, "ExpiryDate" on page 112, "ForeignExchangeRateFixingDate" on page 115, "MarketDeadline" on page 111, "ProtectDeadline" on page 111, "StockLendingDeadline" on page 113, "StockLendingDeadline" on page 59, "SubscriptionCostDebitDate" on page 112

5.53.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "[DateAndDateTime2Choice](#)" on page 177 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		177
	DateTime	<DtTm>	Or}	[1..1]		177

5.53.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "[DateCode19Choice_1](#)" on page 177 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		178
	Proprietary	<Prtry>	Or}	[1..1]		178

5.54 DateFormat44Choice__1

Definition: Choice between an ISODate or ISODateTime format or a date code or a date code and a time.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		183
	DateCodeAndTime	<DtCdAndTm>	Or	[1..1]		184
	DateCode	<DtCd>	Or}	[1..1]		184

Used in element(s)

"[ResponseDeadline](#)" on page 111

5.54.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

The **Dt** block contains the following elements (see datatype "DateAndDateTime2Choice" on page 177 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		177
	DateTime	<DtTm>	Or}	[1..1]		177

5.54.2 DateCodeAndTime

XML Tag: DtCdAndTm

Presence: [1..1]

Definition: Specifies a date code and a time.

The **DtCdAndTm** block contains the following elements (see datatype "DateCodeAndTimeFormat3__1" on page 180 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DateCode	<DtCd>		[1..1]		181
	Time	<Tm>		[1..1]		181

5.54.3 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Specifies the type of date.

The **DtCd** block contains the following elements (see datatype "DateCode19Choice__1" on page 177 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		178
	Proprietary	<Prtry>	Or}	[1..1]		178

5.55 DateFormat57Choice__1

Definition: Provides the date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		185
	DateCode	<DtCd>	Or}	[1..1]		185

Used in element(s)

"ValueDate" on page 115

5.55.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as a calendar date.

Datatype: "ISODate" on page 501







5.55.2 DateCode

XML Tag: DtCd

Presence: [1..1]

Definition: Date is expressed using a code.







The **DtCd** block contains the following elements (see datatype "DateCode20Choice 1" on page 179 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		179
	Proprietary	<Prtry>	Or}	[1..1]		179

5.56 DateFormat73Choice

Definition: Specifies the value of a date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		186
	NotSpecifiedDate	<NotSpcfdDt>	Or}	[1..1]		186

Used in element(s)

["EndDate" on page 299](#), ["StartDate" on page 299](#)

5.56.1 Date

XML Tag: Dt

Presence: [1..1]

Definition: Date expressed as an ISO Date.

Datatype: ["ISODate" on page 501](#)

5.56.2 NotSpecifiedDate

XML Tag: NotSpcdfDt







Presence: [1..1]

Definition: Date not specified, for example, the date is unknown.

Datatype: ["DateType8Code" on page 486](#)

5.57 DeemedRateType1Choice__1

Definition: Choice between a standard code or proprietary code to specify a deemed rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		186
	Proprietary	<Prtry>	Or}	[1..1]		186

Used in element(s)

["RateType" on page 359](#), ["RateType" on page 367](#)

5.57.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of deemed rate.

Datatype: ["DeemedRateType1Code" on page 488](#)







5.57.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of deemed rate.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30__1" on page 246](#) for details)



 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.58 DefaultProcessingOrStandingInstruction2Choice

Definition: Choice between default processing or standing instruction.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DefaultOptionIndicator	<DfltOptnInd>	{Or	[1..1]		187
	StandingInstructionIndicator	<StgInstrInd>	Or}	[1..1]		187

Used in element(s)

["DefaultProcessingOrStandingInstruction" on page 146](#)

5.58.1 DefaultOptionIndicator

XML Tag: DfltOptnInd

Presence: [1..1]

Definition: Indicates whether the option, for example, currency option, will be selected by default if no instruction is provided by the account owner.

Datatype: ["YesNoIndicator" on page 555](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator](#)
Synonym (DTCC): DTC Default Option Flag
- on [seev.035.001.17/CorporateActionMovementDetails/DefaultProcessingOrStandingInstruction/DefaultOptionIndicator](#)
Comment:
 Identifies whether the option is processed as default option by DTC.

5.58.2 StandingInstructionIndicator

XML Tag: StgInstrInd

Presence: [1..1]

Definition: Indicates whether an account owner has placed a standing order to select this corporate action option.

The standing instruction may or may not be overridden, depending on account or event terms.

Datatype: ["YesNoIndicator" on page 555](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/DefaultProcessingOrStandingInstruction/StandingInstructionIndicator](#)) is removed.

5.59 DocumentIdentification31__1

Definition: Identification of a document and type of link.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		188
	LinkageType	<LkgTp>		[0..1]		188

Used in element(s)

["MovementConfirmationIdentification" on page 20](#), ["NotificationIdentification" on page 20](#),
["PreviousMovementPreliminaryAdviceIdentification" on page 20](#)

5.59.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: ["RestrictedFINXMax16Text" on page 548](#)

Usage Guideline details

- on [seev.035.001.17/PreviousMovementPreliminaryAdviceIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax16Text on page 548](#)
- on [seev.035.001.17/NotificationIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax16Text on page 548](#)
- on [seev.035.001.17/MovementConfirmationIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax16Text on page 548](#)







5.59.2 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.

The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice 1](#)" on [page 311](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		311
	Proprietary	<Prtry>	Or}	[1..1]		312

Usage Guideline details

- This element([seev.035.001.17/MovementConfirmationIdentification/LinkageType](#)) is removed.
- This element([seev.035.001.17/PreviousMovementPreliminaryAdviceIdentification/LinkageType](#)) is removed.

5.60 DocumentIdentification32__1

Definition: Identification of a document as well as the document number and type of link.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		189
	DocumentNumber	<DocNb>		[0..1]		190
	LinkageType	<LkgTp>		[0..1]		190

Used in element(s)

"[OtherDocumentIdentification](#)" on [page 21](#)







5.60.1 Identification



XML Tag: Id

Presence: [1..1]

Definition: Unique identifier of the document (message) assigned either by the account servicer or the account owner.

The **Id** block contains the following elements (see datatype "[DocumentIdentification3Choice 1](#)" on [page 190](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		191
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		191







5.60.2 DocumentNumber

XML Tag: DocNb

Presence: [0..1]

Definition: Identification of the type of document.

The **DocNb** block contains the following elements (see datatype "[DocumentNumber5Choice_1](#)" on page 192 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		192
	LongNumber	<LngNb>	Or	[1..1]		193
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		193







5.60.3 LinkageType

XML Tag: LkgTp

Presence: [0..1]

Definition: Specifies when this document is to be processed relative to another referred document.







The **LkgTp** block contains the following elements (see datatype "[ProcessingPosition7Choice_1](#)" on page 311 for details)



 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		311
	Proprietary	<Prtry>	Or}	[1..1]		312

5.61 DocumentIdentification3Choice__1

Definition: Choice between a document identification provided either by the account owner or by the account servicer.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AccountServicerDocumentIdentification	<AcctSvcrDocId>	{Or	[1..1]		191
	AccountOwnerDocumentIdentification	<AcctOwnrDocId>	Or}	[1..1]		191

Used in element(s)

"Identification" on page 189

5.61.1 AccountServicerDocumentIdentification

XML Tag: AcctSvcrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account servicer.

Datatype: "RestrictedFINXMax16Text" on page 548

Usage Guideline details

- on [seev.035.001.17/OtherDocumentIdentification/Identification/AccountServicerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.61.2 AccountOwnerDocumentIdentification

XML Tag: AcctOwnrDocId

Presence: [1..1]

Definition: Identification of the document assigned by the account owner.

Datatype: "RestrictedFINXMax16Text" on page 548







Usage Guideline details


- on [seev.035.001.17/OtherDocumentIdentification/Identification/AccountOwnerDocumentIdentification](#)

Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.62 DocumentIdentification9__1

Definition: Identifies a document by a unique identification.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		192

Used in element(s)

"InstructionIdentification" on page 21

5.62.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identifies the document.

Datatype: "RestrictedFINXMax16Text" on page 548







Usage Guideline details

- on [seev.035.001.17/InstructionIdentification/Identification](#)

Type changed to: [RestrictedFINXMax16Text on page 548](#)

5.63 DocumentNumber5Choice__1

Definition: Choice between a short document number, a long document number or a proprietary document number.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortNumber	<ShrtNb>	{Or	[1..1]		192
	LongNumber	<LngNb>	Or	[1..1]		193
	ProprietaryNumber	<PrtryNb>	Or}	[1..1]		193

Rules**R19 ShortLongNumberRule**

ShortNumber must contain the FIN message type number of the linked message. LongNumber must contain the XML message identifier of the linked message.

Error handling: Undefined

Used in element(s)

"DocumentNumber" on page 190

5.63.1 ShortNumber

XML Tag: ShrtNb

Presence: [1..1]

Definition: Message type number of the document referenced.

Datatype: ["Exact3NumericText" on page 491](#)

5.63.2 LongNumber

XML Tag: LngNb

Presence: [1..1]

Definition: MX Message identifier of the referenced document.

Datatype: ["ISO20022MessageIdentificationText" on page 501](#)







5.63.3 ProprietaryNumber




XML Tag: PrtryNb

Presence: [1..1]

Definition: Proprietary document identification.







The **PrtryNb** block contains the following elements (see datatype ["GenericIdentification36__1" on page 259](#) for details)








 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		260
	Issuer	<Issr>		[1..1]		260
	SchemeName	<SchmeNm>		[0..1]		261

5.64 FinancialInstrumentAttributes128__1

Definition: Description of the financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		194
	PlaceOfListing	<PlcOfListg>		[0..1]		196
	DayCountBasis	<DayCntBsis>		[0..1]		196
	ClassificationType	<ClssfctnTp>		[0..1]		196
	OptionStyle	<OptnStyle>		[0..1]		197
	DenominationCurrency	<DnmtnCcy>		[0..1]		197
	NextCouponDate	<NxtCpnDt>		[0..1]		198

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ExpiryDate	<XpryDt>		[0..1]	⊘	198
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	⊘	198
	MaturityDate	<MtrtyDt>		[0..1]	⊘	198
	IssueDate	<IsseDt>		[0..1]	⊘	199
	NextCallableDate	<NxtClblDt>		[0..1]	⊘	199
	PutableDate	<PutblDt>		[0..1]	⊘	199
	DatedDate	<DtdDt>		[0..1]	⊘	200
	ConversionDate	<ConvstDt>		[0..1]	⊘	200
	InterestRate	<IntrstRate>		[0..1]	⊘	200
	NextInterestRate	<NxtIntrstRate>		[0..1]	⊘	201
	PercentageOfDebtClaim	<PctgOfDebtClm>		[0..1]	⊘	201
	PreviousFactor	<PrvsFctr>		[0..1]	⊘	202
	NextFactor	<NxtFctr>		[0..1]	⊘	202
	WarrantParity	<WarrtParity>		[0..1]	⊘	203
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	⊘	203
	ContractSize	<CtrctSz>		[0..1]	⊘	204

Rules

R23 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R24 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"UnderlyingSecurity" on page 128

5.64.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identifies the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19_1](#)" on page 395 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		397
	OtherIdentification	<OthrId>		[0..*]	R[0..1]	397
	Description	<Desc>		[0..1]		397

Usage Guideline details

- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
 - **Applicability:**
true
 - **REDEML Trace:**
Redemption Contra-CUSIP
 - **Redemption Rule:**
Redemption Contra-CUSIP can also be mapped here if the event is announced by DTC 'on' the Contra CUSIP.
 - **REDEMG Trace:**
Redemption CUSIP Number
 - **REDEMA Trace:**
CUSIP
 - **REDEMX Trace:**
CUSIP
 - **REDEMC Trace:**
CUSIP
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Synonym (DTCC): Event Security ID
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Synonym (DTCC): Event Security Description
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Synonym (DTCC): Event Security ID Type
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification**
Comment:
 Security Identifier and type of the event issue.







5.64.2 PlaceOfListing


XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "[MarketIdentification3Choice_1](#)" on page 277 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or	[1..1]		277
	Description	<Desc>	Or}	[1..1]		277

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/Place-OfListing) is removed.







5.64.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "[InterestComputationMethodFormat4Choice_1](#)" on page 271 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		272
	Proprietary	<Prtry>	Or}	[1..1]		272

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/Day-CountBasis) is removed.

5.64.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "[ClassificationType32Choice_1](#)" on page 70 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		71
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		71

Usage Guideline details

- This element(see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ClassificationType) is removed.

5.64.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "[OptionStyle8Choice_1](#)" on page 288 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		288
	Proprietary	<Prtry>	Or}	[1..1]		288

Usage Guideline details

- This element(see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle) is removed.

5.64.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: "[ActiveOrHistoricCurrencyCode](#)" on page 411

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/DenominationCurrency](#)) is removed.

5.64.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/NextCouponDate](#)) is removed.

5.64.8 ExpiryDate

XML Tag: XpryDt

Presence: [0..1]

Definition: Date on which an order expires or at which a privilege or offer terminates.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ExpiryDate](#)) is removed.

5.64.9 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FloatingRateFixingDate](#)) is removed.

5.64.10 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/MaturityDate](#)) is removed.

5.64.11 IssueDate

XML Tag: IsseDt

Presence: [0..1]

Definition: Date on which the financial instrument is issued.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/IssueDate](#)) is removed.

5.64.12 NextCallableDate

XML Tag: NxtClblDt

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/NextCallableDate](#)) is removed.

5.64.13 PutableDate

XML Tag: PutblDt

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/PutableDate) is removed.

5.64.14 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: "ISODate" on page 501

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/DatedDate) is removed.

5.64.15 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: "ISODate" on page 501

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ConversionDate) is removed.







5.64.16 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/InterestRate) is removed.







5.64.17 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/NextInterestRate) is removed.







5.64.18 PercentageOfDebtClaim

XML Tag: PctgOfDebtClm

Presence: [0..1]

Definition: Percentage of the underlying assets of a fund that represents a debt, for example, in the context of the EU Savings directive.

The **PctgOfDebtClm** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/PercentageOfDebtClaim) is removed.







5.64.19 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 345 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		345
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/PreviousFactor) is removed.







5.64.20 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "[RateFormat12Choice](#)" on page 345 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		345

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/NextFactor) is removed.







5.64.21 WarrantParity



XML Tag: WarrtParity

Presence: [0..1]

Definition: Provides the ratio between the quantity of warrants and the quantity of underlying securities.

The **WarrtParity** block contains the following elements (see datatype "[QuantityToQuantityRatio1_1](#)" on page 324 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		324
	Quantity2	<Qty2>		[1..1]		325

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity) is removed.







5.64.22 MinimumNominalQuantity




XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice_1](#)" on page 216 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]		230

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity) is removed.







5.64.23 ContractSize




XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 216 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]		230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237




Usage Guideline details

- This element(seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize) is removed.

5.65 FinancialInstrumentAttributes129__1

Definition: Description of the financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]		206
	PlaceOfListing	<PlcOfListg>		[0..1]		207
	DayCountBasis	<DayCntBsis>		[0..1]		207

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationType	<ClssfctnTp>		[0..1]	⊘	207
	OptionStyle	<OptnStyle>		[0..1]	⊘	208
	DenominationCurrency	<DnmtnCcy>		[0..1]	⊘	208
	NextCouponDate	<NxtCpnDt>		[0..1]	⊘	209
	FloatingRateFixingDate	<FltgRateFxdDt>		[0..1]	⊘	209
	MaturityDate	<MtrtyDt>		[0..1]	⚠	209
	IssueDate	<IsseDt>		[0..1]	⊘	210
	NextCallableDate	<NxtClblDt>		[0..1]	⊘	210
	PuttableDate	<PutblDt>		[0..1]	⊘	210
	DatedDate	<DtdDt>		[0..1]	⊘	211
	ConversionDate	<ConvstDt>		[0..1]	⊘	211
	PreviousFactor	<PrvsFctr>		[0..1]	⊘	211
	NextFactor	<NxtFctr>		[0..1]	⊘	212
	InterestRate	<IntrstRate>		[0..1]	⊘	212
	NextInterestRate	<NxtIntrstRate>		[0..1]	⊘	213
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	⊘	213
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	⊘	214
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	⊘	214
	ContractSize	<CtrctSz>		[0..1]	⊘	215
	IssuePrice	<IssePric>		[0..1]	⊘	215

Rules

R60 PreviousNextFactorRule

In case of partial redemption by pool factor, PreviousFactor provides the factor applicable before redemption and NextFactor provides the factor applicable after redemption.

Error handling: Undefined

R61 MaturityDateRule

Maturity date may only provide generic information about the final maturity of the underlying instrument.

Error handling: Undefined

Used in element(s)

"SecurityDetails" on page 379

5.65.1 FinancialInstrumentIdentification

XML Tag: FinInstrmId

Presence: [1..1]

Definition: Identification of the financial instrument.

The **FinInstrmId** block contains the following elements (see datatype "[SecurityIdentification19_1](#)" on page 395 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		397
	OtherIdentification	<OthrId>		[0..*]		397
	Description	<Desc>		[0..1]	⚠	397

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
 - **Applicability:**
true
 - **Redemption Rule:**
Contra CUSIP is also mapped to this tag when event distributes into Contra CUSIP.
 - **REDEML Trace:**
Redemption Contra-CUSIP
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
Synonym (DTCC): DTC Disbursed Fractional Security ID
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
Synonym (DTCC): DTC Disbursed Fractional Security ID Type
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
Synonym (DTCC): DTC Disbursed Security ID
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
Synonym (DTCC): DTC Disbursed Security ID Type
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification**
Comment:

Security identifier and type that will be paid / drawn.

5.65.2 PlaceOfListing

XML Tag: PlcOfListg

Presence: [0..1]

Definition: Place where the referenced financial instrument is listed.

The **PlcOfListg** block contains the following elements (see datatype "[MarketIdentification3Choice_1](#)" on page 277 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktIdrCd>	{Or}	[1..1]		277
	Description	<Desc>	Or}	[1..1]	⚠	277

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing) is removed.

5.65.3 DayCountBasis

XML Tag: DayCntBsis

Presence: [0..1]

Definition: Specifies the computation method of (accrued) interest of the financial instrument.

The **DayCntBsis** block contains the following elements (see datatype "[InterestComputationMethodFormat4Choice_1](#)" on page 271 for details)

⊘ Removed - ⊘ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		272
	Proprietary	<Prtry>	Or}	[1..1]		272

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis) is removed.

5.65.4 ClassificationType

XML Tag: ClssfctnTp

Presence: [0..1]

Definition: Classification type of the financial instrument, as per the ISO Classification of Financial Instrument (CFI).

The **ClssfctnTp** block contains the following elements (see datatype "[ClassificationType32Choice 1](#)" on page 70 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ClassificationFinancialInstrument	<ClssfctnFinInstrm>	{Or	[1..1]		71
	AlternateClassification	<AltrnClssfctn>	Or}	[1..1]		71

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType) is removed.

5.65.5 OptionStyle

XML Tag: OptnStyle

Presence: [0..1]

Definition: Specifies how an option can be exercised.

The **OptnStyle** block contains the following elements (see datatype "[OptionStyle8Choice 1](#)" on page 288 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		288
	Proprietary	<Prtry>	Or}	[1..1]		288

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle) is removed.

5.65.6 DenominationCurrency

XML Tag: DnmtnCcy

Presence: [0..1]

Definition: Currency in which a financial instrument is currently denominated.

Datatype: ["ActiveOrHistoricCurrencyCode" on page 411](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/DenominationCurrency](#)) is removed.

5.65.7 NextCouponDate

XML Tag: NxtCpnDt

Presence: [0..1]

Definition: Next payment date of an interest bearing financial instrument.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/NextCouponDate](#)) is removed.

5.65.8 FloatingRateFixingDate

XML Tag: FltgRateFxdDt

Presence: [0..1]

Definition: Date on which the interest rate or redemption price will be/was calculated according to the terms of the issue.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FloatingRateFixingDate](#)) is removed.

5.65.9 MaturityDate

XML Tag: MtrtyDt

Presence: [0..1]

Definition: Date on which a financial instrument becomes due and assets are to be repaid.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate](#)
 - **Applicability:**
true

– REDEMG Trace:

New Maturity Date

- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MaturityDate`

Synonym (DTCC (Redemptions)): Refunded Maturity Date

5.65.10 IssueDate

XML Tag: `IsseDt`

Presence: [0..1]

Definition: Date at which the security was made available.

Datatype: `"ISODate"` on page 501**Usage Guideline details**

- This element(`seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/IssueDate`) is removed.

5.65.11 NextCallableDate

XML Tag: `NxtClblDt`

Presence: [0..1]

Definition: Date on which a financial instrument is called away/redeemed before its scheduled maturity.

Datatype: `"ISODate"` on page 501**Usage Guideline details**

- This element(`seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/NextCallableDate`) is removed.

5.65.12 PutableDate

XML Tag: `PutblDt`

Presence: [0..1]

Definition: Date on which a holder of a financial instrument has the right to request redemption of the principal amount prior to its scheduled maturity date.

Datatype: `"ISODate"` on page 501**Usage Guideline details**

- This element(`seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/PutableDate`) is removed.

5.65.13 DatedDate

XML Tag: DtdDt

Presence: [0..1]

Definition: Date on which an interest bearing financial instrument begins to accrue interest.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/DatedDate](#)) is removed.

5.65.14 ConversionDate

XML Tag: ConvsDt

Presence: [0..1]

Definition: Deadline by which a convertible security must be converted, according to the terms of the issue.

Datatype: ["ISODate" on page 501](#)

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ConversionDate](#)) is removed.

5.65.15 PreviousFactor

XML Tag: PrvsFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) until the next redemption (factor) date.

The **PrvsFctr** block contains the following elements (see datatype ["RateFormat12Choice" on page 345](#) for details)

❌ Removed -
 ⊗ Partial Removed -
 ◇ Ignored -
 ⊗ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		345
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/PreviousFactor) is removed.







5.65.16 NextFactor

XML Tag: NxtFctr

Presence: [0..1]

Definition: Factor used to calculate the value of the outstanding principal of the financial instrument (for factored securities) that will applicable after the redemption (factor) date.

The **NxtFctr** block contains the following elements (see datatype "RateFormat12Choice" on page 345 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		345
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/NextFactor) is removed.







5.65.17 InterestRate

XML Tag: IntrstRate

Presence: [0..1]

Definition: Annualised interest rate of a financial instrument used to calculate the actual interest rate of the coupon or the accrued interest.

The **IntrstRate** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/InterestRate) is removed.







5.65.18 NextInterestRate

XML Tag: NxtIntrstRate

Presence: [0..1]

Definition: Interest rate applicable to the next interest payment period in relation to variable rate instruments.

The **NxtIntrstRate** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/NextInterestRate) is removed.







5.65.19 MinimumNominalQuantity




XML Tag: MinNmnlQty

Presence: [0..1]

Definition: Minimum nominal quantity of financial instrument.

The **MinNmnlQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice_1](#)" on page 216 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]		230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity) is removed.

5.65.20 MinimumQuantityToInstruct

XML Tag: MinQtyToInst

Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 216 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	216
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct) is removed.

5.65.21 MinimumMultipleQuantityToInstruct

XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice 1" on page 216 for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	216
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct](#)) is removed.

5.65.22 ContractSize

XML Tag: CtrctSz

Presence: [0..1]

Definition: Ratio or multiplying factor used to convert one contract into a financial instrument quantity.

The **CtrctSz** block contains the following elements (see datatype "[FinancialInstrumentQuantity33Choice 1](#)" on page 216 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	216
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize](#)) is removed.

5.65.23 IssuePrice

XML Tag: IssePric

Presence: [0..1]

Definition: Initial issue/valuation price of a resulting security under a corporate action.

The **IssePric** block contains the following elements (see datatype "[PriceFormat74Choice 1](#)" on page 310 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		311





Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/IssuePrice](#)) is removed.

5.66 FinancialInstrumentQuantity33Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

Rules

R31 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["ContractSize" on page 204](#), ["ContractSize" on page 215](#), ["FinancialInstrumentQuantity" on page 49](#), ["MinimumMultipleQuantityToInstruct" on page 214](#), ["MinimumNominalQuantity" on page 203](#), ["MinimumNominalQuantity" on page 213](#), ["MinimumQuantityToInstruct" on page 214](#), ["Quantity" on page 399](#), ["Quantity" on page 319](#), ["Quantity" on page 323](#)

5.66.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/FullPeriodUnits/Quantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/PartWayPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/NotEligibleBalance/Quantity/Unit](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/Unit**
Type changed to: RestrictedFINDecimalNumber on page 547

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/Unit
Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/Unit**

Type changed to: RestrictedFINDecimalNumber on page 547

- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit**

Type changed to: RestrictedFINDecimalNumber on page 547

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit**

Type changed to: RestrictedFINDecimalNumber on page 547

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/Unit**

Type changed to: RestrictedFINDecimalNumber on page 547

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit**

Type changed to: RestrictedFINDecimalNumber on page 547

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/Unit**

– **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/Unit**

– **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/Unit**

– **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/Unit**

– **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/Unit**

– **DTCC Unit Rule:**

Map when the Asset Class is NOT Debt.

- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/Unit`
 - **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/Unit`
 - **Market Practice Implementation Rule:**

Used for non debt securities.
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit`
 - **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/Unit`
 - **Market Practice Usage Rule:**

Used for non debt securities.
- on `seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/Unit`
 - **Market Practice Implementation Rule:**

Used when the cash rate distribution is not on debt security. Rate reported per unit of 1.
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/Unit`
 - **Market Practice Implementation Rules:**

Map when the Asset Class is NOT Debt.

5.66.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 547

Usage Guideline details

- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/FaceAmount`

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/NotEligibleBalance/Quantity/FaceAmount
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
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Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/NotEligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/EligibleBalance/Quantity/FaceAmount
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/FullPeriodUnits/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/FaceAmount`
Type changed to: `RestrictedFINImpliedCurrencyAndAmount` on page 547
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/Quantity/FaceAmount`
 - Market Practice Implementation Rules:

`Map when the Asset Class is Debt.`
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/FaceAmount`
 - Market Practice Implementation Rules:

`Map when the Asset Class is Debt.`
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount`
 - Market Practice Usage Rule:

`Used for debt securities.`
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/FaceAmount`
 - Market Practice Implementation Rules:

`Map when the Asset Class is Debt.`

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - **Market Practice Implementation Rules:**

Map when the Asset Class is Debt.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/FaceAmount
 - **Market Practice Implementation Rule:**

Used when the cash rate distribution is on a debt security. Rate reported on face value 1000.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/FaceAmount
 - **DTCC Face Amount Rule:**

Map when the Asset Class is Debt.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - **Market Practice Implementation Rules:**

Map when the Asset Class is Debt.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - **Market Practice Implementation Rules:**

Map when the Asset Class is Debt.
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/FaceAmount
 - **Market Practice Implementation Rule:**

Used for debt securities.
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/Quantity/FaceAmount
 - **Market Practice Implementation Rules:**

Map when the Asset Class is Debt.

5.66.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 547

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/In-structedBalance/NotEligibleBalance/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/On-LoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Bor-rowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Oblig-atedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Collat-eralOutBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/In-structedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Unin-structedBalance/EligibleBalance/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDe-tails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumen-tQuantity/FinancialInstrumentQuantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/To-talEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/AmortisedValue) is re-moved.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Unin-structedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Af-fectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Collat-eralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/In-structedBalance/EligibleBalance/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Pend-ingReceiptBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Settle-mentPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDe-tails/EntitledQuantity/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Unaf-fectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Pend-ingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue) is removed.

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/FullPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumMultipleQuantityToInstruct/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/FullPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/FinancialInstrumentQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/SignedQuantity/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ContractSize/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPosition-Balance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOut-Balance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumNominalQuantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/PartWayPeriodUnits/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/EligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/NotEligibleBalance/Quantity/AmortisedValue
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/MinimumQuantityToInstruct/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/NotEligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/EligibleBalance/Quantity/AmortisedValue**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ContractSize/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/NotEligibleBalance/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/MinimumNominalQuantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/FullPeriodUnits/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)
- on `seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/NotEligibleBalance/Quantity/AmortisedValue`
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

5.66.4 DigitalTokenUnit

XML Tag: DgtlTknUnit

Presence: [1..1]

Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber" on page 519](#)

5.67 FinancialInstrumentQuantity34Choice__1

Definition: Choice between formats for the quantity of security.

⊘ Removed -
 ⊘ Partial Removed -
 ◇ Ignored -
 ◇ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	238
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	238
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	239

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	Or	[1..1]		239
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		239

Rules**R55 DigitalTokenUnitUsageRule**

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["MaximumQuantityToInstruct" on page 389](#), ["MinimumQuantityToInstruct" on page 389](#)

5.67.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MaximumQuantity-ToInstruct/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumQuantity-ToInstruct/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)

5.67.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MaximumQuantity-ToInstruct/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumQuantity-TolInstruct/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547

5.67.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 547

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MaximumQuantity-TolInstruct/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumQuantity-TolInstruct/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547

5.67.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: ["Quantity4Code"](#) on page 539

5.67.5 DigitalTokenUnit

XML Tag: DgtlTknUnit







Presence: [1..1]





Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber"](#) on page 519

5.68 FinancialInstrumentQuantity35Choice__1

Definition: Choice between formats for the quantity of security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		240
	FaceAmount	<FaceAmt>	Or	[1..1]		241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

Rules

R37 DigitalTokenUnitUsageRule

DigitalTokenUnit format may only be used for instruments identified with a digital token identifier.

Error handling: Undefined

Used in element(s)

["BackEndOddLotQuantity" on page 391](#), ["BaseDenomination" on page 161](#), ["FrontEndOddLotQuantity" on page 391](#), ["IncrementalDenomination" on page 162](#), ["MinimumMultipleQuantityToInstruct" on page 390](#), ["NewBoardLotQuantity" on page 390](#), ["NewDenominationQuantity" on page 391](#)

5.68.1 Unit

XML Tag: Unit

Presence: [1..1]

Definition: Quantity expressed as a number, for example, a number of shares.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewBoardLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/FrontEndOddLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/BackEndOddLotQuantity/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Unit](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumMultiple-QuantityToInstruct/Unit**
Type changed to: [RestrictedFINDecimalNumber](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewDenomination-Quantity/Unit**
Type changed to: [RestrictedFINDecimalNumber](#) on page 547

5.68.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount"](#) on page 547

Usage Guideline details

- on **seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewBoardLotQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/Back-EndOddLotQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/FrontEndOddLotQuantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumMultiple-QuantityToInstruct/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewDenomination-Quantity/FaceAmount**
Type changed to: [RestrictedFINImpliedCurrencyAndAmount](#) on page 547

5.68.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 547

Usage Guideline details

- This element(seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/AmortisedValue) is removed.
- This element(seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/AmortisedValue) is removed.
- on seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/BaseDenomination/Amortised-Value
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionDetails/SecuritiesQuantity/IncrementalDenomination/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/Back-EndOddLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/MinimumMultiple-QuantityToInstruct/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/FrontEndOddLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewBoardLotQuantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesQuantity/NewDenomination-Quantity/AmortisedValue
Type changed to: RestrictedFINImpliedCurrencyAndAmount on page 547

5.68.4 Code

XML Tag: Cd

Presence: [1..1]

Definition: Quantity expressed as a code.

Datatype: "Quantity5Code" on page 539

5.68.5 DigitalTokenUnit

XML Tag: DgtlTknUnit







Presence: [1..1]


Definition: Quantity of digital tokens expressed as a number, for example, a number of blockchain tokens.

Datatype: ["Max30DecimalNumber"](#) on page 519

5.69 ForeignExchangeTerms39__1

Definition: Provides information about the terms of the foreign exchange transaction.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	UnitCurrency	<UnitCcy>		[1..1]		243
	QuotedCurrency	<QtdCcy>		[1..1]		243
	ExchangeRate	<XchgRate>		[1..1]		243
	ResultingAmount	<RsltAmt>		[0..1]		244

Used in element(s)

["ForeignExchangeDetails"](#) on page 69

5.69.1 UnitCurrency

XML Tag: UnitCcy

Presence: [1..1]

Definition: Currency in which the rate of exchange is expressed in a currency exchange. In the example 1GBP = xxxCUR, the unit currency is GBP.

Datatype: ["ActiveCurrencyCode"](#) on page 410

5.69.2 QuotedCurrency

XML Tag: QtdCcy

Presence: [1..1]

Definition: Currency into which the base currency is converted, in a currency exchange.

Datatype: ["ActiveCurrencyCode"](#) on page 410

5.69.3 ExchangeRate

XML Tag: XchgRate

Presence: [1..1]

Definition: Factor used for the conversion of an amount from one currency into another. This reflects the price at which one currency was bought with another currency.

Usage: ExchangeRate expresses the ratio between UnitCurrency and QuotedCurrency (ExchangeRate = UnitCurrency/QuotedCurrency).

Datatype: ["BaseOne14Rate" on page 421](#)

5.69.4 ResultingAmount

XML Tag: RsltgAmt

Presence: [0..1]

Definition: Counter value of a foreign exchange conversion.

Datatype: ["RestrictedFINActiveCurrencyAndAmount" on page 546](#)







Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/ForeignExchangeDetails/ResultingAmount](#)

Type changed to: [RestrictedFINActiveCurrencyAndAmount on page 546](#)

5.70 FractionDispositionType26Choice__1

Definition: Choice between a standard code or a proprietary code to specify the type of fraction disposition.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

Used in element(s)

["FractionDisposition" on page 142](#), ["FractionDisposition" on page 385](#)

5.70.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Datatype: ["FractionDispositionType8Code" on page 493](#)

5.70.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of fraction disposition.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.71 GenericIdentification30

Definition: Information related to an identification, for example, party identification or account identification.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245

Used in element(s)

"Proprietary" on page 274, "Proprietary" on page 275, "Type" on page 58

5.71.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "[Exact4AlphaNumericText](#)" on page 491

5.71.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "[Max35Text](#)" on page 520

5.71.3 SchemeName

XML Tag: SchmeNm







Presence: [0..1]



Definition: Short textual description of the scheme.

Datatype: "Max35Text" on page 520

5.72 GenericIdentification30__1

Definition: Information related to an identification, for example, party identification or account identification.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Used in element(s)

"ExemptionType" on page 383, "ExemptionType" on page 65, "IncomeType" on page 382, "IncomeType" on page 64, "OtherIncomeType" on page 383, "OtherIncomeType" on page 65, "Proprietary" on page 312, "Proprietary" on page 175, "Proprietary" on page 119, "Proprietary" on page 123, "Proprietary" on page 129, "Proprietary" on page 272, "Proprietary" on page 288, "Proprietary" on page 178, "Proprietary" on page 122, "Proprietary" on page 40, "Proprietary" on page 276, "Proprietary" on page 154, "Proprietary" on page 244, "Proprietary" on page 285, "Proprietary" on page 287, "Proprietary" on page 286, "Proprietary" on page 57, "Proprietary" on page 180, "Proprietary" on page 353, "Proprietary" on page 350, "Proprietary" on page 354, "Proprietary" on page 351, "Proprietary" on page 352, "Proprietary" on page 351, "Proprietary" on page 403, "Proprietary" on page 285, "Proprietary" on page 284, "Proprietary" on page 179, "Proprietary" on page 355, "Proprietary" on page 356, "Proprietary" on page 186, "Type" on page 265

5.72.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "Exact4AlphaNumericText" on page 491

5.72.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 520

Usage Guideline details

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/Temporary-FinancialInstrumentIndicator/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/PreviousMovementPreliminaryAdviceIdentification/LinkageType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionGeneralInformation/EventProcessingType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/ExpiryDate/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionDetails/LotteryType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionDetails/EventStage/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/DocumentationDeadline/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/OfferType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate/DateCode/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/CorporateActionMovementDetails/OptionType/Proprietary/Issuer**
Type changed to: Max4AlphaNumericText on page 520

- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/MarketDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/OptionAvailabilityStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/OtherIncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/CoverExpirationDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/MovementConfirmationIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/ResponseDeadline/DateCodeAndTime/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/NonEligibleProceedsIndicator/Proprietary/Issuer

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/CertificationBreakdownType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Type/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionGeneralInformation/EventType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ValueDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/OtherIncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/IncomeType/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/EventsLinkage/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/FractionDisposition/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/OtherDocumentIdentification/LinkageType/Proprietary/Issuer
Type changed to: [Max4AlphaNumericText on page 520](#)

- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/ReversalReason/Reason/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/BorrowerStockLendingDeadline/StockLendingDeadline/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/EarlyResponseDeadline/DateCode/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/ExemptionType/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/ExemptionType/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on **seev.035.001.17/CorporateActionMovementDetails/OptionFeatures/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/ProtectDeadline/Date-Code/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/NotificationIdentification/LinkageType/Proprietary/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)

5.72.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 520](#)

Usage Guideline details

- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/AvailableDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/OfferType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName**

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/DateDetails/CoverExpirationDeadline/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Type/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/DateDetails/ResponseDeadline/DateCodeAndTime/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/OptionFeatures/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/FractionDisposition/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/EventsLinkage/LinkageType/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/OtherIncomeType/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/NonEligibleProceedsIndicator/Proprietary/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ValueDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/DepositoryCoverExpirationDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/OptionType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/ReversalReason/Reason/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/ForeignExchangeRateFixingDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/DayCountBasis/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/ResponseDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionDetails/EventStage/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionDetails/DateDetails/ExDividendDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/BorrowerStockLendingDeadline/StockLendingDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/RateTypeAndRate/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndRate/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/SubscriptionCostDebitDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionDetails/DateDetails/RecordDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionDetails/LotteryType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/IncomeType/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/OptionStyle/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/ProtectDeadline/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Type/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/MarketDeadline/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/MovementConfirmationIdentification/LinkageType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate/DateCode/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateStatus/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: [Max4AlphaNumericText on page 520](#)




- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Exemption-Type/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionDetails/DateDetails/LotteryDate/DateCode/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionGeneralInformation/EventProcessingType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/OtherIncome-Type/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/CertificationBreakdownType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistribution-Rate/RateTypeAndAmountAndRateStatus/RateStatus/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/Income-Type/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/FractionDisposition/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/OptionAvailabilityStatus/Proprietary/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/Exemption-Type/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/OptionStyle/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/DayCountBasis/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionGeneralInformation/EventType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/DocumentationDeadline/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/NotificationIdentification/LinkageType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/StockLendingDeadline/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/PreviousMovementPreliminaryAdviceIdentification/LinkageType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/EarlyResponseDeadline/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/OtherDocumentIdentification/LinkageType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/WithholdingTaxRate/RateTypeAndRate/RateType/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/ExpiryDate/DateCode/Proprietary/SchemeName
Type changed to: Max4AlphaNumericText on page 520

5.73 GenericIdentification36__1

Definition: Identification using a proprietary scheme.

❌ Removed -
 ⊖ Partial Removed -
 ♦ Ignored -
 ♦ Partial Ignored -
 ⚠ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		260
	Issuer	<Issr>		[1..1]		260
	SchemeName	<SchmeNm>		[0..1]		261

Used in element(s)

"AlternateClassification" on page 71, "ProprietaryNumber" on page 193

5.73.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: "RestrictedFINXMax30Text" on page 549

Usage Guideline details

- on **seev.035.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Identification**
Type changed to: [RestrictedFINXMax30Text on page 549](#)
- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Identification**
Type changed to: [RestrictedFINXMax30Text on page 549](#)
- on **seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/ClassificationType/AlternateClassification/Identification**
Type changed to: [RestrictedFINXMax30Text on page 549](#)

5.73.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 520

Usage Guideline details

- on **seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/Issuer**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/Issuer](#)
Type changed to: [Max4AlphaNumericText](#) on page 520

5.73.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.







Datatype: ["Max4AlphaNumericText"](#) on page 520




Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/ClassificationType/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 520
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/Classification-Type/AlternateClassification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 520
- on [seev.035.001.17/OtherDocumentIdentification/DocumentNumber/ProprietaryNumber/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 520

5.74 GenericIdentification36__2

Definition: Identification using a proprietary scheme.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261
	Issuer	<Issr>		[1..1]		263
	SchemeName	<SchmeNm>		[0..1]		264

Used in element(s)

["ProprietaryIdentification"](#) on page 295, ["ProprietaryIdentification"](#) on page 296, ["ProprietaryIdentification"](#) on page 294

5.74.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

Datatype: ["RestrictedFINXMax34Text" on page 550](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/PhysicalSecuritiesAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/Issuer/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/PayingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/InformationAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/DropAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/IssuerAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/SolicitationAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/TransferAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/Registrar/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/ResellingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/SubPayingAgent/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/CorporateActionMovementDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)
- on [seev.035.001.17/Offeror/ProprietaryIdentification/Identification](#)
Type changed to: [RestrictedFINXMax34Text on page 550](#)

5.74.2 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Entity that assigns the identification.

Datatype: "Max4AlphaNumericText" on page 520

Usage Guideline details

- on seev.035.001.17/Issuer/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/ResellingAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/PhysicalSecuritiesAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/SubPayingAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/IssuerAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/Registrar/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/PayingAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/InformationAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/DropAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/Offeror/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/CorporateActionMovementDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520
- on seev.035.001.17/TransferAgent/ProprietaryIdentification/Issuer
Type changed to: Max4AlphaNumericText on page 520

- on **seev.035.001.17/SolicitationAgent/ProprietaryIdentification/Issuer**

Type changed to: [Max4AlphaNumericText on page 520](#)

5.74.3 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Short textual description of the scheme.

Datatype: ["Max4AlphaNumericText" on page 520](#)







Usage Guideline details


- on **seev.035.001.17/IssuerAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/InformationAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/SubPayingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/CorporateActionMovementDetails/DateDetails/BorrowerStockLendingDeadline/Borrower/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/PhysicalSecuritiesAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/Offeror/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/TransferAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/DropAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/PayingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/ResellingAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/Registrar/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)
- on **seev.035.001.17/SolicitationAgent/ProprietaryIdentification/SchemeName**
Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/AccountOwner/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 520
- on [seev.035.001.17/Issuer/ProprietaryIdentification/SchemeName](#)
Type changed to: [Max4AlphaNumericText](#) on page 520

5.75 GenericIdentification78__1

Definition: Identification expressed as a proprietary type and narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		265
	Identification	<Id>		[0..1]		265

Used in element(s)

"Proprietary" on page 375, "Proprietary" on page 372







5.75.1 Type



XML Tag: Tp

Presence: [1..1]

Definition: Proprietary information, often a code, issued by the data source scheme issuer.

The **Tp** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.75.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Name or number assigned by an entity to enable recognition of that entity, for example, account identifier.







Datatype: "[RestrictedFINXMax30Text](#)" on page 549


Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text](#) on page 549
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary/Identification](#)
Type changed to: [RestrictedFINXMax30Text](#) on page 549

5.76 GrossDividendRateFormat36Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		266
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		266
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		267
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		267

Used in element(s)

["GrossDistributionRate"](#) on page 171

5.76.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.76.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1](#)" on page 43 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	43
	RateStatus	<RateSts>		[1..1]		43

5.76.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus55](#)" on page 360 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		361
	Amount	<Amt>		[1..1]	⚠	361
	RateStatus	<RateSts>		[0..1]		361

5.76.4 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 541

5.77 GrossDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	🚫 ⚠	268
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	268

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		269
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		269

Used in element(s)

"GrossDistributionRate" on page 330

5.77.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545







5.77.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1" on page 43 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		43
	RateStatus	<RateSts>		[1..1]		43

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/AmountAndRateStatus) is removed.







5.77.3 RateTypeAndAmountAndRateStatus



XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the gross dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus57_1](#)" on page 363 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		363
	Amount	<Amt>		[1..1]		364
	RateStatus	<RateSts>		[0..1]		364

5.77.4 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]



Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 541

5.78 IdentificationSource3Choice__1

Definition: Choice between source of identification of a financial instrument.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		269
	Proprietary	<Prtry>	Or}	[1..1]		270

Used in element(s)

"[Type](#)" on page 292

5.78.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Unique and unambiguous identification source, as assigned via a pre-determined code list.

Datatype: "[ExternalFinancialInstrumentIdentificationType1Code](#)" on page 492

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Code](#)
 - **DTCC Financial Instrument Identification Rule:**

DTCC will only use CUSIP.

5.78.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Unique and unambiguous identification source using a proprietary identification scheme.

Datatype: ["RestrictedFINExact2Text" on page 547](#)

Usage Guideline details







- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 547](#)
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecurityIdentification/OtherIdentification/Type/Proprietary](#)
Type changed to: [RestrictedFINExact2Text on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
 - **Market Practice Usage Rule:**

Used when the security identifier type is a Contra CUSIP or the security identifier is unknown. Populated with "XX".
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type/Proprietary](#)
 - **Market Practice Usage Rule:**

Used when the security identifier type is a Contra CUSIP. Populated with "XX".

5.79 IndicativeOrMarketPrice12Choice__1

Definition: Choice between an indicative price or a market price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativePrice	<IndctvPric>	{Or	[1..1]		271
	MarketPrice	<MktPric>	Or}	[1..1]		271

Used in element(s)

"IndicativeOrMarketPrice" on page 156







5.79.1 IndicativePrice

XML Tag: IndctvPric

Presence: [1..1]

Definition: Estimated price, for example, for valuation purposes.

The **IndctvPric** block contains the following elements (see datatype "PriceFormat74Choice__1" on page 310 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		311







5.79.2 MarketPrice

XML Tag: MktPric

Presence: [1..1]

Definition: Last reported/known price of a financial instrument in a market.

The **MktPric** block contains the following elements (see datatype "PriceFormat74Choice__1" on page 310 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpcfdPric>	Or}	[1..1]		311

5.80 InterestComputationMethodFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of interest computation method.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		272
	Proprietary	<Prtry>	Or}	[1..1]		272

Used in element(s)

"DayCountBasis" on page 196, "DayCountBasis" on page 207

5.80.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the method used to compute accruing interest of a financial instrument.

Datatype: "InterestComputationMethod2Code" on page 502







5.80.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the format of interest computation method.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)




 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.81 InterestRateUsedForPaymentFormat11Choice__1

Definition: Choice between an amount or a rate or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		273
	Amount	<Amt>	Or	[1..1]	 	273

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		273
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		274

Used in element(s)

"GrossInterestRateUsedForPayment" on page 172, "GrossInterestRateUsedForPayment" on page 330

5.81.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 534

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Rate) is removed.

5.81.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545
- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545

5.81.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for interest rate used for payment.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "[RateTypeAndAmountAndStatus24 1](#)" on page 356 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		357
	Amount	<Amt>		[1..1]	⚠	357
	RateStatus	<RateSts>		[0..1]	🚫	357

5.81.4 NotSpecifiedRate

XML Tag: NotSpcedRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "[RateType13Code](#)" on page 541

5.82 IntermediateSecuritiesDistributionTypeFormat19Choice

Definition: Choice between a standard code or proprietary code to specify the type of intermediate securities distribution.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		274
	Proprietary	<Prtry>	Or}	[1..1]	🚫	274

Used in element(s)

"[FollowingEventTypeIndicator](#)" on page 74

5.82.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of intermediate security distribution.

Datatype: "[IntermediateSecurityDistributionType6Code](#)" on page 511

5.82.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of intermediate security distribution.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 245 for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245

Usage Guideline details

- This element(see [v.035.001.17/CorporateActionDetails/FollowingEventTypeIndicator/Proprietary](#)) is removed.

5.83 IssuerOfferorTaxabilityIndicator2Choice

Definition: Choice between a standard code or proprietary code to specify the issuer / offeror taxability status.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		275
	Proprietary	<Prtry>	Or}	[1..1]		275

Used in element(s)

"[IssuerOfferorTaxabilityIndicator](#)" on page 381, "[IssuerOfferorTaxabilityIndicator](#)" on page 64

5.83.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the issuer / offeror taxability status.

Datatype: "[IssuerTaxability2Code](#)" on page 517

5.83.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the issuer / offeror taxability status.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30](#)" on page 245 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		245
	Issuer	<Issr>		[1..1]		245
	SchemeName	<SchmeNm>		[0..1]		245

5.84 LotteryTypeFormat4Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of lottery.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		276
	Proprietary	<Prtry>	Or}	[1..1]	🚫	276

Used in element(s)

"[LotteryType](#)" on page 74

5.84.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of lottery announced.

Datatype: "[LotteryType1Code](#)" on page 518

5.84.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of lottery announced.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30__1](#)" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253


Usage Guideline details

- This element(seev.035.001.17/CorporateActionDetails/LotteryType/Proprietary) is removed.

5.85 MarketIdentification3Choice__1

Definition: Choice of market identification.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MarketIdentifierCode	<MktldrCd>	{Or	[1..1]		277
	Description	<Desc>	Or}	[1..1]		277

Used in element(s)

"PlaceOfListing" on page 196, "PlaceOfListing" on page 207

5.85.1 MarketIdentifierCode

XML Tag: MktldrCd

Presence: [1..1]

Definition: Market Identifier Code. Identification of a financial market, as stipulated in the norm ISO 10383 "Codes for exchanges and market identifications".

Datatype: "MICIdentifier" on page 518

5.85.2 Description

XML Tag: Desc

Presence: [1..1]

Definition: Description of the market when no Market Identifier Code is available.

Datatype: "RestrictedFINXMax30Text" on page 549

Usage Guideline details







- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/PlaceOfListing/Description
Type changed to: RestrictedFINXMax30Text on page 549



- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/PlaceOfListing/Description](#)

Type changed to: [RestrictedFINXMax30Text](#) on page 549

5.86 NameAndAddress5__1

Definition: Information that locates and identifies a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		278
	Address	<Adr>		[0..1]		279

Used in element(s)

"NameAndAddress" on page 297, "NameAndAddress" on page 294

5.86.1 Name

XML Tag: Nm

Presence: [1..1]

Definition: Name by which a party is known and which is usually used to identify that party.

Datatype: ["RestrictedFINXMax140Text"](#) on page 548

Usage Guideline details

- on [seev.035.001.17/SolicitationAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/PhysicalSecuritiesAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/SubPayingAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/ResellingAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/PayingAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/InformationAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/DropAgent/NameAndAddress/Name](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548

- on **seev.035.001.17/IssuerAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 548](#)
- on **seev.035.001.17/Offeror/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 548](#)
- on **seev.035.001.17/TransferAgent/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 548](#)
- on **seev.035.001.17/Issuer/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 548](#)
- on **seev.035.001.17/Registrar/NameAndAddress/Name**
Type changed to: [RestrictedFINXMax140Text on page 548](#)







5.86.2 Address

XML Tag: *Adr*

Presence: [0..1]

Definition: Postal address of the party.

The **Adr** block contains the following elements (see datatype "[PostalAddress1](#)" on page 300 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		300
	AddressLine	<AdrLine>		[0..5]		300
	StreetName	<StrtNm>		[0..1]		300
	BuildingNumber	<BldgNb>		[0..1]		300
	PostCode	<PstCd>		[0..1]		301
	TownName	<TwnNm>		[0..1]		301
	CountrySubDivision	<CtrySubDvsn>		[0..1]		301
	Country	<Ctry>		[1..1]		301







Usage Guideline details


- This element(**seev.035.001.17/SubPayingAgent/NameAndAddress/Address**) is removed.
- This element(**seev.035.001.17/PhysicalSecuritiesAgent/NameAndAddress/Address**) is removed.
- This element(**seev.035.001.17/Issuer/NameAndAddress/Address**) is removed.
- This element(**seev.035.001.17/ResellingAgent/NameAndAddress/Address**) is removed.

- This element(seev.035.001.17/PayingAgent/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/IssuerAgent/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/InformationAgent/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/Offeror/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/Registrar/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/TransferAgent/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/SolicitationAgent/NameAndAddress/Address) is removed.
- This element(seev.035.001.17/DropAgent/NameAndAddress/Address) is removed.

5.87 NetDividendRateFormat38Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		280
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		280
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		281
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		281

Used in element(s)

"NetDistributionRate" on page 171

5.87.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

5.87.2 AmountAndRateStatus

XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "AmountAndRateStatus1" on page 43 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]	⚠	43
	RateStatus	<RateSts>		[1..1]		43

5.87.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus56" on page 362 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		362
	Amount	<Amt>		[1..1]	⚠	362
	RateStatus	<RateSts>		[0..1]		363

5.87.4 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]




Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 545

5.88 NetDividendRateFormat39Choice__1

Definition: Choice between an amount or an unspecified rate.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		282
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]		282
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		283
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		283

Used in element(s)

"NetDistributionRate" on page 334

5.88.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/Amount](#)
Synonym (DTCC): DTC Cash Rate







5.88.2 AmountAndRateStatus


XML Tag: AmtAndRateSts

Presence: [1..1]

Definition: Specifies an amount and a rate status.

The **AmtAndRateSts** block contains the following elements (see datatype "[AmountAndRateStatus1](#)" on page 43 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		43
	RateStatus	<RateSts>		[1..1]		43

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/AmountAndRateStatus) is removed.

5.88.3 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Specifies different formats for the net dividend rate.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus58__1" on page 365 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		365
	Amount	<Amt>		[1..1]	⚠	365
	RateStatus	<RateSts>		[0..1]		366

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus) is removed.

5.88.4 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 545

5.89 NonEligibleProceedsIndicator3Choice__1

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		284

Used in element(s)

"NonEligibleProceedsIndicator" on page 63

5.89.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: "NonEligibleProceedsIndicator1Code" on page 526







5.89.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.90 NonEligibleProceedsIndicator5Choice__1

Definition: Choice between a standard code or proprietary code to specify the eligibility of outturn resources.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		285

Used in element(s)

"NonEligibleProceedsIndicator" on page 381

5.90.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify information regarding the non eligibility of the outturn resources.

Datatype: ["NonEligibleProceedsIndicator2Code" on page 526](#)







5.90.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification to specify information regarding the non eligibility of the outturn resources.







The **Prtry** block contains the following elements (see datatype ["GenericIdentification30_1" on page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.91 OfferTypeFormat17Choice__1

Definition: Choice between a standard code or proprietary code to specify the type of offer.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		285
	Proprietary	<Prtry>	Or}	[1..1]		285

Used in element(s)

["OfferType" on page 143](#)

5.91.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the conditions that apply to the offer.

Datatype: ["OfferType6Code" on page 527](#)

5.91.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the conditions that apply to the offer.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on [page 246](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.92 OptionAvailabilityStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify the option availability status.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		286
	Proprietary	<Prtry>	Or}	[1..1]		286

Used in element(s)

"[OptionAvailabilityStatus](#)" on [page 144](#)

5.92.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the option availability.

Datatype: "[OptionAvailabilityStatus1Code](#)" on [page 528](#)

5.92.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the option availability.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on [page 246](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.93 OptionFeaturesFormat32Choice__1

Definition: Choice between a standard code and a proprietary code to specify the features that may apply to a corporate action option.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		287
	Proprietary	<Prtry>	Or}	[1..1]		287

Used in element(s)

"OptionFeatures" on page 143

5.93.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the features that may apply to a corporate action option.

Datatype: "OptionFeatures15Code" on page 529

5.93.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the features that may apply to a corporate action option.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.94 OptionStyle8Choice__1

Definition: Choice of format for the option style.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		288
	Proprietary	<Prtry>	Or}	[1..1]		288

Used in element(s)

"OptionStyle" on page 197, "OptionStyle" on page 208

5.94.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Option style expressed as an ISO 20022 code.

Datatype: "OptionStyle2Code" on page 532

5.94.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Option style expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)



🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.95 OriginalAndCurrentQuantities1__1

Definition: Original and current value of an asset-back instrument.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		289
	AmortisedValue	<AmtsdVal>		[1..1]		289

Used in element(s)

["OriginalAndCurrentFace" on page 324](#)

5.95.1 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal of a debt instrument.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/FaceAmount](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

5.95.2 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.

Datatype: ["RestrictedFINImpliedCurrencyAndAmount" on page 547](#)







Usage Guideline details



- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace/AmortisedValue](#)

Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

5.96 OriginalAndCurrentQuantities6__1

Definition: Signed face amount and amortised value.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		290
	FaceAmount	<FaceAmt>		[1..1]		290
	AmortisedValue	<AmtsdVal>		[1..1]		290

Used in element(s)

"OriginalAndCurrentFaceAmount" on page 322

5.96.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 553

5.96.2 FaceAmount

XML Tag: FaceAmt

Presence: [1..1]

Definition: Quantity expressed as an amount representing the face amount, that is, the principal, of a debt instrument.

Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 547

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/FaceAmount](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

5.96.3 AmortisedValue

XML Tag: AmtsdVal

Presence: [1..1]

Definition: Quantity expressed as an amount representing the current amortised face amount of a bond, for example, a periodic reduction/increase of a bond's principal amount.







Datatype: "RestrictedFINImpliedCurrencyAndAmount" on page 547




Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount/AmortisedValue](#)
Type changed to: [RestrictedFINImpliedCurrencyAndAmount on page 547](#)

5.97 OtherIdentification1__1

Definition: Other accepted financial instrument's identification than ISIN.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		291
	Suffix	<Sfx>		[0..1]		292
	Type	<Tp>		[1..1]		292

Used in element(s)

"OtherIdentification" on page 397

5.97.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Identification of a security.

Datatype: ["RestrictedFINXMax31Text" on page 550](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax31Text on page 550](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecurityIdentification/OtherIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax31Text on page 550](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 Type changed to: [RestrictedFINXMax31Text on page 550](#)
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 - Market Practice Usage Rule:

When the security identifier is not known '999999999' will be used.
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
 - Market Practice Usage Rule:

When the security identifier is not known '999999999' will be used.

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): Event Security ID
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): DTC Disbursed Fractional Security ID
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Identification](#)
Synonym (DTCC): DTC Disbursed Security ID

5.97.2 Suffix

XML Tag: Sfx

Presence: [0..1]

Definition: Identifies the suffix of the security identification.

Datatype: "Max16Text" on page 519

Usage Guideline details

- This element([seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.
- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Suffix](#)) is removed.







5.97.3 Type



XML Tag: Tp

Presence: [1..1]

Definition: Type of the identification.

The **Tp** block contains the following elements (see datatype "[IdentificationSource3Choice_1](#)" on page 269 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		269
	Proprietary	<Prtry>	Or}	[1..1]		270

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type](#)

Synonym (DTCC): DTC Disbursed Fractional Security ID Type

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/OtherIdentification/Type](#)

Synonym (DTCC): DTC Disbursed Security ID Type

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification/Type](#)

Synonym (DTCC): Event Security ID Type

5.98 Pagination1

Definition: Number used to sequence pages when it is not possible for data to be conveyed in a single message and the data has to be split across several pages (messages).

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PageNumber	<PgNb>		[1..1]		293
	LastPageIndicator	<LastPgInd>		[1..1]		293

Used in element(s)

"Pagination" on page 19

5.98.1 PageNumber

XML Tag: PgNb

Presence: [1..1]

Definition: Page number.

Datatype: ["Max5NumericText"](#) on page 520

5.98.2 LastPageIndicator

XML Tag: LastPgInd

Presence: [1..1]

Definition: Indicates the last page.

Datatype: ["YesNoIndicator"](#) on page 555

5.99 PartyIdentification120Choice__1

Definition: Choice between different formats for the identification of a party.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		294
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		294
	NameAndAddress	<NmAndAdr>	Or}	[1..1]		294

Used in element(s)

"DropAgent" on page 29, "InformationAgent" on page 30, "PayingAgent" on page 27, "PhysicalSecuritiesAgent" on page 28, "Registrar" on page 28, "ResellingAgent" on page 28, "SolicitationAgent" on page 29, "SubPayingAgent" on page 27

5.99.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 420







5.99.2 ProprietaryIdentification




XML Tag: PrtryId

Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36_2" on page 261 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261
	Issuer	<Issr>		[1..1]		263
	SchemeName	<SchmeNm>		[0..1]		264







5.99.3 NameAndAddress



XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.







The **NmAndAdr** block contains the following elements (see datatype "NameAndAddress5_1" on page 278 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		278
	Address	<Adr>		[0..1]		279

5.100 PartyIdentification127Choice__1

Definition: Choice of identification of a party.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		295
	ProprietaryIdentification	<PrtryId>	Or}	[1..1]		295

Used in element(s)

"AccountOwner" on page 36, "Borrower" on page 59

5.100.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 420







5.100.2 ProprietaryIdentification


XML Tag: PrtryId



Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 261 for details)







 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		263
	SchemeName	<SchmeNm>		[0..1]		264

5.101 PartyIdentification129Choice__1

Definition: Choice between different formats for the identification of a party.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AnyBIC	<AnyBIC>	{Or	[1..1]		296
	ProprietaryIdentification	<PrtryId>	Or	[1..1]		296
	NameAndAddress	<NmAndAdr>	Or	[1..1]		297
	LEI	<LEI>	Or}	[1..1]		297

Used in element(s)

"Issuer" on page 30, "IssuerAgent" on page 26, "Offeror" on page 31, "TransferAgent" on page 31

5.101.1 AnyBIC

XML Tag: AnyBIC

Presence: [1..1]

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362 "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Datatype: "AnyBICDec2014Identifier" on page 420







5.101.2 ProprietaryIdentification


XML Tag: PrtryId



Presence: [1..1]

Definition: Unique and unambiguous identifier, as assigned to a financial institution using a proprietary identification scheme.

The **PrtryId** block contains the following elements (see datatype "GenericIdentification36__2" on page 261 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		261

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Issuer	<Issr>		[1..1]		263
	SchemeName	<SchmeNm>		[0..1]		264







5.101.3 NameAndAddress



XML Tag: NmAndAdr

Presence: [1..1]

Definition: Name and address of a party.

The **NmAndAdr** block contains the following elements (see datatype "[NameAndAddress5 1](#)" on page 278 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Name	<Nm>		[1..1]		278
	Address	<Adr>		[0..1]		279

5.101.4 LEI

XML Tag: LEI







Presence: [1..1]

Definition: Legal entity identification of the party.

Datatype: "[LEIIdentifier](#)" on page 517

5.102 PercentagePrice2

Definition: Price expressed as a percentage price.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		297
	PriceValue	<PricVal>		[1..1]		298

Used in element(s)

"[PercentagePrice](#)" on page 310, "[PercentagePrice](#)" on page 308, "[PercentagePrice](#)" on page 305

5.102.1 PercentagePriceType

XML Tag: PctgPricTp

Presence: [1..1]

Definition: Specifies the type of percentage price.

Datatype: ["PriceRateType3Code" on page 534](#)

5.102.2 PriceValue

XML Tag: PricVal







Presence: [1..1]

Definition: Specifies the value of price.

Datatype: ["Percentage14Rate" on page 534](#)

5.103 Period12Choice

Definition: Choice between a period or a period code.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or	[1..1]		298
	PeriodCode	<PrdCd>	Or}	[1..1]		298

Used in element(s)

["TradingPeriod" on page 386](#)







5.103.1 Period

XML Tag: Prd

Presence: [1..1]

Definition: Time span defined by a start date and time, and an end date and time.

The **Prd** block contains the following elements (see datatype ["Period18" on page 299](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		299
	EndDate	<EndDt>		[1..1]		299

5.103.2 PeriodCode

XML Tag: PrdCd

Presence: [1..1]

Definition: Standard code to specify the type of period.

Datatype: "DateType8Code" on page 486

5.104 Period18

Definition: Time span defined by a start date and time, and an end date and time.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	StartDate	<StartDt>		[1..1]		299
	EndDate	<EndDt>		[1..1]		299

Used in element(s)

"Period" on page 298

5.104.1 StartDate

XML Tag: StartDt

Presence: [1..1]

Definition: Date and time at which the range starts.

The **StartDt** block contains the following elements (see datatype "DateFormat73Choice" on page 185 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		186
	NotSpecifiedDate	<NotSpctdDt>	Or}	[1..1]		186

5.104.2 EndDate

XML Tag: EndDt

Presence: [1..1]

Definition: Date and time at which the range ends.







The **EndDt** block contains the following elements (see datatype "DateFormat73Choice" on page 185 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		186
	NotSpecifiedDate	<NotSpctdDt>	Or}	[1..1]		186

5.105 PostalAddress1

Definition: Information that locates and identifies a specific address, as defined by postal services.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AddressType	<AdrTp>		[0..1]		300
	AddressLine	<AdrLine>		[0..5]		300
	StreetName	<StrtNm>		[0..1]		300
	BuildingNumber	<BldgNb>		[0..1]		300
	PostCode	<PstCd>		[0..1]		301
	TownName	<TwnNm>		[0..1]		301
	CountrySubDivision	<CtrySubDvsn>		[0..1]		301
	Country	<Ctry>		[1..1]		301

Used in element(s)

"Address" on page 279

5.105.1 AddressType

XML Tag: AdrTp

Presence: [0..1]

Definition: Identifies the nature of the postal address.

Datatype: "AddressType2Code" on page 416

5.105.2 AddressLine

XML Tag: AdrLine

Presence: [0..5]

Definition: Information that locates and identifies a specific address, as defined by postal services, that is presented in free format text.

Datatype: "Max70Text" on page 520

5.105.3 StreetName

XML Tag: StrtNm

Presence: [0..1]

Definition: Name of a street or thoroughfare.

Datatype: "Max70Text" on page 520

5.105.4 BuildingNumber

XML Tag: BldgNb

Presence: [0..1]

Definition: Number that identifies the position of a building on a street.

Datatype: "Max16Text" on page 519

5.105.5 PostCode

XML Tag: PstCd

Presence: [0..1]

Definition: Identifier consisting of a group of letters and/or numbers that is added to a postal address to assist the sorting of mail.

Datatype: "Max16Text" on page 519

5.105.6 TownName

XML Tag: TwNm

Presence: [0..1]

Definition: Name of a built-up area, with defined boundaries, and a local government.

Datatype: "Max35Text" on page 520

5.105.7 CountrySubDivision

XML Tag: CtrySubDvsn

Presence: [0..1]

Definition: Identifies a subdivision of a country for example, state, region, county.

Datatype: "Max35Text" on page 520

5.105.8 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Nation with its own government.

Datatype: "CountryCode" on page 483

5.106 PriceDetails38__1

Definition: Provides information about the prices related to a corporate action option.

❌ Removed -
 ❌ Partial Removed -
 ♦ Ignored -
 ♦ Partial Ignored -
 ⚠️ Details below -
 M Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		302

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPd-ct>		[0..1]		302
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]	🚫	303

Guidelines

1. GenericCashPricePerProductGuideline

GenericCashPriceReceivedPerProduct and GenericCashPricePaidPerProduct are prices received or paid in the course of an event. The specific meaning (redemption price, subscription price, reinvestment price, ETC.) is derived from the event type.

Used in element(s)

"PriceDetails" on page 70

5.106.1 GenericCashPricePaidPerProduct

XML Tag: GncCshPricPdPerPdct

Presence: [0..1]

Definition: Generic cash price paid per product by the underlying security holder either as a percentage or an amount or a number of points above an index, for example, reinvestment price, strike price and exercise price.

The **GncCshPricPdPerPdct** block contains the following elements (see datatype "PriceFormat73Choice 1" on page 308 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	308
	AmountPrice	<AmtPric>	Or	[1..1]		309
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		309
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	309

5.106.2 GenericCashPriceReceivedPerProduct

XML Tag: GncCshPricRcvdPerPdct

Presence: [0..1]

Definition: Generic cash price received per product by the underlying security holder either as a percentage or an amount, for example, redemption price.

The **GncCshPricRcvdPerPdct** block contains the following elements (see datatype "PriceFormat72Choice 1" on page 305 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	305
	AmountPrice	<AmtPric>	Or	[1..1]	🚫	306
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		306
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		306
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	307
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠️	307

5.106.3 CashInLieuOfSharePrice

XML Tag: CshInLieuOfShrPric

Presence: [0..1]

Definition: Cash disbursement in lieu of equities; usually in lieu of fractional quantity.

The **CshInLieuOfShrPric** block contains the following elements (see datatype "PriceFormat74Choice__1" on page 310 for details)

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		311

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/CashInLieuOfSharePrice) is removed.

5.107 PriceFormat46Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

🚫 Removed - 🚫 Partial Removed - ⬡ Ignored - ⬡ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		304

Used in element(s)

["CashValueForTax" on page 157](#)







5.107.1 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype ["AmountPrice2__1" on page 44](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		44
	PriceValue	<PricVal>		[1..1]		44

5.107.2 NotSpecifiedPrice

XML Tag: NotSpcdfPric







Presence: [1..1]

Definition: Value of the price not specified.

Datatype: ["PriceValueType10Code" on page 534](#)

5.108 PriceFormat61Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPrice	<AmtPric>	{Or	[1..1]		304
	NotSpecifiedPrice	<NotSpcdfPric>	Or}	[1..1]		305

Used in element(s)

["MaximumCashToInstruct" on page 160](#),
 ["MinimumCashToInstruct" on page 160](#),
 ["MinimumMultipleCashToInstruct" on page 160](#)

5.108.1 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "AmountPrice6__1" on page 46 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		46
	PriceValue	<PricVal>		[1..1]	⚠	46

5.108.2 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "PriceValueType10Code" on page 534

5.109 PriceFormat72Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or an amount price per amount or an amount price per financial instrument quantity.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	305
	AmountPrice	<AmtPric>	Or	[1..1]	🚫	306
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		306
	AmountPricePerFinancialInstrumentQuantity	<AmtPricPerFinInstrmQty>	Or	[1..1]		306
	AmountPricePerAmount	<AmtPricPerAmt>	Or	[1..1]	🚫	307
	IndexPoints	<IndxPts>	Or}	[1..1]	🚫 ⚠	307

Used in element(s)

"GenericCashPriceReceivedPerProduct" on page 158, "GenericCashPriceReceivedPerProduct" on page 302







5.109.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice2](#)" on page 297 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		297
	PriceValue	<PricVal>		[1..1]		298

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/PercentagePrice) is removed.







5.109.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3](#)" on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		45
	PriceValue	<PricVal>		[1..1]		45

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPrice) is removed.

5.109.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType8Code](#)" on page 535

5.109.4 AmountPricePerFinancialInstrumentQuantity

XML Tag: AmtPricPerFinInstrmQty

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per financial instrument quantity.

The **AmtPricPerFinInstrmQty** block contains the following elements (see datatype "AmountPricePerFinancialInstrumentQuantity10_1" on page 48 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]	⚠	48
	PriceValue	<PricVal>		[1..1]	⚠	49
	FinancialInstrumentQuantity	<FinInstrmQty>		[1..1]		49

5.109.5 AmountPricePerAmount

XML Tag: AmtPricPerAmt

Presence: [1..1]

Definition: Price expressed as a ratio: amount price per amount.

The **AmtPricPerAmt** block contains the following elements (see datatype "AmountPricePerAmount2_1" on page 47 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		47
	PriceValue	<PricVal>		[1..1]	⚠	47
	Amount	<Amt>		[1..1]	⚠	48

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerAmount) is removed.

5.109.6 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed in index points.







Datatype: "RestrictedFINDecimalNumber" on page 547




Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints
Type changed to: [RestrictedFINDecimalNumber](#) on page 547
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/IndexPoints
Type changed to: [RestrictedFINDecimalNumber](#) on page 547

5.110 PriceFormat73Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price or index points.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]		308
	AmountPrice	<AmtPric>	Or	[1..1]		309
	NotSpecifiedPrice	<NotSpctdPric>	Or	[1..1]		309
	IndexPoints	<IndxPts>	Or}	[1..1]	 	309

Used in element(s)

"GenericCashPricePaidPerProduct" on page 157, "GenericCashPricePaidPerProduct" on page 302







5.110.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "PercentagePrice2" on page 297 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		297
	PriceValue	<PricVal>		[1..1]		298

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/PercentagePrice) is removed.







5.110.2 AmountPrice


XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3_1](#)" on page 44 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		45
	PriceValue	<PricVal>		[1..1]		45

5.110.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 534

5.110.4 IndexPoints

XML Tag: IndxPts

Presence: [1..1]

Definition: Price expressed as an index points.

Datatype: "[RestrictedFINDecimalNumber](#)" on page 547

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints
Type changed to: [RestrictedFINDecimalNumber](#) on page 547
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/IndexPoints

Type changed to: [RestrictedFINDecimalNumber](#) on page 547

5.111 PriceFormat74Choice__1

Definition: Choice between a percentage price or an amount price or an unspecified price.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePrice	<PctgPric>	{Or	[1..1]	🚫	310
	AmountPrice	<AmtPric>	Or	[1..1]		310
	NotSpecifiedPrice	<NotSpctdPric>	Or}	[1..1]		311

Used in element(s)

"CashInLieuOfSharePrice" on page 159, "CashInLieuOfSharePrice" on page 156, "CashInLieuOfSharePrice" on page 303, "IndicativePrice" on page 271, "IssuePrice" on page 215, "MarketPrice" on page 271, "OverSubscriptionDepositPrice" on page 159

5.111.1 PercentagePrice

XML Tag: PctgPric

Presence: [1..1]

Definition: Price expressed as a percentage.

The **PctgPric** block contains the following elements (see datatype "[PercentagePrice2](#)" on page 297 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PercentagePriceType	<PctgPricTp>		[1..1]		297
	PriceValue	<PricVal>		[1..1]		298

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/PercentagePrice) is removed.

5.111.2 AmountPrice

XML Tag: AmtPric

Presence: [1..1]

Definition: Price expressed as a currency and amount.

The **AmtPric** block contains the following elements (see datatype "[AmountPrice3_1](#)" on page 44 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmountPriceType	<AmtPricTp>		[1..1]		45
	PriceValue	<PricVal>		[1..1]	⚠	45

5.111.3 NotSpecifiedPrice

XML Tag: NotSpctdPric

Presence: [1..1]

Definition: Value of the price not specified.

Datatype: "[PriceValueType10Code](#)" on page 534

5.112 ProcessingPosition7Choice__1

Definition: Choice of format for the processing position.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		311
	Proprietary	<Prtry>	Or}	[1..1]		312

Rules

R18 WithLinkageRule

If Code WITH is used, then the one or more instruction which are linked become bound and which must be executed together. Even if one single transactions/instructions/notifications can not be executed, then all the other transactions/instructions/notifications must also be kept pending. Therefore the use of code WITH must be limited to combine up to 2 or 3 transactions/instructions/notifications.

Error handling: Undefined

Used in element(s)

"[LinkageType](#)" on page 188, "[LinkageType](#)" on page 190, "[LinkageType](#)" on page 121

5.112.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Processing position expressed as an ISO 20022 code.

Datatype: "ProcessingPosition3Code" on page 538

5.112.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Processing position expressed as a proprietary code.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.113 ProprietaryQuantity7__1

Definition: Proprietary quantity format.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		312
	Quantity	<Qty>		[1..1]	⚠	312
	QuantityType	<QtyTp>		[1..1]		313
	Issuer	<Issr>		[1..1]	⚠	313
	SchemeName	<SchmeNm>		[0..1]	⚠	313

Used in element(s)

"ProprietaryQuantity" on page 321

5.113.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [0..1]

Definition: Sign of the quantity of security.

Datatype: "ShortLong1Code" on page 553

5.113.2 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Quantity](#)

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

5.113.3 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: ["Exact4AlphaNumericText" on page 491](#)

5.113.4 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: ["Max4AlphaNumericText" on page 520](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

5.113.5 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 520](#)







Usage Guideline details




- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

5.114 ProprietaryQuantity8__1

Definition: Proprietary quantity format.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		314
	QuantityType	<QtyTp>		[1..1]		316
	Issuer	<Issr>		[1..1]		316
	SchemeName	<SchmeNm>		[0..1]		317

Used in element(s)

"ProprietaryQuantity" on page 320

5.114.1 Quantity

XML Tag: Qty

Presence: [1..1]

Definition: Provides the proprietary quantity with a decimal number.

Datatype: "RestrictedFINDecimalNumber" on page 547

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
 Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
 Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
 Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
 Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**
 Type changed to: RestrictedFINDecimalNumber on page 547
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity**

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

- on seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Quantity

Type changed to: [RestrictedFINDecimalNumber on page 547](#)

5.114.2 QuantityType

XML Tag: QtyTp

Presence: [1..1]

Definition: Identifies the type of proprietary quantity reported.

Datatype: "Exact4AlphaNumericText" on page 491

5.114.3 Issuer

XML Tag: Issr

Presence: [1..1]

Definition: Provides information related to issuer in free format.

Datatype: "Max4AlphaNumericText" on page 520

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**
Type changed to: Max4AlphaNumericText on page 520
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer**

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/Issuer](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

5.114.4 SchemeName

XML Tag: SchmeNm

Presence: [0..1]

Definition: Name of the identification scheme.

Datatype: ["Max4AlphaNumericText" on page 520](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UninstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BlockedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OutForRegistrationBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InTransshipmentBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/InstructedBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TradeDatePositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/StreetPositionBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceipt-Balance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/RegisteredBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)







Type changed to: [Max4AlphaNumericText on page 520](#)


- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity/SchemeName](#)

Type changed to: [Max4AlphaNumericText on page 520](#)

5.115 Quantity48Choice__1

Definition: Choice between different quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		319
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		320

Used in element(s)

["QuantityChoice" on page 400](#)







5.115.1 Quantity



XML Tag: Qty



Presence: [1..1]

Definition: Quantity of security.

The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice__1" on page 216](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	230
	DigitalTokenUnit	<DgtlTknUnit>	Or	[1..1]		237







5.115.2 ProprietaryQuantity




XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "ProprietaryQuantity8_1" on page 314 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]		314
	QuantityType	<QtyTp>		[1..1]		316
	Issuer	<Issr>		[1..1]		316
	SchemeName	<SchmeNm>		[0..1]		317

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/ObligatedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/AffectedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/On-LoanBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/SettlementPositionBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/UnaffectedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.
- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/QuantityChoice/ProprietaryQuantity) is removed.

5.116 Quantity49Choice__1

Definition: Choice between different quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		321
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]	🚫	321

Used in element(s)

"Balance" on page 404

5.116.1 QuantityChoice

XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.

The **QtyChc** block contains the following elements (see datatype "Quantity50Choice__1" on page 322 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	🚫	322
	SignedQuantity	<SgndQty>	Or}	[1..1]		323

5.116.2 ProprietaryQuantity

XML Tag: PrtryQty

Presence: [1..1]

Definition: Proprietary quantity of security format.

The **PrtryQty** block contains the following elements (see datatype "ProprietaryQuantity7__1" on page 312 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[0..1]		312

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>		[1..1]	⚠	312
	QuantityType	<QtyTp>		[1..1]		313
	Issuer	<Issr>		[1..1]	⚠	313
	SchemeName	<SchmeNm>		[0..1]	⚠	313

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/ProprietaryQuantity) is removed.

5.117 Quantity50Choice__1

Definition: Choice between different quantity of security formats.

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	OriginalAndCurrentFaceAmount	<OrgnlAndCurFaceAmt>	{Or	[1..1]	ⓧ	322
	SignedQuantity	<SgndQty>	Or}	[1..1]		323

Used in element(s)

"QuantityChoice" on page 321

5.117.1 OriginalAndCurrentFaceAmount

XML Tag: OrgnlAndCurFaceAmt

Presence: [1..1]

Definition: Signed face amount and amortised value of security.

The **OrgnlAndCurFaceAmt** block contains the following elements (see datatype "OriginalAndCurrentQuantities6__1" on page 289 for details)

ⓧ Removed - ⓧ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		290
	FaceAmount	<FaceAmt>		[1..1]	⚠	290
	AmortisedValue	<AmtsdVal>		[1..1]	⚠	290

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/Balance/QuantityChoice/OriginalAndCurrentFaceAmount) is removed.







5.117.2 SignedQuantity

XML Tag: SgndQty

Presence: [1..1]

Definition: Signed quantity of security.







The **SgndQty** block contains the following elements (see datatype "SignedQuantityFormat10__1" on page 398 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

5.118 Quantity51Choice__1

Definition: Choice of format for the quantity.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		323
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		324

Used in element(s)

"EntitledQuantity" on page 384







5.118.1 Quantity





XML Tag: Qty

Presence: [1..1]

Definition: Quantity of financial instrument in units, original face amount or current face amount.

The **Qty** block contains the following elements (see datatype "FinancialInstrumentQuantity33Choice__1" on page 216 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237







5.118.2 OriginalAndCurrentFace



XML Tag: OrgnlAndCurFace

Presence: [1..1]

Definition: Original and current value of an asset-back instrument.

The **OrgnlAndCurFace** block contains the following elements (see datatype "[OriginalAndCurrentQuantities1__1](#)" on page 288 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FaceAmount	<FaceAmt>		[1..1]		289
	AmortisedValue	<AmtsdVal>		[1..1]		289



Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity/OriginalAndCurrentFace) is removed.

5.119 QuantityToQuantityRatio1__1

Definition: Ratio expressed as a quotient of quantities.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		324
	Quantity2	<Qty2>		[1..1]		325

Used in element(s)

"QuantityToQuantity" on page 368, "QuantityToQuantity" on page 369, "WarrantParity" on page 203

5.119.1 Quantity1

XML Tag: Qty1

Presence: [1..1]

Definition: Numerator of the quotient of quantities.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity1](#)
 - Market Practice Rule:

New Quantity
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity1](#)
 - Market Practice rule:

New Quantity

5.119.2 Quantity2

XML Tag: Qty2

Presence: [1..1]

Definition: Denominator of the quotient of quantities.

Datatype: ["RestrictedFINDecimalNumber" on page 547](#)







Usage Guideline details












- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForSubscribedResultantSecurities/QuantityToQuantity/Quantity2](#)
Type changed to: [RestrictedFINDecimalNumber on page 547](#)







- on `seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/WarrantParity/Quantity2`
Type changed to: `RestrictedFINDecimalNumber` on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2`
Type changed to: `RestrictedFINDecimalNumber` on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2`
Type changed to: `RestrictedFINDecimalNumber` on page 547
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/AdditionalQuantityForExistingSecurities/QuantityToQuantity/Quantity2`
 - Market Practice Rule:
`Existing Quantity`
- on `seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/NewToOld/QuantityToQuantity/Quantity2`
 - Market Practice Rule:
`Old Quantity`

5.120 Rate50__1

Definition: Provides information about the rates related to securities movement.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalTax	<AddtlTax>		[0..1]		329
	GrossDistributionRate	<GrssDstrbtnRate>		[0..*]		330
	GrossInterestRateUsedForPayment	<GrssIntrstRateUsd-ForPmt>		[0..*]		330
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]		331
	SecondLevelTax	<ScndLvITax>		[0..*]		332
	ChargesFees	<ChrgsFees>		[0..1]		332
	EarlySolicitationFeeRate	<EarlySlctnFeeRate>		[0..1]		333
	FiscalStamp	<FscIStmp>		[0..1]		333
	ThirdPartyIncentiveRate	<ThrdPtyIncntivRate>		[0..1]		334
	NetDistributionRate	<NetDstrbtnRate>		[0..*]		334
	ApplicableRate	<ApblRate>		[0..1]		335

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SolicitationFeeRate	<SlctnFeeRate>		[0..1]		335
	TaxCreditRate	<TaxCdtRate>		[0..1]		336
	TaxOnIncome	<TaxOnIncm>		[0..1]		336
	TaxOnProfits	<TaxOnPrfts>		[0..1]		337
	TaxReclaimRate	<TaxRclmRate>		[0..1]		337
	EqualisationRate	<EqulstnRate>		[0..1]		338
	DeemedRate	<DmdRate>		[0..*]		338

Rules

R69 NetDistributionRate1Rule

If NetDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of NetDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R70 GrossDistributionRate2Rule

If GrossDDistributionRate is repeated, then:

- RateTypeAndAmountAndRateStatus/RateType/Code values must all be different in each occurrence of GrossDistributionRate. (MT 564 NVR C8).

Error handling: Undefined

R71 GrossDistributionRate3Rule

Only a single occurrence of either GrossDistributionRate/Amount or GrossGrossDistributionRate/AmountAndRateStatus or GrossGrossDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R72 NetDistributionRate2Rule

Only a single occurrence of either NetDistributionRate/Amount or NetDistributionRate/AmountAndRateStatus or NetDistributionRate/NotSpecifiedRate may be present, and only one of these elements may be present.

(MT 564 NVR C7).

Error handling: Undefined

R73 SecondLevelTax3Rule ✓

If at least one occurrence of SecondLevelTax is present, then at least one occurrence of WithholdingTaxRate must be present. (MT 564 NVR C19).

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00388
- *Error Text:* Invalid message contents for WithholdingTaxRate. It should be present.

R74 SecondLevelTax1Rule

If SecondLevelTax is repeated, then SecondLevelTax/RateTypeAndRate/RateType/Code values must all be different in each occurrence of SecondLevelTax.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R75 SecondLevelTax2Rule

Only a single occurrence of either SecondLevelTax/Amount or SecondLevelTax/Rate or SecondLevelTax/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R76 WithholdingTaxRate1Rule

If WithholdingTaxRate is repeated, then WithholdingTaxRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of WithholdingTaxRate.

(MT 564 NVR C8).

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R77 WithholdingTaxRate2Rule

Only a single occurrence of either WithholdingTaxRate/Amount or WithholdingTaxRate/Rate or WithholdingTaxRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

- *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R78 DeemedRate1Rule

If DeemedRate is repeated, then DeemedRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code values and DeemedRate/RateTypeAndRate/RateType/Code values must all be different in each occurrence of DeemedRate.

(MT 564 NVR C8).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

R79 DeemedRate2Rule

Only a single occurrence of either DeemedRate/Amount or DeemedRate/Rate or DeemedRate/NotSpecifiedRate may be present, only one of these elements may be present.

(MT 564 NVR C7).

Error handling:

– *Error severity:* Fatal

– *Error Code:*

– *Error Text:*

Used in element(s)

"RateAndAmountDetails" on page 69

5.120.1 AdditionalTax

XML Tag: AddtlTax

Presence: [0..1]

Definition: Rate used for additional tax that cannot be categorised.

The **AddtlTax** block contains the following elements (see datatype "RateAndAmountFormat57Choice__1" on page 341 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]	⚠	342

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax) is removed.

5.120.2 GrossDistributionRate

XML Tag: GrssDstrbtnRate

Presence: [0..*]

Definition: Distribution rate before tax has been deducted.

The **GrssDstrbtnRate** block contains the following elements (see datatype "GrossDividendRateFormat38Choice 1" on page 267 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	🚫 ⚠	268
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	268
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		269
	NotSpecifiedRate	<NotSpctdRate>	Or}	[1..1]		269

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Synonym: DTC Cash Rate

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.

5.120.3 GrossInterestRateUsedForPayment







XML Tag: GrssIntrstRateUsdForPmt




Presence: [0..*]

Definition: The actual interest rate before tax used for the payment of the interest for the specified interest period.

Usage guideline: It is used to provide the applicable rate for the current payment, after all calculations have been performed, that is, application of period and method of interest computation.

The **GrssIntrstRateUsdForPmt** block contains the following elements (see datatype "InterestRateUsedForPaymentFormat11Choice 1" on page 272 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		273
	Amount	<Amt>	Or	[1..1]	 	273
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		273
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		274

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment](#)

Synonym: DTC Cash Rate

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment](#)

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.







5.120.4 WithholdingTaxRate


XML Tag: WhldgTaxRate

Presence: [0..*]

Definition: Percentage of a cash distribution that will be withheld by the tax authorities of the jurisdiction of the issuer, for which a relief at source and/or reclaim may be possible.

The **WhldgTaxRate** block contains the following elements (see datatype "[RateAndAmountFor-mat56Choice 1](#)" on page 340 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]		340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate](#)) is removed.







5.120.5 SecondLevelTax


XML Tag: ScndLvITax

Presence: [0..*]

Definition: Rate at which the income will be withheld by a jurisdiction other than the jurisdiction of the issuer's country of tax incorporation, for which a relief at source and/or reclaim may be possible. It is levied in complement or offset of the withholding tax rate (TAXR) levied by the jurisdiction of the issuer's tax domicile.

The **ScndLvITax** block contains the following elements (see datatype "RateAndAmountFormat56Choice 1" on page 340 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]		340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/SecondLevelTax) is removed.







5.120.6 ChargesFees


XML Tag: ChrgsFees

Presence: [0..1]

Definition: Rate used to calculate the amount of the charges/fees that cannot be categorised.

The **ChrgsFees** block contains the following elements (see datatype "RateAndAmountFormat57Choice 1" on page 341 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]		342

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees) is removed.

5.120.7 EarlySolicitationFeeRate

XML Tag: EarlySlctnFeeRate

Presence: [0..1]

Definition: Cash rate made available, as an incentive, in addition to the solicitation fee, in order to encourage early participation in an offer.

The **EarlySlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice](#)" on page 401 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		401
	AmountToQuantity	<AmtToQty>	Or	[1..1]		401
	Amount	<Amt>	Or	[1..1]	⚠	402
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		402

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate) is removed.

5.120.8 FiscalStamp

XML Tag: FscIStmp

Presence: [0..1]

Definition: Percentage of fiscal tax to apply.

The **FscIStmp** block contains the following elements (see datatype "[RateFormat24Choice](#)" on page 346 for details)

ⓧ Removed - ⓧ Partial Removed - ◇ Ignored - ◇ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/FiscalStamp) is removed.

5.120.9 ThirdPartyIncentiveRate

XML Tag: ThrdPtyInctivRate

Presence: [0..1]

Definition: Cash rate made available in an event in order to encourage participation in the offer. As information, payment is made to a third party who has solicited an entity to take part in the offer.

The **ThrdPtyInctivRate** block contains the following elements (see datatype "RateFor-mat26Choice 1" on page 346 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		347
	Amount	<Amt>	Or	[1..1]	⚠	347
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		347

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/ThirdPartyIncentiveRate) is removed.

5.120.10 NetDistributionRate

XML Tag: NetDstrbtrRate

Presence: [0..*]

Definition: Distribution rate after tax has been deducted.

The **NetDstrbtrRate** block contains the following elements (see datatype "NetDividendRateFor-mat39Choice 1" on page 281 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below - **M** Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]	⚠	282
	AmountAndRateStatus	<AmtAndRateSts>	Or	[1..1]	🚫	282
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]	🚫	283
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		283

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)

- **Market Practice Implementation Rule:**

Used for net rates for payout types: Premium, Short Term Capital Gains, Long Term Capital Gains, Capital Gains, and Interest Payout types.

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)

Synonym: DTC Cash Rate

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate](#)

Comment:

DTC Cash Rate: DTC rate used for calculating the cash entitlement on the distribution.







5.120.11 ApplicableRate

XML Tag: `AplblRate`

Presence: [0..1]

Definition: Rate applicable to the event announced, for example, redemption rate for a redemption event.

The **AplblRate** block contains the following elements (see datatype "[RateFormat31Choice](#)" on [page 348](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<code><Rate></code>	{Or	[1..1]		348
	NotSpecifiedRate	<code><NotSpctdRate></code>	Or	[1..1]		348
	Amount	<code><Amt></code>	Or}	[1..1]		348

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/ApplicableRate](#)) is removed.

5.120.12 SolicitationFeeRate

XML Tag: `SlctnFeeRate`

Presence: [0..1]

Definition: Rate of the cash premium made available if the securities holder consents or participates to an event, for example consent fees or solicitation fee.

The **SlctnFeeRate** block contains the following elements (see datatype "[SolicitationFeeRateFormat11Choice 1](#)" on page 401 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		401
	AmountToQuantity	<AmtToQty>	Or	[1..1]		401
	Amount	<Amt>	Or	[1..1]	⚠	402
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		402

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/SolicitationFeeRate) is removed.

5.120.13 TaxCreditRate

XML Tag: TaxCdtRate

Presence: [0..1]

Definition: Amount of money per equity allocated as the result of a tax credit.

The **TaxCdtRate** block contains the following elements (see datatype "[RateFormat26Choice 1](#)" on page 346 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		347
	Amount	<Amt>	Or	[1..1]	🚫 ⚠	347
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		347

5.120.14 TaxOnIncome


XML Tag: TaxOnIncm

Presence: [0..1]

Definition: Overall tax withheld at source by fund managers prior to considering the tax obligation of each unit holder.

The **TaxOnIncm** block contains the following elements (see datatype "[RateAndAmountFormat57Choice 1](#)" on page 341 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]		342

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/TaxOnIncome) is removed.







5.120.15 TaxOnProfits

XML Tag: TaxOnPrfts

Presence: [0..1]

Definition: Taxation applied on an amount clearly identified as capital profits, capital gains.

The **TaxOnPrfts** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/TaxOnProfits) is removed.







5.120.16 TaxReclaimRate

XML Tag: TaxRclmRate

Presence: [0..1]

Definition: Percentage of cash that was paid in excess of actual tax obligation and was reclaimed.

The **TaxRclmRate** block contains the following elements (see datatype "RateFormat24Choice" on page 346 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/TaxReclaimRate) is removed.







5.120.17 EqualisationRate


XML Tag: EqulstnRate

Presence: [0..1]

Definition: Portion of the fund distribution which represents the average accrued income included in the purchase price for units bought during the account period.

The **EqulstnRate** block contains the following elements (see datatype "RateAndAmountFormat42Choice 1" on page 339 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		339
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		340

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/EqualisationRate) is removed.







5.120.18 DeemedRate


XML Tag: DmdRate




Presence: [0..*]

Definition: Rate applied for the calculation of deemed proceeds which are not paid to security holders but on which withholding tax is applicable.

The **DmdRate** block contains the following elements (see datatype "RateAndAmountFormat61Choice 1" on page 343 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		343







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	Or	[1..1]		344
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		344
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		344
	RateTypeAndRate	<RateTpAndRate>	Or	[1..1]		345


Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate](#)
Synonym (DTCC): DTC Cash Rate
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate](#)
Comment:
Used for IRS 305c events

5.121 RateAndAmountFormat42Choice__1

Definition: Choice between an amount or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>	{Or	[1..1]		339
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		340

Used in element(s)

["EqualisationRate"](#) on page 338

5.121.1 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Number of monetary units specified in a currency.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount"](#) on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/EqualisationRate/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

5.121.2 NotSpecifiedRate

XML Tag: NotSpcdfRate







Presence: [1..1]


Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 545](#)

5.122 RateAndAmountFormat56Choice__1

Definition: Choice of format between a rate or a rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		340
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		340
	Amount	<Amt>	Or	[1..1]		340
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		341

Used in element(s)

["SecondLevelTax" on page 173](#),
 ["SecondLevelTax" on page 168](#),
 ["SecondLevelTax" on page 332](#),
 ["WithholdingTaxRate" on page 173](#),
 ["WithholdingTaxRate" on page 167](#),
 ["WithholdingTaxRate" on page 331](#)

5.122.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

5.122.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 545](#)

5.122.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/SecondLevelTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/WithholdingTaxRate/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)







5.122.4 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.







The **RateTpAndRate** block contains the following elements (see datatype ["RateTypeAndPercentageRate12_1" on page 366](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		366
	Rate	<Rate>		[1..1]		367

5.123 RateAndAmountFormat57Choice__1

Definition: Choice of format between a rate, an amount or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		342
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		342
	Amount	<Amt>	Or}	[1..1]		342

Used in element(s)

["AdditionalTax" on page 171](#), ["AdditionalTax" on page 329](#), ["ChargesFees" on page 165](#),
["ChargesFees" on page 332](#), ["TaxOnIncome" on page 174](#), ["TaxOnIncome" on page 336](#)

5.123.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

5.123.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 545](#)

5.123.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)







Usage Guideline details





- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount](#)**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/TaxOnIncome/Amount](#)**
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/AdditionalTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/ChargesFees/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/AdditionalTax/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/ChargesFees/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545

5.124 RateAndAmountFormat61Choice__1

Definition: Choice of format between a rate or a deemed rate type and rate or an amount or a unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		343
	Amount	<Amt>	Or	[1..1]		344
	NotSpecifiedRate	<NotSpcfdRate>	Or	[1..1]		344
	RateTypeAndAmountAndRateStatus	<RateTpAndAmtAndRateSts>	Or	[1..1]		344
	RateTypeAndRate	<RateTpAndRate>	Or}	[1..1]		345

Used in element(s)

["DeemedRate" on page 338](#)

5.124.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Rate) is removed.

5.124.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value is expressed as a currency and amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/Amount

Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545

5.124.3 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 545

5.124.4 RateTypeAndAmountAndRateStatus

XML Tag: RateTpAndAmtAndRateSts

Presence: [1..1]

Definition: Value is expressed as a rate type and an amount.

The **RateTpAndAmtAndRateSts** block contains the following elements (see datatype "RateTypeAndAmountAndStatus37 1" on page 359 for details)

❌ Removed - ❌ Partial Removed - ◆ Ignored - ◆ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		359
	Amount	<Amt>		[1..1]	⚠️	360
	RateStatus	<RateSts>		[0..1]		360

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus) is removed.

5.124.5 RateTypeAndRate

XML Tag: RateTpAndRate

Presence: [1..1]

Definition: Value is expressed as a rate type and a percentage rate.

The **RateTpAndRate** block contains the following elements (see datatype "[RateTypeAndPercentageRate14 1](#)" on [page 367](#) for details)

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		367
	Rate	<Rate>		[1..1]		367

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/DeemedRate/RateTypeAndRate) is removed.

5.125 RateFormat12Choice

Definition: Choice between a rate or an unspecified rate.

❌ Removed - ❌ Partial Removed - ❖ Ignored - ❖ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		345
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		346

Used in element(s)

"NextFactor" on [page 202](#), "NextFactor" on [page 212](#), "PreviousFactor" on [page 202](#), "Previous-Factor" on [page 211](#)

5.125.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["BaseOne14Rate" on page 421](#)

5.125.2 NotSpecifiedRate

XML Tag: NotSpcdfRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 542](#)

5.126 RateFormat24Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		346
	NotSpecifiedRate	<NotSpcdfRate>	Or}	[1..1]		346

Used in element(s)

["ApplicableRate" on page 166](#),
 ["FinancialTransactionTaxRate" on page 167](#),
 ["FiscalStamp" on page 165](#),
 ["FiscalStamp" on page 333](#),
 ["InterestRate" on page 200](#),
 ["InterestRate" on page 212](#),
 ["MaximumAllowedOversubscriptionRate" on page 172](#),
 ["NextInterestRate" on page 201](#),
 ["NextInterestRate" on page 213](#),
 ["PercentageOfDebtClaim" on page 201](#),
 ["TaxOnProfits" on page 337](#),
 ["TaxReclaimRate" on page 337](#)

5.126.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

5.126.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 542](#)

5.127 RateFormat26Choice__1

Definition: Choice between a rate or an unspecified rate.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		347
	Amount	<Amt>	Or	[1..1]		347
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		347

Used in element(s)

"TaxCreditRate" on page 166, "TaxCreditRate" on page 336, "ThirdPartyIncentiveRate" on page 334

5.127.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 534

5.127.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount) is removed.
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/RateDetails/TaxCreditRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/ThirdPartyIncentiveRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/TaxCreditRate/Amount
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)

5.127.3 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateValueType7Code" on page 545](#)

5.128 RateFormat31Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		348
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		348
	Amount	<Amt>	Or}	[1..1]		348

Used in element(s)

["ApplicableRate" on page 335](#)

5.128.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

5.128.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: ["RateType5Code" on page 542](#)

5.128.3 Amount

XML Tag: Amt







Presence: [1..1]

Definition: Value is expressed as an amount.

Datatype: ["ActiveCurrencyAnd13DecimalAmount" on page 409](#)

5.129 RateFormat32Choice

Definition: Choice between a rate or an unspecified rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		349
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		349

Used in element(s)

"ProrationRate" on page 173

5.129.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 534

5.129.2 NotSpecifiedRate

XML Tag: NotSpcfdRate







Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateType14Code" on page 541

5.130 RateStatus3Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate status.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

Guidelines

1. RateStatusGuideline

The code value ACTU is the default value for a rate status.

Used in element(s)

["RateStatus" on page 361](#),
 ["RateStatus" on page 363](#),
 ["RateStatus" on page 357](#),
 ["RateStatus" on page 359](#),
 ["RateStatus" on page 364](#),
 ["RateStatus" on page 366](#),
 ["RateStatus" on page 360](#)

5.130.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the status of the rate.

Datatype: "RateStatus1Code" on page 540







5.130.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the status of the rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.131 RateType33Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		351

Used in element(s)

"RateType" on page 357

5.131.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of rate.

Datatype: "RateType7Code" on page 542







5.131.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

5.132 RateType36Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		351
	Proprietary	<Prtry>	Or}	[1..1]		351

Used in element(s)

"[RateType](#)" on page 358

5.132.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of tax rate.

Datatype: "[DividendRateType1Code](#)" on page 489







5.132.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of tax rate.







The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on [page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.133 RateType42Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		352
	Proprietary	<Prtry>	Or}	[1..1]		352

Used in element(s)

"RateType" on [page 366](#)

5.133.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of withholding tax rate.

Datatype: "[WithholdingTaxRateType1Code](#)" on [page 554](#)







5.133.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of withholding tax rate.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification30_1](#)" on [page 246](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.134 RateType76Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

⊖ Removed - ⊖ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		353
	Proprietary	<Prtry>	Or}	[1..1]		353

Used in element(s)

"RateType" on page 361

5.134.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType6Code" on page 494

5.134.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

⊖ Removed - ⊖ Partial Removed - ♦ Ignored - ♦ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.135 RateType77Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		354
	Proprietary	<Prtry>	Or}	[1..1]		354

Used in element(s)

"RateType" on page 362

5.135.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType6Code" on page 521

5.135.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)


🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

5.136 RateType78Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		355
	Proprietary	<Prtry>	Or}	[1..1]		355

Used in element(s)

"RateType" on page 363

5.136.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of gross dividend rate.

Datatype: "GrossDividendRateType7Code" on page 495







5.136.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of gross dividend rate.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Proprietary) is removed.

5.137 RateType79Choice__1

Definition: Choice between a standard code or proprietary code to specify a rate type.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		356

Used in element(s)

"RateType" on page 365

5.137.1 Code

XML Tag: Cd

Presence: [1..1]

Definition: Standard code to specify the type of net dividend rate.

Datatype: "NetDividendRateType7Code" on page 522







5.137.2 Proprietary



XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary identification of the type of net dividend rate.







The **Prtry** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

5.138 RateTypeAndAmountAndStatus24__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		357
	Amount	<Amt>		[1..1]		357
	RateStatus	<RateSts>		[0..1]		357

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 273](#)







5.138.1 RateType


XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType33Choice__1" on page 350](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		351

5.138.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/Amount](#)
 Synonym (DTCC): DTC Cash Rate







5.138.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice__1](#)" on [page 349](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350


Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/Rate-AndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateStatus) is removed.

5.139 RateTypeAndAmountAndStatus26__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		358
	Amount	<Amt>		[1..1]		359
	RateStatus	<RateSts>		[0..1]		359

Used in element(s)

"[TaxableIncomePerDividendShare](#)" on [page 174](#)







5.139.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType36Choice__1](#)" on [page 351](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		351
	Proprietary	<Prtry>	Or}	[1..1]		351

5.139.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/TaxableIncomePerDividendShare/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545







5.139.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice 1](#)" on page 349 for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

5.140 RateTypeAndAmountAndStatus37__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		359
	Amount	<Amt>		[1..1]		360
	RateStatus	<RateSts>		[0..1]		360

Used in element(s)

["RateTypeAndAmountAndRateStatus"](#) on page 344

5.140.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[DeemedRateType1Choice 1](#)" on [page 186](#) for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		186
	Proprietary	<Prtry>	Or}	[1..1]		186

5.140.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on [page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/DeemedRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on [page 545](#)

5.140.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice 1](#)" on [page 349](#) for details)


🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

5.141 RateTypeAndAmountAndStatus55__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		361
	Amount	<Amt>		[1..1]		361
	RateStatus	<RateSts>		[0..1]		361

Used in element(s)

"RateTypeAndAmountAndRateStatus" on page 267







5.141.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "RateType76Choice_1" on page 353 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		353
	Proprietary	<Prtry>	Or}	[1..1]		353

5.141.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
 Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)







5.141.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice](#) 1" on [page 349](#) for details)


 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

5.142 RateTypeAndAmountAndStatus56__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		362
	Amount	<Amt>		[1..1]		362
	RateStatus	<RateSts>		[0..1]		363

Used in element(s)

"[RateTypeAndAmountAndRateStatus](#)" on [page 281](#)







5.142.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType77Choice](#) 1" on [page 354](#) for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		354
	Proprietary	<Prtry>	Or}	[1..1]		354

5.142.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/RateAndAmountDetails/NetDistribution-Rate/RateTypeAndAmountAndRateStatus/Amount](#)

Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545](#)







5.142.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype ["RateStatus3Choice 1" on page 349](#) for details)



 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or}	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

5.143 RateTypeAndAmountAndStatus57__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		363
	Amount	<Amt>		[1..1]		364
	RateStatus	<RateSts>		[0..1]		364

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 269](#)

5.143.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType78Choice_1](#)" on page 354 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		355
	Proprietary	<Prtry>	Or}	[1..1]	🚫	355

5.143.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: "[RestrictedFINActiveCurrencyAnd13DecimalAmount](#)" on page 545

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545
- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
Synonym: DTC Cash Rate

5.143.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.

The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice_1](#)" on page 349 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350


Usage Guideline details

- This element([see v.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateStatus](#)) is removed.

5.144 RateTypeAndAmountAndStatus58__1

Definition: Specifies the value expressed as a rate and an amount.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		365
	Amount	<Amt>		[1..1]		365
	RateStatus	<RateSts>		[0..1]		366

Used in element(s)

["RateTypeAndAmountAndRateStatus" on page 283](#)







5.144.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype ["RateType79Choice__1" on page 355](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		356
	Proprietary	<Prtry>	Or}	[1..1]		356

5.144.2 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Value expressed as an amount.

Datatype: ["RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/NetDistributionRate/RateTypeAndAmountAndRateStatus/Amount](#)
Type changed to: [RestrictedFINActiveCurrencyAnd13DecimalAmount](#) on page 545







5.144.3 RateStatus

XML Tag: RateSts

Presence: [0..1]

Definition: Value expressed as a rate status.







The **RateSts** block contains the following elements (see datatype "[RateStatus3Choice 1](#)" on [page 349](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		350
	Proprietary	<Prtry>	Or}	[1..1]		350

5.145 RateTypeAndPercentageRate12__1

Definition: Specifies the value expressed as a rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		366
	Rate	<Rate>		[1..1]		367

Used in element(s)

["RateTypeAndRate"](#) on [page 341](#)







5.145.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "[RateType42Choice 1](#)" on [page 352](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		352
	Proprietary	<Prtry>	Or}	[1..1]		352

5.145.2 Rate

XML Tag: Rate







Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: "Percentage14Rate" on page 534

5.146 RateTypeAndPercentageRate14__1

Definition: Specifies the value expressed as a deemed rate type and a percentage rate.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	RateType	<RateTp>		[1..1]		367
	Rate	<Rate>		[1..1]		367

Used in element(s)

"RateTypeAndRate" on page 345







5.146.1 RateType

XML Tag: RateTp

Presence: [1..1]

Definition: Value expressed as a rate type.

The **RateTp** block contains the following elements (see datatype "DeemedRateType1Choice__1" on page 186 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		186
	Proprietary	<Prtry>	Or}	[1..1]		186

5.146.2 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value expressed as a rate.

Datatype: ["Percentage14Rate" on page 534](#)

5.147 RatioFormat17Choice__1

Definition: Choice of format to express a ratio.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		368
	NotSpecifiedRate	<NotSpcdfRate>	Or	[1..1]		368
	AmountToAmount	<AmtToAmt>	Or}	[1..1]		368

Used in element(s)

["AdditionalQuantityForExistingSecurities" on page 163](#),
 ["AdditionalQuantityForSubscribedResultantSecurities" on page 163](#)

5.147.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype ["QuantityToQuantityRatio1__1" on page 324](#) for details)

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]		324
	Quantity2	<Qty2>		[1..1]		325

5.147.2 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: ["RateValueType7Code" on page 545](#)

5.147.3 AmountToAmount

XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "[AmountToAmountRatio2__1](#)" on [page 50](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]	⚠	50
	Amount2	<Amt2>		[1..1]	⚠	51

5.148 RatioFormat18Choice__1

Definition: Choice of format to express a ratio.

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityToQuantity	<QtyToQty>	{Or	[1..1]		369
	NotSpecifiedRate	<NotSpctdRate>	Or	[1..1]		370
	AmountToAmount	<AmtToAmt>	Or	[1..1]		370
	AmountToQuantity	<AmtToQty>	Or	[1..1]		370
	QuantityToAmount	<QtyToAmt>	Or}	[1..1]		370

Used in element(s)

"[NewToOld](#)" on [page 164](#)

5.148.1 QuantityToQuantity

XML Tag: QtyToQty

Presence: [1..1]

Definition: Ratio expressed as a quotient of quantities.

The **QtyToQty** block contains the following elements (see datatype "[QuantityToQuantityRatio1__1](#)" on [page 324](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity1	<Qty1>		[1..1]	⚠	324
	Quantity2	<Qty2>		[1..1]	⚠	325

5.148.2 NotSpecifiedRate

XML Tag: NotSpcfdRate

Presence: [1..1]

Definition: Value of the ratio not specified.

Datatype: "RateValueType7Code" on page 545







5.148.3 AmountToAmount



XML Tag: AmtToAmt

Presence: [1..1]

Definition: Ratio expressed as a quotient of amounts.

The **AmtToAmt** block contains the following elements (see datatype "AmountToAmountRatio2_1" on page 50 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount1	<Amt1>		[1..1]		50
	Amount2	<Amt2>		[1..1]		51







5.148.4 AmountToQuantity



XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "AmountAndQuantityRatio4_1" on page 40 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		41
	Quantity	<Qty>		[1..1]		42

5.148.5 QuantityToAmount

XML Tag: QtyToAmt

Presence: [1..1]

Definition: Ratio expressed as a quantity to amount ratio.

The **QtyToAmt** block contains the following elements (see datatype "AmountAndQuantityRatio4_1" on page 40 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		41
	Quantity	<Qty>		[1..1]		42

5.149 SafekeepingPlaceFormat41Choice__1

Definition: Choice between formats for the place of safekeeping.

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]		371
	Country	<Ctry>	Or	[1..1]		372
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		372
	TypeAndIdentification	<TpAndId>	Or	[1..1]		372
	Proprietary	<Prtry>	Or}	[1..1]		372

Used in element(s)

"SafekeepingPlace" on page 384

5.149.1 Identification

XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "SafekeepingPlaceTypeAndText8__1" on page 376 for details)

Removed - Partial Removed - Ignored - Partial Ignored - Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		377
	Identification	<Id>		[0..1]		377

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification) is removed.

5.149.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 483

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Country) is removed.

5.149.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 485







5.149.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 375 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		375
	Identification	<Id>		[1..1]		376

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/TypeAndIdentification) is removed.

5.149.5 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "[GenericIdentification78__1](#)" on page 265 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		265
	Identification	<Id>		[0..1]	⚠	265

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Proprietary) is removed.

5.150 SafekeepingPlaceFormat42Choice__1

Definition: Choice of formats for a place of safekeeping.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	373
	Country	<Ctry>	Or	[1..1]	🚫	374
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		374
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	374
	Proprietary	<Prtry>	Or}	[1..1]	🚫	375

Used in element(s)

["SafekeepingPlace"](#) on page 36

5.150.1 Identification


XML Tag: Id

Presence: [1..1]

Definition: Place of safekeeping expressed as a code and a narrative description.

The **Id** block contains the following elements (see datatype "[SafekeepingPlaceTypeAndText6__1](#)" on page 376 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		376
	Identification	<Id>		[0..1]		376

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Identification) is removed.

5.150.2 Country

XML Tag: Ctry

Presence: [1..1]

Definition: Place of safekeeping expressed with a country code.

Datatype: "CountryCode" on page 483

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Country) is removed.

5.150.3 DigitalLedgerIdentification

XML Tag: DgtlLdgrId

Presence: [1..1]

Definition: Place of safekeeping expressed with a Digital Ledger Identifier. It identifies a digital ledger or distributed ledger system (for example, blockchain or similar platforms).

Datatype: "DTI2024Identifier" on page 485







5.150.4 TypeAndIdentification

XML Tag: TpAndId

Presence: [1..1]

Definition: Place of safekeeping expressed with a type and identification.

The **TpAndId** block contains the following elements (see datatype "SafekeepingPlaceTypeAndIdentification1" on page 375 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		375
	Identification	<Id>		[1..1]		376

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/TypeAndIdentification) is removed.







5.150.5 Proprietary


XML Tag: Prtry

Presence: [1..1]

Definition: Place of safekeeping expressed with a propriety identification scheme.

The **Prtry** block contains the following elements (see datatype "GenericIdentification78_1" on page 265 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Type	<Tp>		[1..1]		265
	Identification	<Id>		[0..1]		265

Usage Guideline details

- This element(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Safekeeping-Place/Proprietary) is removed.

5.151 SafekeepingPlaceTypeAndIdentification1

Definition: Identification of the type of place of safekeeping expressed as a code and a BIC.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		375
	Identification	<Id>		[1..1]		376

Used in element(s)

"TypeAndIdentification" on page 374, "TypeAndIdentification" on page 372

5.151.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace1Code" on page 552](#)

5.151.2 Identification

XML Tag: Id







Presence: [1..1]


Definition: Place of safekeeping.

Datatype: ["AnyBICDec2014Identifier" on page 420](#)

5.152 SafekeepingPlaceTypeAndText6__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		376
	Identification	<Id>		[0..1]		376

Used in element(s)

["Identification" on page 373](#)

5.152.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: ["SafekeepingPlace2Code" on page 552](#)

5.152.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: ["RestrictedFINXMax30Text" on page 549](#)

Usage Guideline details

- on [seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/SafekeepingPlace/Identification/Identification](#)

Type changed to: [RestrictedFINXMax30Text on page 549](#)

5.153 SafekeepingPlaceTypeAndText8__1

Definition: Identification of the place of safekeeping expressed as a code and a narrative description.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SafekeepingPlaceType	<SfkpgPlcTp>		[1..1]		377
	Identification	<Id>		[0..1]	⚠	377

Used in element(s)

"Identification" on page 371

5.153.1 SafekeepingPlaceType

XML Tag: SfkpgPlcTp

Presence: [1..1]

Definition: Place of safekeeping as a code.

Datatype: "SafekeepingPlace3Code" on page 552

5.153.2 Identification

XML Tag: Id

Presence: [0..1]

Definition: Additional information about the place of safekeeping.

Datatype: "RestrictedFINXMax30Text" on page 549

Usage Guideline details












- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SafekeepingPlace/Identification/Identification
 Type changed to: RestrictedFINXMax30Text on page 549

5.154 SecuritiesOption122__1

Definition: Provides information about the corporate action security option.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	SecurityDetails	<SctyDtls>		[1..1]		379
	CreditDebitIndicator	<CdtDbtInd>		[1..1]	⚠	380
	TemporaryFinancialInstrumentIndicator	<TempFinInstrmInd>		[0..1]	⚠	380

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NonEligibleProceedsIndicator	<NonElgblPrcdsInd>		[0..1]		381
	IssuerOfferorTaxabilityIndicator	<IssrOfferrTaxbltyInd>		[0..1]		381
	NewSecuritiesIssuanceIndicator	<NewScetiesIssncInd>		[0..1]		382
	IncomeType	<IncmTp>		[0..1]		382
	OtherIncomeType	<OthrIncmTp>		[0..*]		383
	ExemptionType	<XmptnTp>		[0..*]		383
	EntitledQuantity	<EntitldQty>		[0..1]		384
	SafekeepingPlace	<SfkpgPlc>		[0..1]		384
	CountryOfIncomeSource	<CtryOfIncmSrc>		[0..1]		385
	FractionDisposition	<FrctnDspstn>		[0..1]		385
	CurrencyOption	<CcyOptn>		[0..1]		385
	TradingPeriod	<TradgPrd>		[0..1]		386
	DateDetails	<DtDtls>		[1..1]		386
	RateDetails	<RateDtls>		[0..1]		387
	PriceDetails	<PricDtls>		[0..1]		387
	AmountDetails	<AmtDtls>		[0..1]		388

Rules

R56 IssuerOfferorTaxabilityIndicatorUsageRule

The IssuerOfferorTaxabilityIndicator may only be used when no tax rate is provided in the message and it must not be used in events such as dividend or interest.

The list of proprietary IssuerOfferorTaxabilityIndicator codes that may be used are provided in the document "TXAP Taxability Codes" available on the SMPG website at www.smpg.info.

Error handling: Undefined

R57 IncomeTypeUsageRule

The lists of income type codes to be used in IncomeType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- Error severity: Fatal
- Error Code:
- Error Text:

R58 ExemptionTypeUsageRule

The lists of exemption type codes to be used in ExemptionType are provided in the document "ETYP-ITYP Exemption & Income Type Codes" available on the SMPG website at www.smpg.info.

Error handling:

- *Error severity:* Fatal
- *Error Code:*
- *Error Text:*

R59 IncomeType1Rule ✓

If at least one occurrence of OtherIncomeType is present, then IncomeType must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00544
- *Error Text:* Invalid message contents for IncomeType. Element must be present.

Used in element(s)

["SecuritiesMovementDetails" on page 151](#)

5.154.1 SecurityDetails

XML Tag: SctyDtls

Presence: [1..1]

Definition: Provides description of the financial instrument related to securities movement.

The **SctyDtls** block contains the following elements (see datatype ["FinancialInstrumentAttributes129_1" on page 204](#) for details)

🚫 Removed - 🚫 Partial Removed - ⚡ Ignored - ⚡ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	FinancialInstrumentIdentification	<FinInstrmId>		[1..1]	⚠	206
	PlaceOfListing	<PlcOfListg>		[0..1]	🚫	207
	DayCountBasis	<DayCntBsis>		[0..1]	🚫	207
	ClassificationType	<ClssfctnTp>		[0..1]	🚫	207
	OptionStyle	<OptnStyle>		[0..1]	🚫	208
	DenominationCurrency	<DnmtnCcy>		[0..1]	🚫	208
	NextCouponDate	<NxtCpnDt>		[0..1]	🚫	209
	FloatingRateFixingDate	<FltgRateFxgDt>		[0..1]	🚫	209
	MaturityDate	<MtrtyDt>		[0..1]	⚠	209
	IssueDate	<IssDt>		[0..1]	🚫	210

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NextCallableDate	<NxtCllbIDt>		[0..1]	⊘	210
	PutableDate	<PutblIDt>		[0..1]	⊘	210
	DatedDate	<DtdDt>		[0..1]	⊘	211
	ConversionDate	<ConvsDt>		[0..1]	⊘	211
	PreviousFactor	<PrvsFctr>		[0..1]	⊘	211
	NextFactor	<NxtFctr>		[0..1]	⊘	212
	InterestRate	<IntrstRate>		[0..1]	⊘	212
	NextInterestRate	<NxtIntrstRate>		[0..1]	⊘	213
	MinimumNominalQuantity	<MinNmnlQty>		[0..1]	⊘	213
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]	⊘	214
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]	⊘	214
	ContractSize	<CtrctSz>		[0..1]	⊘	215
	IssuePrice	<IssePric>		[0..1]	⊘	215

5.154.2 CreditDebitIndicator

XML Tag: CdtDbtInd

Presence: [1..1]

Definition: Specifies whether the value is a debit or credit.

Datatype: "CreditDebitCode" on page 484

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/CreditDebitIndicator

– **Applicability:**
true







5.154.3 TemporaryFinancialInstrumentIndicator


XML Tag: TempFinInstrmInd

Presence: [0..1]

Definition: Specifies that the security identified is a temporary security identification used for processing reasons, for example, contra security used in the US.

The **TempFinInstrmInd** block contains the following elements (see datatype "TemporaryFinancialInstrumentIndicator3Choice 1" on page 403 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TempInd>	{Or	[1..1]		403
	Proprietary	<Prtry>	Or}	[1..1]		403

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/Temporary-FinancialInstrumentIndicator](#)
 - **Market Practice Usage Rule:**
Used when security is a contra CUSIP.
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/Temporary-FinancialInstrumentIndicator](#)
 - **Applicability:**
true







5.154.4 NonEligibleProceedsIndicator

XML Tag: NonElgblPrcdsInd

Presence: [0..1]

Definition: Specifies information regarding outturn resources that cannot be processed by the Central Securities Depository (CSD). Special delivery instruction is required from the account owner for the corporate action outcome to be credited.

The **NonElgblPrcdsInd** block contains the following elements (see datatype "[NonEligibleProceedsIndicator5Choice 1](#)" on page 284 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		284
	Proprietary	<Prtry>	Or}	[1..1]		285

Usage Guideline details

- This element([seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NonEligibleProceedsIndicator](#)) is removed.

5.154.5 IssuerOfferorTaxabilityIndicator

XML Tag: IssrOfferrTaxbltyInd

Presence: [0..1]

Definition: Proceeds are taxable according to the information provided by the issuer / offeror.

The **IssrOfferrTaxbltyInd** block contains the following elements (see datatype "IssuerOfferorTaxabilityIndicator2Choice" on page 275 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		275
	Proprietary	<Prtry>	Or}	[1..1]		275

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/IssuerOfferorTaxabilityIndicator) is removed.

5.154.6 NewSecuritiesIssuanceIndicator

XML Tag: NewSctiesIssnclnd

Presence: [0..1]

Definition: Specifies the nature of the securities issue.

Datatype: "NewSecuritiesIssuanceType5Code" on page 523

5.154.7 IncomeType

XML Tag: IncmTp

Presence: [0..1]

Definition: Specifies the type of income.

The lists of income type codes to be used, are available on the SMPG website at www.smpg.info.

The **IncmTp** block contains the following elements (see datatype "GenericIdentification30_1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/IncomeType) is removed.







5.154.8 OtherIncomeType



XML Tag: OthrIncmTp

Presence: [0..*]

Definition: Type of income to be used under another tax regime.

The **OthrIncmTp** block contains the following elements (see datatype "GenericIdentification30 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/OtherIncomeType) is removed.







5.154.9 ExemptionType



XML Tag: XmptnTp

Presence: [0..*]

Definition: Specifies the basis for the reduced rate of withholding.

The **XmptnTp** block contains the following elements (see datatype "GenericIdentification30 1" on page 246 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]		246
	SchemeName	<SchmeNm>		[0..1]		253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/ExemptionType) is removed.







5.154.10 EntitledQuantity


XML Tag: EntitldQty

Presence: [0..1]

Definition: Quantity of securities based on the terms of the corporate action event and balance of underlying securities entitled to the account owner. (This quantity can be positive or negative).

The **EntitldQty** block contains the following elements (see datatype "[Quantity51Choice](#) 1" on [page 323](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		323
	OriginalAndCurrentFace	<OrgnlAndCurFace>	Or}	[1..1]		324

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity
 - **Applicability:**
true
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity

Synonym (DTCC): Securities Quantity
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/EntitledQuantity

Comment:
Projected allocation (in positions).







5.154.11 SafekeepingPlace

XML Tag: SfkpgPlc

Presence: [0..1]

Definition: Location where the financial instruments are/will be safekept.

The **SfkpgPlc** block contains the following elements (see datatype "[SafekeepingPlaceFormat41Choice](#) 1" on [page 371](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>	{Or	[1..1]	🚫	371
	Country	<Ctry>	Or	[1..1]	🚫	372
	DigitalLedgerIdentification	<DgtlLdgrId>	Or	[1..1]		372
	TypeAndIdentification	<TpAndId>	Or	[1..1]	🚫	372
	Proprietary	<Prtry>	Or}	[1..1]	🚫	372

5.154.12 CountryOfIncomeSource

XML Tag: CtryOfIncmSrc

Presence: [0..1]

Definition: Indicates the country from which the income originates.

Datatype: "CountryCode" on page 483

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/CountryOfIncomeSource) is removed.

5.154.13 FractionDisposition

XML Tag: FrctnDspstn

Presence: [0..1]

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

The **FrctnDspstn** block contains the following elements (see datatype "FractionDisposition-Type26Choice 1" on page 244 for details)

🚫 Removed - 🚫 Partial Removed - ✨ Ignored - ✨ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Code	<Cd>	{Or	[1..1]		244
	Proprietary	<Prtry>	Or}	[1..1]		244

Usage Guideline details

- This element(see v.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/FractionDisposition) is removed.

5.154.14 CurrencyOption

XML Tag: CcyOptn

Presence: [0..1]

Definition: Currency in which cash proceeds are to be credited or debited.

Datatype: "ActiveCurrencyCode" on page 410

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/CurrencyOption) is removed.







5.154.15 TradingPeriod

XML Tag: TradgPrd

Presence: [0..1]

Definition: Period during which intermediate or outturn securities are tradable in a secondary market.

The **TradgPrd** block contains the following elements (see datatype "Period12Choice" on page 298 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Period	<Prd>	{Or}	[1..1]		298
	PeriodCode	<PrdCd>	Or}	[1..1]		298

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/TradingPeriod) is removed.







5.154.16 DateDetails



XML Tag: DtDtIs

Presence: [1..1]

Definition: Provides information about the dates related to securities movement.

The **DtDtIs** block contains the following elements (see datatype "SecurityDate20 1" on page 392 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]		392
	AvailableDate	<AvlblDt>		[0..1]		393

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	DividendRankingDate	<DvddRnkgDt>		[0..1]	🚫	393
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠️	394
	PariPassuDate	<PrpssDt>		[0..1]	🚫	394
	LastTradingDate	<LastTradgDt>		[0..1]	🚫	395

5.154.17 RateDetails

XML Tag: RateDtls

Presence: [0..1]

Definition: Provides information about the rates related to securities movement.

The **RateDtls** block contains the following elements (see datatype "CorporateActionRate123_1" on page 162 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠️ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalQuantityForSubscribedResultantSecurities	<AddtlQtyForSbcdbRslt-ntScities>		[0..1]	🚫	163
	AdditionalQuantityForExistingSecurities	<AddtlQtyForExstgScities>		[0..1]		163
	NewToOld	<NewToOd>		[0..1]		164
	TransformationRate	<TrfrmatnRate>		[0..1]	🚫	164
	ChargesFees	<ChrgsFees>		[0..1]	🚫	165
	FiscalStamp	<FscIStmp>		[0..1]	🚫	165
	ApplicableRate	<AplblRate>		[0..1]	🚫	166
	TaxCreditRate	<TaxCdtRate>		[0..1]	🚫	166
	FinancialTransactionTaxRate	<FinTxTaxRate>		[0..1]	🚫	167
	WithholdingTaxRate	<WhldgTaxRate>		[0..*]	🚫	167
	SecondLevelTax	<ScndLvITax>		[0..*]	🚫	168

5.154.18 PriceDetails






XML Tag: PricDtls

Presence: [0..1]

Definition: Provides information about the prices related to securities movement.

The **PricDtls** block contains the following elements (see datatype "CorporateActionPrice82_1" on page 155 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	IndicativeOrMarketPrice	<IndctvOrMktPric>		[0..1]		156
	CashInLieuOfSharePrice	<CshInLieuOfShrPric>		[0..1]		156
	CashValueForTax	<CshValForTax>		[0..1]		157
	GenericCashPricePaidPerProduct	<GncCshPricPdPerPdct>		[0..1]		157
	GenericCashPriceReceivedPerProduct	<GncCshPricRcvdPerPdct>		[0..1]		158







5.154.19 AmountDetails




XML Tag: AmtDtls

Presence: [0..1]

Definition: Provides information about the amounts related to a securities movement.

The **AmtDtls** block contains the following elements (see datatype "CorporateActionAmounts60__1" on page 75 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	WithholdingTaxAmount	<WhldgTaxAmt>		[0..1]		75
	SecondLevelTaxAmount	<ScndLvTaxAmt>		[0..1]	 	75

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/AmountDetails) is removed.

5.155 SecuritiesOption81__1

Definition: Specifies the security option of a corporate event.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	MaximumQuantityToInstruct	<MaxQtyToInst>		[0..1]		389
	MinimumQuantityToInstruct	<MinQtyToInst>		[0..1]		389
	MinimumMultipleQuantityToInstruct	<MinMltplQtyToInst>		[0..1]		390

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	NewBoardLotQuantity	<NewBrdLotQty>		[0..1]		390
	NewDenominationQuantity	<NewDnmtnQty>		[0..1]		391
	FrontEndOddLotQuantity	<FrntEndOddLotQty>		[0..1]		391
	BackEndOddLotQuantity	<BckEndOddLotQty>		[0..1]		391

Used in element(s)

"SecuritiesQuantity" on page 150







5.155.1 MaximumQuantityToInstruct




XML Tag: MaxQtyToInst

Presence: [0..1]

Definition: Maximum quantity of financial instrument that may be instructed.

The **MaxQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity34Choice 1" on page 237 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		238
	FaceAmount	<FaceAmt>	Or	[1..1]		238
	AmortisedValue	<AmtsdVal>	Or	[1..1]		239
	Code	<Cd>	Or	[1..1]		239
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		239







5.155.2 MinimumQuantityToInstruct



XML Tag: MinQtyToInst


Presence: [0..1]

Definition: Minimum quantity of financial instrument that may be instructed.

The **MinQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity34Choice 1" on page 237 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		238
	FaceAmount	<FaceAmt>	Or	[1..1]		238

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AmortisedValue	<AmtsdVal>	Or	[1..1]		239
	Code	<Cd>	Or	[1..1]		239
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		239







5.155.3 MinimumMultipleQuantityToInstruct




XML Tag: MinMltplQtyToInst

Presence: [0..1]

Definition: Minimum multiple quantity of financial instrument that may be instructed.

The **MinMltplQtyToInst** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 239 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		240
	FaceAmount	<FaceAmt>	Or	[1..1]		241
	AmortisedValue	<AmtsdVal>	Or	[1..1]		241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243







5.155.4 NewBoardLotQuantity




XML Tag: NewBrdLotQty

Presence: [0..1]

Definition: Quantity of equity that makes up the new board lot.

The **NewBrdLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 239 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		240
	FaceAmount	<FaceAmt>	Or	[1..1]		241
	AmortisedValue	<AmtsdVal>	Or	[1..1]		241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

5.155.5 NewDenominationQuantity

XML Tag: NewDnmtnQty

Presence: [0..1]

Definition: New denomination of the equity following, for example, an increase or decrease in nominal value.

The **NewDnmtnQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	240
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

5.155.6 FrontEndOddLotQuantity

XML Tag: FrntEndOddLotQty

Presence: [0..1]

Definition: Specifies that if an order is prorated holders of odd lots who tender their full position will not have tendered position prorated but rather accepted in full.

The **FrntEndOddLotQty** block contains the following elements (see datatype "[FinancialInstrumentQuantity35Choice_1](#)" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	240
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

5.155.7 BackEndOddLotQuantity

XML Tag: BckEndOddLotQty

Presence: [0..1]

Definition: Represents the presence of a back end odd lot provision and the quantity of equity required after proration to be eligible for this privilege.

The **BckEndOddLotQty** block contains the following elements (see datatype "FinancialInstrumentQuantity35Choice 1" on page 239 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]	⚠	240
	FaceAmount	<FaceAmt>	Or	[1..1]	⚠	241
	AmortisedValue	<AmtsdVal>	Or	[1..1]	⚠	241
	Code	<Cd>	Or	[1..1]		242
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		243

5.156 SecurityDate20__1

Definition: Specifies security date details.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PaymentDate	<PmtDt>		[1..1]	⚠	392
	AvailableDate	<AvlblDt>		[0..1]	🚫	393
	DividendRankingDate	<DvddRnkgDt>		[0..1]	🚫	393
	EarliestPaymentDate	<EarlstPmtDt>		[0..1]	⚠	394
	PariPassuDate	<PrpssDt>		[0..1]	🚫	394
	LastTradingDate	<LastTradgDt>		[0..1]	🚫	395

Used in element(s)

"DateDetails" on page 386

5.156.1 PaymentDate

XML Tag: PmtDt

Presence: [1..1]

Definition: Date/time at which the movement is due to take place (cash and/or securities).

The **PmtDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 181 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
 - **Applicability:**
true
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
Synonym (DTCC): Declared Payable Date
- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate
Comment:
 Payable date as announced by the issuer or offeror.







5.156.2 AvailableDate

XML Tag: AvlblDt

Presence: [0..1]

Definition: Date/time at which securities become available for trading, for example first dealing date.

The **AvlblDt** block contains the following elements (see datatype "DateFormat30Choice 1" on page 181 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/AvailableDate) is removed.

5.156.3 DividendRankingDate

XML Tag: DvddRnkgDt

Presence: [0..1]

Definition: Date/time at which a security will be entitled to a dividend.

The **DvddRnkgDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 181 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/DividendRankingDate) is removed.

5.156.4 EarliestPaymentDate

XML Tag: EarlstPmtDt

Presence: [0..1]

Definition: Date/time at which a payment can be made, for example, if payment date is a non-business day or to indicate the first payment date of an offer.

The **EarlstPmtDt** block contains the following elements (see datatype "DateFormat30Choice_1" on page 181 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate

Synonym (DTCC): DTC Anticipated Payment Date

- on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/EarliestPaymentDate

Comment:

Date when DTC anticipates to receive funds / securities and make a payment.







5.156.5 PariPassuDate

XML Tag: PrpssDt

Presence: [0..1]

Definition: Date/time at which security will assimilate, become fungible, or have the same rights to dividends as the parent issue.

The **PrpssDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on [page 181](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PariPassuDate) is removed.







5.156.6 LastTradingDate

XML Tag: LastTradgDt

Presence: [0..1]

Definition: Date/time at which the securities to be reorganised will cease to be tradeable.

The **LastTradgDt** block contains the following elements (see datatype "[DateFormat30Choice_1](#)" on [page 181](#) for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]







Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Date	<Dt>	{Or	[1..1]		181
	DateCode	<DtCd>	Or}	[1..1]		182



Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/LastTradingDate) is removed.

5.157 SecurityIdentification19__1

Definition: Identification of a security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ISIN	<ISIN>		[0..1]		397
	OtherIdentification	<OthrId>		[0..*]	 R[0..1]	397
	Description	<Desc>		[0..1]		397

Rules

R26 DescriptionPresenceRule ✓

If Description is not present then either ISIN or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00192
- *Error Text:* At least one identification must be present.

R27 OtherIdentificationPresenceRule ✓

If OtherIdentification is not present then either ISIN or Description must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00193
- *Error Text:* At least one identification must be present.

R28 ISINPresenceRule ✓

If ISIN is not present then either Description or at least one occurrence of OtherIdentification must be present.

Error handling:

- *Error severity:* Fatal
- *Error Code:* X00194
- *Error Text:* At least one identification must be present.

R29 DescriptionUsageRule

Description must be used alone as the last resort.

Error handling: Undefined

R30 ISINGuideline

When an ISIN code exists, it is strongly recommended that the ISIN be used.

Error handling: Undefined

Used in element(s)

"FinancialInstrumentIdentification" on page 194, "FinancialInstrumentIdentification" on page 206, "SecurityIdentification" on page 147

5.157.1 ISIN

XML Tag: ISIN

Presence: [0..1]

Definition: International Securities Identification Number (ISIN). A numbering system designed by the United Nation's International Organisation for Standardisation (ISO). The ISIN is composed of a 2-character prefix representing the country of issue, followed by the national security number (if one exists), and a check digit. Each country has a national numbering agency that assigns ISIN numbers for securities in that country.

Datatype: "ISINOct2015Identifier" on page 501







5.157.2 OtherIdentification




XML Tag: OthrlId

Presence: [0..*]

Definition: Identification of a security by proprietary or domestic identification scheme.

The **OthrlId** block contains the following elements (see datatype "OtherIdentification1 1" on page 291 for details)

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		291
	Suffix	<Sfx>		[0..1]		292
	Type	<Tp>		[1..1]		292

Usage Guideline details

- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification

This element has change repeatability, the maximum occurrence has been changed to : 1

- on seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/OtherIdentification

– **Market Practice Usage Rule:**

CUSIP is used at DTCC.

5.157.3 Description

XML Tag: Desc

Presence: [0..1]

Definition: Textual description of a security instrument.

Datatype: "RestrictedFINXMax140Text" on page 548

Usage Guideline details

- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/CorporateActionMovementDetails/SecurityIdentification/Description](#)
Type changed to: [RestrictedFINXMax140Text](#) on page 548
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Event Security Description
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Description (DTC Disbursed Security)
- on [seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/SecurityDetails/FinancialInstrumentIdentification/Description](#)
Synonym (DTCC): Description (DTC Disbursed Fractional Security)
- on [seev.035.001.17/CorporateActionGeneralInformation/UnderlyingSecurity/FinancialInstrumentIdentification/Description](#)
Comment:
Description of the security.

5.158 SignedQuantityFormat10__1

Definition: Signed quantity of security formats.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Used in element(s)

["EligibleBalance"](#) on page 52, ["EligibleBalance"](#) on page 54, ["FullPeriodUnits"](#) on page 405, ["FullPeriodUnits"](#) on page 56, ["NotEligibleBalance"](#) on page 53, ["NotEligibleBalance"](#) on page 55, ["PartWayPeriodUnits"](#) on page 405, ["PartWayPeriodUnits"](#) on page 56, ["SignedQuantity"](#) on page 323

5.158.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 553](#)







5.158.2 Quantity





XML Tag: Qty

Presence: [1..1]

Definition: Quantity of security.







The **Qty** block contains the following elements (see datatype ["FinancialInstrumentQuantity33Choice 1" on page 216](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Unit	<Unit>	{Or	[1..1]		216
	FaceAmount	<FaceAmt>	Or	[1..1]		223
	AmortisedValue	<AmtsdVal>	Or	[1..1]	 	230
	DigitalTokenUnit	<DgtlTknUnit>	Or}	[1..1]		237

5.159 SignedQuantityFormat11__1

Definition: Signed quantity of security formats.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		399
	QuantityChoice	<QtyChc>		[1..1]		400

Used in element(s)

["Balance" on page 52](#), ["Balance" on page 54](#)

5.159.1 ShortLongPosition

XML Tag: ShrtLngPos

Presence: [1..1]

Definition: Sign of the quantity of security.

Datatype: ["ShortLong1Code" on page 553](#)

Usage Guideline details

- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/BorrowedBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingReceiptBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralInBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/CollateralOutBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/PendingDeliveryBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.
- on **seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/OnLoanBalance/Balance/ShortLongPosition**
 - **Market Practice Usage Rule:**

Long must be used as default.







5.159.2 QuantityChoice


XML Tag: QtyChc

Presence: [1..1]

Definition: Choice between different quantity of security formats.







The **QtyChc** block contains the following elements (see datatype "[Quantity48Choice_1](#)" on [page 319](#) for details)


 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Quantity	<Qty>	{Or	[1..1]		319
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		320

5.160 SolicitationFeeRateFormat11Choice__1

Definition: Choice between a rate or an unspecified rate.

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Rate	<Rate>	{Or	[1..1]		401
	AmountToQuantity	<AmtToQty>	Or	[1..1]		401
	Amount	<Amt>	Or	[1..1]		402
	NotSpecifiedRate	<NotSpcfdRate>	Or}	[1..1]		402

Used in element(s)

"EarlySolicitationFeeRate" on page 333, "SolicitationFeeRate" on page 335

5.160.1 Rate

XML Tag: Rate

Presence: [1..1]

Definition: Value is expressed as a rate.

Datatype: "Percentage14Rate" on page 534







5.160.2 AmountToQuantity



XML Tag: AmtToQty

Presence: [1..1]

Definition: Ratio expressed as an amount to quantity ratio.

The **AmtToQty** block contains the following elements (see datatype "AmountAndQuantityRatio4__1" on page 40 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Amount	<Amt>		[1..1]		41
	Quantity	<Qty>		[1..1]		42

5.160.3 Amount

XML Tag: Amt

Presence: [1..1]

Definition: Cash amount.

Datatype: "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545

Usage Guideline details

- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/EarlySolicitationFeeRate/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545
- on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/SolicitationFeeRate/Amount
Type changed to: RestrictedFINActiveCurrencyAnd13DecimalAmount on page 545

5.160.4 NotSpecifiedRate

XML Tag: NotSpcdfRate

Presence: [1..1]

Definition: Value of the rate not specified.

Datatype: "RateValueType7Code" on page 545

5.161 SupplementaryData1

Definition: Additional information that can not be captured in the structured fields and/or any other specific block.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
 M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	PlaceAndName	<PlcAndNm>		[0..1]	🚫	403
	Envelope	<Envlp>		[1..1]		403

Rules

R17 SupplementaryDataRule

This component may not be used without the explicit approval of a SEG and submission to the RA of ISO 20022 compliant structure(s) to be used in the Envelope element.

Error handling: Undefined

Used in element(s)

"SupplementaryData" on page 32

5.161.1 PlaceAndName

XML Tag: PlcAndNm

Presence: [0..1]

Definition: Unambiguous reference to the location where the supplementary data must be inserted in the message instance.

In the case of XML, this is expressed by a valid XPath.

Datatype: "Max350Text" on page 519

Usage Guideline details

- This element(seev.035.001.17/SupplementaryData/PlaceAndName) is removed.

5.161.2 Envelope







XML Tag: Envlp


Presence: [1..1]

Definition: Technical element wrapping the supplementary data.

5.162 TemporaryFinancialInstrumentIndicator3Choice__1

Definition: Choice between an indicator or a proprietary code to specify whether the security is a temporary security.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	TemporaryIndicator	<TemplInd>	{Or	[1..1]		403
	Proprietary	<Prtry>	Or}	[1..1]		403

Used in element(s)

"TemporaryFinancialInstrumentIndicator" on page 380

5.162.1 TemporaryIndicator

XML Tag: TemplInd

Presence: [1..1]

Definition: Temporary financial instrument identification used for processing reasons.

Datatype: "YesNoIndicator" on page 555

5.162.2 Proprietary

XML Tag: Prtry

Presence: [1..1]

Definition: Proprietary code to specify whether the security is a temporary security.

The **Prtry** block contains the following elements (see datatype "GenericIdentification30__1" on page 246 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Identification	<Id>		[1..1]		246
	Issuer	<Issr>		[1..1]	⚠	246
	SchemeName	<SchmeNm>		[0..1]	⚠	253

Usage Guideline details

- This element(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/TemporaryFinancialInstrumentIndicator/Proprietary) is removed.

5.163 TotalEligibleBalanceFormat10__1

Definition: Total eligible balance for the corporate action and full and part way period units.

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	Balance	<Bal>		[0..1]		404
	FullPeriodUnits	<FullPrdUnits>		[0..1]	🚫	405
	PartWayPeriodUnits	<PartWayPrdUnits>		[0..1]	🚫	405

Used in element(s)

"TotalEligibleBalance" on page 96

5.163.1 Balance


XML Tag: Bal

Presence: [0..1]

Definition: Provides information about balance related to a corporate action.

The **Bal** block contains the following elements (see datatype "Quantity49Choice__1" on page 321 for details)

🚫 Removed - 🚫 Partial Removed - ⬜ Ignored - ⬜ Partial Ignored - ⚠ Details below -
M Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	QuantityChoice	<QtyChc>	{Or	[1..1]		321
	ProprietaryQuantity	<PrtryQty>	Or}	[1..1]		321







5.163.2 FullPeriodUnits

XML Tag: FullPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased in a previous distribution period and/or held at the beginning of a distribution period, for example Group I Units in the UK.

The **FullPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 398 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element(see v.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/FullPeriodUnits) is removed.







5.163.3 PartWayPeriodUnits

XML Tag: PartWayPrdUnits

Presence: [0..1]

Definition: Number of units of a fund that were purchased part way throughout a distribution period, for example Group II Units in the U.K.

The **PartWayPrdUnits** block contains the following elements (see datatype "SignedQuantityFormat10 1" on page 398 for details)

 Removed -  Partial Removed -  Ignored -  Partial Ignored -  Details below -
 Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	ShortLongPosition	<ShrtLngPos>		[1..1]		398
	Quantity	<Qty>		[1..1]		399

Usage Guideline details

- This element([seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/TotalEligibleBalance/PartWayPeriodUnits](#)) is removed.

5.164 UpdatedAdditionalInformation17__1

Definition: Additional information with update description and date.

Removed -
 Partial Removed -
 Ignored -
 Partial Ignored -
 Details below -
 Mandatory -
 R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..*]		406

Used in element(s)

["AdditionalText" on page 130](#),
 ["BasketOrIndexInformation" on page 134](#),
 ["CertificationBreakdown" on page 138](#),
 ["CertificationBreakdown" on page 135](#),
 ["Disclaimer" on page 138](#),
 ["Disclaimer" on page 133](#),
 ["InformationConditions" on page 137](#),
 ["InformationConditions" on page 132](#),
 ["InformationToComplyWith" on page 137](#),
 ["InformationToComplyWith" on page 132](#),
 ["NarrativeVersion" on page 131](#),
 ["PartyContactNarrative" on page 133](#),
 ["ProcessingTextForNextIntermediary" on page 135](#),
 ["RegistrationDetails" on page 134](#),
 ["SecurityRestriction" on page 137](#),
 ["TaxationConditions" on page 138](#),
 ["TaxationConditions" on page 132](#)

5.164.1 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..*]

Definition: Provides additional textual information.

Datatype: ["RestrictedFINXMax350Text" on page 550](#)

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/InformationToComplyWith/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)
- on [seev.035.001.17/AdditionalInformation/AdditionalText/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)
- on [seev.035.001.17/AdditionalInformation/TaxationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)
- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/TaxationConditions/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)
- on [seev.035.001.17/AdditionalInformation/InformationConditions/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/InformationConditions/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/Disclaimer/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/NarrativeVersion/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/CertificationBreakdown/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/RegistrationDetails/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/Certification-Breakdown/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/PartyContactNarrative/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/SecurityRestriction/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/ProcessingTextForNextIntermediary/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/Disclaimer/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

- on [seev.035.001.17/AdditionalInformation/BasketOrIndexInformation/AdditionalInformation](#)







Type changed to: [RestrictedFINXMax350Text on page 550](#)


- on [seev.035.001.17/AdditionalInformation/InformationToComplyWith/AdditionalInformation](#)

Type changed to: [RestrictedFINXMax350Text on page 550](#)

5.165 UpdatedAdditionalInformation18__1

Definition: Additional information with update description and date.

 Removed -
  Partial Removed -
  Ignored -
  Partial Ignored -
  Details below -
  Mandatory - R[x..x] Repeatability[details]

Index	Message Item	<XML Tag>	Or	Mult.	Usage Guidelines	Page
	AdditionalInformation	<AddtlInf>		[1..1]		408

Used in element(s)

"AdditionalText" on page 136, "NarrativeVersion" on page 136

5.165.1 AdditionalInformation

XML Tag: AddtlInf

Presence: [1..1]

Definition: Provides additional textual information.

Datatype: "RestrictedFINXMax350Text" on page 550

Usage Guideline details

- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/NarrativeVersion/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)
- on [seev.035.001.17/CorporateActionMovementDetails/AdditionalInformation/AdditionalText/AdditionalInformation](#)
Type changed to: [RestrictedFINXMax350Text on page 550](#)

6 Message Datatypes

Note The following chapter identifies the datatypes that are used in the message.

6.1 ActiveCurrencyAnd13DecimalAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 410

Format

fractionDigits	13
minInclusive	0
totalDigits	18

Used in element(s)

"Amount" on page 348

6.2 ActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 410

Format

fractionDigits	5
minInclusive	0
totalDigits	18

Rules

R68 CurrencyAmount ✓

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits.

Used in element(s)

"TaxArrearAmount" on page 85

6.3 ActiveCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R15 ActiveCurrency ✓

The currency code must be a valid active currency code, not yet withdrawn on the day the message containing the currency is exchanged. Valid active currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and are not yet withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00005
- *Error Text:* Invalid currency code

Used in element(s)

"CurrencyOption" on page 145, "CurrencyOption" on page 385, "QuotedCurrency" on page 243, "UnitCurrency" on page 243

Used in attribute(s)

"ActiveCurrencyAnd13DecimalAmount" on page 409, "RestrictedFINActiveCurrencyAnd13DecimalAmount" on page 545, "RestrictedFINActiveCurrencyAndAmount" on page 546, "ActiveCurrencyAndAmount" on page 409

Restricts

"ParentCurrencyCode" on page 533

6.4 ActiveOrHistoricCurrencyCode

Definition: A code allocated to a currency by a Maintenance Agency under an international identification scheme, as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds".

Type: CodeSet

Format

pattern [A-Z]{3,3}

Rules

R25 ActiveOrHistoricCurrency ✓

The Currency Code must be registered, or have already been registered. Valid active or historic currency codes are registered with the ISO 4217 Maintenance Agency, consist of three (3) contiguous letters, and may be or not be withdrawn on the day the message containing the Currency is exchanged.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00006
- *Error Text:* Invalid currency code.

Used in element(s)

"DenominationCurrency" on page 197, "DenominationCurrency" on page 208

Restricts

"ParentCurrencyCode" on page 533

6.5 AdditionalBusinessProcess10Code

Definition: Specifies the additional business process linked to a corporate action event.

Type: CodeSet

Code	Name	Definition
CLAI	ClaimOrCompensation	<p>Relates to a claim on the associated corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/Additional-BusinessProcessIndicator/Code/ClaimOrCompensation) is removed.

Code	Name	Definition
FPRE	FullPrefunding	<p>Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding <ul style="list-style-type: none"> – Full Prerefunding Rule: <p>Used when Corporate Action event type is PDEF and the event is a Full Prerefunding</p> • on seev.035.001.17/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/FullPrefunding <p>Synonym (DTCC): DTC Event Full Prerefunding</p>
INCP	IncentivePremiumPayment	<p>The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.</p>
PPRE	PartialPreFunding	<p>Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding <ul style="list-style-type: none"> – Partial Pre-refunding Rule: <p>Used when the event type is a Partial Defeasance PDEF and the DTC event is Partial Prerefunding</p>

Code	Name	Definition
		<ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialPreFunding <p>Synonym (DTCC): DTC Event Partial Prerefunding</p>
PPUT	PartialMandatoryPutRedemption	<p>Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionDetails/AdditionalBusinessProcessIndicator/Code/PartialMandatoryPutRedemption <p>Synonym (DTCC): Partial Mandatory Put</p>
REAC	RequiredAction	<p>Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/RequiredAction) is removed.
REVR	NotificationOfReversal	<p>Indicates a preadvice of movement reversal.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/NotificationOfReversal) is removed.

Code	Name	Definition
TAXR	TaxRefund	<p>Relates to a tax refund from the authorities on the associated corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/AdditionalBusinessProcessIndicator/Code/TaxRefund) is removed.

Used in element(s)

"Code" on page 40

Restricts

"AdditionalBusinessProcessCode" on page 414

6.6 AdditionalBusinessProcessCode

Definition: Specifies the additional business process linked to a corporate action event such as an automatic market claim.

Type: CodeSet

Code	Name	Definition
ACLA	AutomaticMarketClaim	Will automatically give rise to market claims by the account servicer, for example, a Central Securities Depository (CSD).
ATXF	SubjectToTransformation	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system and replaced with transactions in the outturn resource(s).
CLAI	ClaimOrCompensation	Relates to a claim on the associated corporate action event.
CLFT	FullTransferOfReceivedProceeds	Market Claim is to transfer proceeds received from the issuer to the entitled party.
CLNT	PartialTransferOfReceivedProceeds	Market Claim is to transfer proceeds partially received from the issuer to the entitled party. Part is compensated in cash by the party that failed to fulfil its obligation.
CLPT	NoTransferOfReceivedProceeds	Market Claim is to compensate the entitled party in cash by the party that failed to fulfil its obligation. No proceeds have been received from the issuer.

Code	Name	Definition
CNTR	CancelUnderlyingTrades	As a result of the corporate action, all transactions in the underlying security will be cancelled by the system.
CONS	Consent	Relates to a consent within a corporate action event other than a Consent event (: 22F:: CAEV//CONS).
FPRE	FullPrefunding	Full pre-funding of a debt instrument by the issuer prior to original maturity when the issuer deposits assets in trust. Applicable only in the frame of a partial defeasance corporate action event.
INCP	IncentivePremiumPayment	The event is a payment of an incentive premium related to the voting conditions prescribed at a general meeting.
NAMC	NoAutomaticMarketClaimsAndTransformations	Event will not automatically give rise to market claims and transformations by the account servicer, for example, a Central Securities Depository (CSD).
NPLE	NoSplitElection	Issuer only allows a single option to be selected per designated holding.
PPRE	PartialPreFunding	Partial pre-funding of a debt instrument prior to maturity drawn through a lottery process. One new security is issued with an earlier maturity date for the refunded (called portion) and the other new security is issued with the original maturity date for the non refunded (remaining) portion. Applicable only in the frame of a partial defeasance corporate action event.
PPUT	PartialMandatoryPutRedemption	Partial mandatory exchange of a portion of bonds where the exchanged securities are usually remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. A lottery will be used to determine eligibility for the event.
REAC	RequiredAction	Proceeds / entitlements of the mandatory event can only be paid if specified action is taken by the account owner.
REVR	NotificationOfReversal	Indicates a preadvice of movement reversal.
SCHM	SchemeOrPlanOfArrangement	Component event of a scheme/plan of arrangement: a reorganisation of a company or a group of companies, and their capital.
TAXR	TaxRefund	Relates to a tax refund from the authorities on the associated corporate action event.

Is restricted by

"AdditionalBusinessProcess10Code" on page 411

6.7 AddressType2Code

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Used in element(s)

"AddressType" on page 300

Restricts

"AddressTypeCode" on page 416

6.8 AddressTypeCode

Definition: Specifies the type of address.

Type: CodeSet

Code	Name	Definition
ADDR	Postal	Address is the complete postal address.
BIZZ	Business	Address is the business address.
DLVY	DeliveryTo	Address is the address to which delivery is to take place.
HOME	Residential	Address is the home address.
MLTO	MailTo	Address is the address to which mail is sent.
PBOX	POBox	Address is a postal office (PO) box.

Is restricted by

"AddressType2Code" on page 416

6.9 AmountDirectionCode

Definition: Specifies if an operation is an increase or a decrease or the result of a reversal operation.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	Operation is an increase.
DBIT	Debit	Operation is a decrease.
RVCD	ReversalCredit	Debit entry used to reverse a previously booked credit entry.
RVDB	ReversalDebit	Credit entry used to reverse a previously booked debit entry.

Is restricted by

"CreditDebitCode" on page 484

6.10 AmountPriceType1Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	<p>Price expressed as a currency and amount per unit or per share.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/ActualAmount – Market Practice Implementation Rule: <p>Used as default value.</p>
DISC	Discount	<p>Price expressed as a discount amount.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Discount) is removed. This code(seev.035.001.17/CorporateActionMovementDetails/Cash-

Code	Name	Definition
		<p>MovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Discount) is removed.</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/Discount) is removed.
PLOT	Lot	<p>Price expressed as an amount of money per lot.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/Lot) is removed. • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/AmountPricePerFinancialInstrumentQuantity/AmountPriceType/Lot) is removed.

Code	Name	Definition
PREM	Premium	<p>Price expressed as a premium.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/PriceDetails/GenericCashPricePaidPerProduct/AmountPrice/AmountPriceType/Premium) is removed. • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/PriceDetails/CashInLieuOfSharePrice/AmountPrice/AmountPriceType/Premium) is removed.

Used in element(s)

["AmountPriceType" on page 45](#), ["AmountPriceType" on page 48](#), ["AmountPriceType" on page 47](#)

Restricts

["AmountPriceTypeCode" on page 420](#)

6.11 AmountPriceType2Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.

Used in element(s)

["AmountPriceType" on page 44](#)

Restricts

["AmountPriceTypeCode" on page 420](#)

6.12 AmountPriceType3Code

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
PLOT	Lot	Price expressed as an amount of money per lot.

Used in element(s)

"AmountPriceType" on page 46

Restricts

"AmountPriceTypeCode" on page 420

6.13 AmountPriceTypeCode

Definition: Specifies the amount price type.

Type: CodeSet

Code	Name	Definition
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a discount amount.
PLOT	Lot	Price expressed as an amount of money per lot.
PREM	Premium	Price expressed as a premium.

Is restricted by

"AmountPriceType1Code" on page 417, "AmountPriceType2Code" on page 419, "AmountPriceType3Code" on page 419

6.14 AnyBICDec2014Identifier

Definition: Code allocated to a financial or non-financial institution by the ISO 9362 Registration Authority, as described in ISO 9362: 2014 - "Banking - Banking telecommunication messages - Business identifier code (BIC)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}[A-Z]{2,2}[A-Z0-9]{2,2}([A-Z0-9]{3,3}){0,1}

Rules**R16 AnyBIC ✓**

Only a valid Business identifier code is allowed. Business identifier codes for financial or non-financial institutions are registered and published by the ISO 9362 Registration Authority in the ISO directory of BICs, and consists of eight (8) or eleven (11) contiguous characters.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00008
- *Error Text:* Invalid BIC.

Used in element(s)

"AnyBIC" on page 295, "AnyBIC" on page 296, "AnyBIC" on page 294, "Identification" on page 376

6.15 BaseOne14Rate

Definition: Rate expressed as a decimal, for example, 0.7 is 7/10 and 70% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"ExchangeRate" on page 243, "Rate" on page 345

6.16 BeneficiaryCertificationType6Code

Definition: Specifies the type of certification required.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate ac-

Code	Name	Definition
		tion, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Used in element(s)

"Code" on page 57

Restricts

"BeneficiaryCertificationTypeCode" on page 422

6.17 BeneficiaryCertificationTypeCode

Definition: The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.

Type: CodeSet

Code	Name	Definition
ACCI	AccreditedInvestor	The holder of the security has to irrevocably certify that it is an Accredited Investor as defined in US Securities and Exchange Commission Regulation D.
DIOR	IntermediaryDocumentationRequired	Documentation required on or prior to the documentation deadline date.
DOMI	DomicileCountry	The holder of the security has to certify his domicile.
FRAC	FractionBreakdown	Indicates that the rounding rule in the Fraction Disposition component at the option level can be offered at the beneficial owner level.
FULL	FullBeneficialOwnerBreakdown	The holder of the security has to provide his beneficial owner details for disclosure/instruction purpose.
NARR	NarrativeDescription	See narrative field for certification requirements.
NCOM	NonCompany	The holder of the security has to certify he is an Non-Company related person.

Code	Name	Definition
NDOM	NonDomicileCountry	The holder of the security has to certify, in line with the terms of the corporate action, that it is not domiciled in the country indicated.
PABD	ICSDParticipantBreakdown	The (I)CSD has to provide its participants' details for disclosure purpose to the issuer/issuer's agent.
PAPW	BeneficialOwnerPaperwork	Indicates if the Beneficial Owner is responsible to fill in the paperwork.
QIBB	QIBCertification	The holder of the security has to irrevocably certify that it is a Qualified Institutional Buyer as defined in US Securities and Exchange Commission Rule 144A.
TRBD	TaxRateBreakdown	The holder of the security has to provide basic details on nominals and associated tax rate to be used by the account servicer.

Is restricted by

"BeneficiaryCertificationType6Code" on page 421

6.18 CFIOct2015Identifier

Definition: Classification type of the financial instrument, as per the ISO 10962 Classification of Financial Instrument (CFI) codification, for example, common share with voting rights, fully paid, or registered.

Note: this identifier also supports the updated versions of the standard, which were published in 2019 and 2021 (ISO 10962 :2019 and ISO 10962:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{6,6}

Used in element(s)

"ClassificationFinancialInstrument" on page 71

6.19 CorporateActionEventProcessingType1Code

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.

Code	Name	Definition
GENL	General	No debit or credit of resources.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Used in element(s)

"Code" on page 119

Restricts

"CorporateActionEventProcessingTypeCode" on page 424

6.20 CorporateActionEventProcessingTypeCode

Definition: Specifies the type of event processing.

Type: CodeSet

Code	Name	Definition
DISN	Distribution	Holder of the relevant security on a certain date, for example, the record date, will receive a benefit without giving up the underlying security.
GENL	General	No debit or credit of resources.
REDM	Redemption	Indicates that the announcement is for a redemption of securities for events including full and partial calls, maturities partial prerefunding and partial defeasance events.
REOR	Reorganisation	Underlying security will be debited and may be replaced by another resource (or resources).

Is restricted by

"CorporateActionEventProcessingType1Code" on page 423

6.21 CorporateActionEventStage4Code

Definition: Specifies the stage of the CA lottery event.

Type: CodeSet

Code	Name	Definition
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.

Code	Name	Definition
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.

Used in element(s)

"Code" on page 122

Restricts

"CorporateActionEventStageV2Code" on page 425

6.22 CorporateActionEventStageV2Code

Definition: Specifies the stage of the corporate action event.

Type: CodeSet

Code	Name	Definition
APPD	Approved	Approved at the relevant meeting, for example, dividend.
CLDE	Deactivated	Offer is closed for acceptance.
FULL	CancellationAndReRun	Cancellation and re-run of an original lottery or an original and supplemental lottery. A subsequent lottery will be performed under a new event.
LAPS	Lapsed	Event/offer conditions have not been met and the event/offer is terminated or lapsed.
PART	SupplementalCancellationAndReRun	Cancellation and re-run of a supplemental lottery only. A subsequent new supplemental lottery will be performed under the original event.
PWAL	ActionPeriod	Open for acceptance until the next specified deadline in the announcement (if any).
RESC	SupplementalCancellation	A supplemental lottery is being cancelled by the issuer. No subsequent lottery will be performed.
SUAP	SubjectToApproval	Subject to approval at the relevant meeting, for example, dividend.
UNAC	UnconditionalAsToAcceptance	The required level of acceptances specified in the terms of the offer has been achieved but there are still outstanding conditions to fulfil.
WHOU	WhollyUnconditional	Wholly unconditional; all conditions specified in the offer document have been satisfied.

Is restricted by

"CorporateActionEventStage4Code" on page 424

6.23 CorporateActionEventType36Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	<p>Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have been distributed to investors for tax purposes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Accumulation) is removed.
ACTV	ActiveTradingStatus	<p>Trading in the security has commenced or security has been re-activated after a suspension in trading.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/ActiveTradingStatus) is removed.
ATTI	Attachment	<p>Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Attachment) is removed.
BIDS	RepurchaseOffer	<p>Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to</p>

Code	Name	Definition
		<p>reduce the number of outstanding securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <ul style="list-style-type: none"> – Market Practice Implementation Rules: <p>Used when the event type is Tender Offer and the offeror is the issuer of the security.</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <p>Synonym (DTCC): Tender Offer</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/RepurchaseOffer <p>Comment: Offer made to security holders, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices).</p>
BONU	BonusIssue	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/BonusIssue) is removed.
BPUT	PutRedemption	<p>Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Put • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Mandatory Put • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Synonym (DTCC): Partial Mandatory Put • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PutRedemption Comment: Mandatory Put: mandatory exchange of all outstanding bonds (with a Put feature) for cash. The issuer may offer holders the right to retain their securities instead of exchanging them. Partial Mandatory Put: mandatory exchange of a portion of bonds, where the exchanged securities maybe remarketed. The issuer may offer holders the right to retain instead of exchanging their securities. Put: feature of a bond that entitles the holder to elect to surrender the bond for cash during a predetermined time period, with a predetermined payable date.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Synonym (DTCC): Bankruptcy • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Synonym (DTCC): Plan of Reorganisation (Vote) • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Bankruptcy Comment: Bankruptcy: notice given by a company facing financial difficulties that affords the company protection from creditors. The bankruptcy notice may require shareholder action and will usually involve a court ruling in which the securities may become valueless. Similar to the "Default Notice" event, however this is for information only. The actual processing of any entitlements will take place under a separate event. Plan of Reorganisation (Vote): reorganisation plan devised by the company or trustees that is usually associated with a bankruptcy filing. Identifies an event where a vote/consent is sought for the plan of reorganisation.
CAPD	CapitalDistribution	<p>Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/CapitalDistribution</p> <p>Synonym (DTCC): Return of Capital (DRIP DTC Only)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital (DRIP Issuer Only)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital (Opt Out)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Synonym (DTCC): Return of Capital</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalDistribution <p>Comment: Distribution of cash resulting from the sale of a capital asset or securities, or any other transaction unrelated to retained earnings. Security holders may have to adjust the 'cost basis' of their holding to account for the payment.</p>
CAPG	CapitalGainsDistribution	<p>Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution

Code	Name	Definition
		<p>Synonym (DTCC): Capital Gains Distribution</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CapitalGainsDistribution <p>Comment: Distribution of cash that the issuer has determined will be declared as income financed from capital gains and not ordinary income.</p>
CAPI	Capitalisation	<p>Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Capitalisation <p>Synonym (DTCC): Principal</p>
CERT	NonUSTEFRADCertification	<p>Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/NonUSTEFRADCertification) is removed.
CHAN	Change	<p>Information regarding a change further described in the corporate action details.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Change

Code	Name	Definition
		<p>Synonym (DTCC): Change</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Change <p>Synonym (DTCC): Name Change</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Change <p>Comment: Change: issuer is announcing a change in company or security details.</p> <p>Name Change: issuer changes its name or the name of its security(ies). This may involve surrendering physical securities. A new security identifier may be assigned to the new name, especially when new securities are issued.</p>
CLSA	ClassActionProposedSettlement	<p>Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/ClassActionProposedSettlement) is removed.
CONS	Consent	<p>Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Consent <p>Synonym (DTCC): Consent</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Consent

Code	Name	Definition
		Comment: Solicitation to security holders for their agreement (consent) to proposed changes in the terms of the security, usually without a formal general meeting. A fee may be paid to the security holder.
CONV	Conversion	<p>Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Conversion <p>Synonym (DTCC): Conversion</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Conversion <p>Comment: Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price or rate.</p>
COOP	CompanyOption	<p>Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/CompanyOp- tion) is removed.
CREV	CreditEvent	<p>Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CreditEvent) is removed.
DECR	DecreaseInValue	<p>Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DecreaseInValue) is removed.
DETI	Detachment	<p>Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Detachment <p>Synonym (DTCC): Security Separation</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Detachment <p>Comment:</p>

Code	Name	Definition
		Separation of a "unit" security (which consists of 2 or more securities that were originally issued) back into individual components.
DFLT	BondDefault	<p>Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/BondDefault <p>Synonym (DTCC): Default</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/BondDefault <p>Comment: Notice of failure by the issuer to honor commitments made within the terms of the issued security. It usually relates to making timely payments of interest and principal as they come due. A payment may be made in lieu of reinstituting the original payments.</p>
DLST	TradingStatusDelisted	<p>Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/TradingStatusDelisted) is removed.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Drawing <p>Synonym (DTCC): Partial Call</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Drawing <p>Comment: Securities are redeemed by the issuer for cash, in part, before their scheduled maturity date. The outstanding amount of securities will be proportionally reduced based on a specific percentage of holding. A lottery may be run where pooled securities are held.</p>
DRCA	CashDistributionFromNonEligibleSecurities-Sales	<p>Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Synonym (DTCC): Sale of Rights (ADR)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Synonym (DTCC): Return of Capital (Sale of Assets)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDistributionFromNonEligibleSecuritiesSales <p>Comment: This event will be used for the scenarios where securities are</p>

Code	Name	Definition
		<p>subject to redemptions other than full and partial calls. Specifically, when there is a feature of the security that allows the issuer to make a payment to the security holder.</p> <p>Sale of Rights (ADR) is defined as a corporate action event where the rights are ineligible and must be sold, thus the holder can only receive cash for them.</p> <p>Return of Capital (ADR) is defined as corporate action event where the securities other than rights are ineligible and must be sold, thus the holder can only receive cash.</p>
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Automatic Dividend Reinvestment • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Cash Dividend (DRIP Issuer) • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Synonym (DTCC): Special Dividend (DRIP Issuer) • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendReinvestment Comment:

Code	Name	Definition
		Automatic Dividend Reinvestment: mandatory event for which the dividend payment is paid only in additional shares of the same security. This event type will predominantly be used for US securities and differs from the Dividend Reinvestment option (a voluntary portion of the event that applies to non-US securities). "DRIP" also appears as an option under relevant events.
DSCL	Disclosure	<p>Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Disclosure) is removed.
DTCH	DutchAuction	<p>Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DutchAuction Synonym (DTCC): Dutch Auction • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DutchAuction <p>Comment: Identifies a tender event in which the corporation offers to purchase up to a certain amount of securities within a price range. Holders must submit a specific price within that range that they would be willing to accept. The actual tender price is not determined until the end of the offer.</p>

Code	Name	Definition
DVCA	CashDividend	<p>Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <ul style="list-style-type: none"> – Market Practice Implementation Rule: <p>Used when the event type is Cash Dividend with no sub event type, or sub event types DTC DRIP (DTC announcement dividend reinvestment and opt out, or special dividend event type.</p> <p>When event type is special dividend, DividendType:SPEC code must be used in core schema.</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <p>Synonym (DTCC): Cash Dividend</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <p>Synonym (DTCC): Special Dividend</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CashDividend <p>Comment:</p> <p>Cash Dividend: distribution of cash to shareholders, paid by the issuer, usually based upon current earnings and/or accumulated profits as declared by the board of directors.</p> <p>Special Dividend: cash payment to shareholders that repre-</p>

Code	Name	Definition
		sents an extra or non-regular payment.
DVOP	DividendOption	<p>Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendOption <p>Synonym (DTCC): Dividend With Option</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/DividendOption <p>Comment: Distribution of a dividend to shareholders with the choice of payment method. The shareholder has the option to choose the form of payment (e.g. securities, cash, or both).</p>
DVSC	ScripDividend	<p>Dividend or interest paid in the form of scrip.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/ScripDividend) is removed.
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/StockDividend</p> <p>Synonym (DTCC): Stock Dividend</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/StockDividend <p>Comment: Dividend paid to shareholders in the form of shares of stock of the same security.</p>
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Exchange Offer • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Termination • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange Synonym (DTCC): Mandatory Exchange • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Exchange Comment: Exchange Offer: offer to surrender securities in exchange for other securities or a combination of securities and cash. The exchange may also include a consent solicitation. <p>Mandatory Exchange: required surrender of certificates to</p>

Code	Name	Definition
		<p>be exchanged for a new security or cash.</p> <p>Termination: security, usually a form of a derivative for which the agent or issuer has decided to terminate the derivative based on a change to the underlying security(ies) or a change in strategy.</p>
EXRI	CallOnIntermediateSecurities	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Synonym (DTCC): Rights Subscription</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Synonym (DTCC): Sale of Rights (Poison Pill)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/CallOnIntermediateSecurities <p>Comment: Rights Subscription: privilege granted to holders of rights to purchase new or additional securities. Rights are often tradable in a secondary market. Sale of Rights (Poison Pill) is defined as an event where the issuer redeems poison pill rights for cash.</p>
EXTM	MaturityExtension	<p>As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An is-</p>

Code	Name	Definition
		<p>suer initiated extension may be subject to holder's approval.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/MaturityExtension) is removed.
EXWA	WarrantExercise	<p>Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <p>Synonym (DTCC): Warrants Exercise</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/WarrantExercise <p>Comment: Feature of a security that permits the holder to exercise an option to exchange the security into another form (usually, warrants into shares). The exercise will commonly require a payment based upon a pre-determined value and time.</p>
INCR	IncreaseInValue	<p>Increase in the face value of a single security. The number of circulating securities remains unchanged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/IncreaseInValue) is removed.

Code	Name	Definition
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Interest-Payment <p>Synonym (DTCC): Interest</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Interest-Payment <p>Comment: Payment of an obligation that the issuer agrees to make to holders of an interest-bearing security. Usually, the payment is made in cash and on a scheduled basis.</p>
LIQU	LiquidationDividend	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend <p>Synonym (DTCC): Liquidation</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/LiquidationDividend <p>Comment: Company reports its intentions to dismantle its business, paying off debts in order of priority and distributing the remaining assets in cash and/or securities to the owners of the securities. The payment of proceeds may require the presentation of securities.</p>
MCAL	FullCall	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or</p>

Code	Name	Definition
		<p>its agent, for example, asset manager, before final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Final Paydown • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall Synonym (DTCC): Full Call • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FullCall Comment: Final Paydown: final distribution of principal due on a security, typically CMOs. Full Call: security is redeemed for cash in its entirety on a date that is prior to the maturity date, and for which the holders receive the principal amount of the security.
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Merger Synonym (DTCC): Merger • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Merger Comment: Exchange of one company's security for another company's security, cash, or a combination of cash and securities.

Code	Name	Definition
NOOF	NonOfficialOffer	<p>Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer <p>Synonym (DTCC): Tender Offer (Mini)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/NonOfficialOffer <p>Comment: Identifies a tender offer event presented by a third party to shareholders of the target company, in which the conditions of the offer (e.g., quantity sought) are less than the requirements established by market regulators. As such, regulatory approval is not required.</p>
ODLT	OddLotSalePurchase	<p>Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase <p>Synonym (DTCC): Odd Lot Offer</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OddLotSalePurchase <p>Comment: Identifies a tender offer event made by the corporation or its agent to purchase/sell shares from/to odd-lot shareholders.</p>

Code	Name	Definition
		An odd-lot shareholder typically refers to a holder of less than 100 shares of stock.
OTHR	OtherEvent	<p>Other event, use only when no other event type applies, for example, a new event type.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Identifies DTCC events that do not have ISO equivalent definition. Additional identifiers in the message will provide a one to one mapping for the DTCC event. Complete mapping matrix is available in DTCC Events Dictionary. Unknown event type will be created for the announcement not recognised by the validation service.</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): General Information</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Reorganisation</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Unknown</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Rights Plan Adoption</p>

Code	Name	Definition
		<ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/OtherEvent <p>Synonym (DTCC): Distribution</p>
PARI	PariPassu	<p>Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PariPassu) is removed.
PCAL	PartialRedemptionWithoutPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithoutPoolFactorReduction) is removed.
PDEF	Prefunding	<p>Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInforma-

Code	Name	Definition
		<p>tion/EventType/Code/Prefunding</p> <p>Synonym (DTCC): Partial Prerefunding</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <p>Synonym (DTCC): Full Prerefunding</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <p>Synonym (DTCC): Partial Defeasance</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Prefunding <p>Comment:</p> <p>Full Prerefunding: exercise of a privilege by the issuer to repay, in full, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt.</p> <p>Partial Prerefunding: similar to a full prerefunding, a partial prerefunding is the exercise of a privilege by the issuer to repay, in part, any debt security prior to maturity when the issuer deposits assets in trust. This irrevocably restricts their use to satisfaction of the debt. New securities are issued for the portion prerefunded.</p> <p>Partial Defeasance: issuer sets aside cash in escrow to pay off a portion of the issue on the maturity date. New securities are issued for the portion defeased.</p>
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PayInKind <p>Synonym (DTCC): Pay In Kind</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PayInKind <p>Comment: Income on interest-bearing securities where the payment is made in additional securities rather than cash.</p>
PLAC	PlaceOfIncorporation	<p>Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation <p>Synonym (DTCC): Change (Domicile)</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PlaceOfIncorporation <p>Comment: Identifies a change event where the issuer has changed the domicile of the corporation.</p>
PPMT	InstalmentCall	<p>Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInforma-

Code	Name	Definition
		tion/EventType/Code/Instalment-Call) is removed.
PRED	PartialRedemptionWithPoolFactorReduction	<p>Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction <p>Synonym (DTCC): Principal</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PartialRedemptionWithPoolFactorReduction <p>Comment: Cash payment that represents a reduction of the principal in the security</p>
PRIO	PriorityIssue	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/PriorityIssue) is removed.
RCLA	Reclassification	<p>Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Reclassification

Code	Name	Definition
		<p>Synonym (DTCC): Tax Event</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Reclassification <p>Comment: Used for US Tax Event 1042-S</p>
REDM	FinalMaturity	<p>Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Synonym (DTCC): Maturity</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Synonym (DTCC): Redemption of Warrants</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/FinalMaturity <p>Comment: Maturity: repayment, usually in cash, by an issuer for the entire issue, or remaining outstanding securities of a specific security on a specified date. Redemption of Warrants: issuer pays proceeds to holders on or after the expiration date of the warrant rather than expire the warrant for no cash (worthless).</p>
REDO	Redenomination	<p>Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Redenomination) is removed.
REMK	RemarketingAgreement	<p>Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/RemarketingAgreement) is removed.
RHDI	IntermediateSecuritiesDistribution	<p>Distribution of intermediate securities that gives the holder the right to take part in a future event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution <p>Synonym (DTCC): Rights Distribution</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/IntermediateSecuritiesDistribution <p>Comment: Securities distributed to common stock holders of a company that grant the option to purchase new or additional securities of the same company during a predetermined time period at a predetermined price. A Rights Distribution is accompanied by a corresponding Rights Subscription, which provides the details related to exercising the rights. In some cases, DTC will allocate rights that are not issued by</p>

Code	Name	Definition
		the company to process a subscription offer.
RHTS	RightsIssue	<p>Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security. Both processes are included in the same event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/RightsIssue) is removed.
SHPR	SharesPremiumDividend	<p>Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/SharesPremiumDividend) is removed.
SMAL	SmallestNegotiableUnit	<p>Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/SmallestNegotiableUnit) is removed.
SOFF	SpinOff	<p>Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/SpinOff <p>Synonym (DTCC): Spin-Off</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/SpinOff <p>Comment: Distribution of subsidiary securities to the shareholders of the parent company without a surrender of securities or payment. A spin-off represents a form of divestiture resulting in an independent company.</p>
SPLF	StockSplit	<p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/StockSplit <p>Synonym (DTCC): Stock Split</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/StockSplit <p>Comment: Increase in a company's number of outstanding shares of stock without any change in the shareholder's equity or the aggregate market value at the time of the split. The share price is normally reduced. Forward split events are included here.</p>
SPLR	ReverseStockSplit	<p>Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit <p>Synonym (DTCC): Reverse Stock Split</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/ReverseStockSplit <p>Comment: Exchange of a company's security for the same company's new security at a preset rate. This corporate action reduces the number of shares outstanding.</p>
SUSP	TradingStatusSuspended	<p>Trading in the security has been suspended.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/TradingStatusSuspended) is removed.
TEND	Tender	<p>Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Tender <ul style="list-style-type: none"> – Market Practice Implementation Rules: <p>Used when the event types is Tender Offer and the offeror is a third party.</p> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Tender

Code	Name	Definition
		<p>Synonym (DTCC): Tender Offer</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Tender <p>Comment: Offer made to security holders, normally by a third party, requesting them to sell (tender) their securities for a specified price (usually at a premium over prevailing market prices). Generally, the objective of a tender offer is to take control of the target company.</p>
TNDP	TaxOnNonDistributedProceeds	<p>Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/TaxOnNonDistributedProceeds <p>Synonym (DTCC): Tax Event</p>
TREC	TaxReclaim	<p>Event related to tax reclaim activities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/TaxReclaim <p>Synonym (DTCC): Tax Refund</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/TaxReclaim <p>Comment: Event that enables DTC to make a withholding tax refund, usually non U.S. dividends.</p>
WRTH	Worthless	Booking out of valueless securities.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Worthless <p>Synonym (DTCC): Worthless</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/Worthless <p>Comment: DTCC advising its participants that their positions in that security will be taken down. The announcement is created when DTC receives a formal letter advising that securities held are worthless.</p>
WTRC	WithholdingTaxReliefCertification	<p>Certification process for withholding tax reduction or exemption based on the tax status of the holder.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionGeneralInformation/EventType/Code/WithholdingTaxReliefCertification) is removed.

Used in element(s)

"Code" on page 123

Restricts

"CorporateActionEventTypeV7Code" on page 458

6.24 CorporateActionEventTypeV7Code

Definition: Specifies the corporate action event type.

Type: CodeSet

Code	Name	Definition
ACCU	Accumulation	Funds related event in which the income (for example accumulation units) that accrues during an accounting period is retained within the fund instead of being paid away to investors. The retained income is nonetheless deemed to have

Code	Name	Definition
		been distributed to investors for tax purposes.
ACTV	ActiveTradingStatus	Trading in the security has commenced or security has been re-activated after a suspension in trading.
ATTI	Attachment	Combination of different security types to create a unit. Units are usually comprised of warrants and bonds or warrants and equities. Securities may be combined at the request of the security holder or based on market convention.
BIDS	RepurchaseOffer	Repurchase offer / issuer bid / reverse rights. Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusIssue	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
BPUT	PutRedemption	Early redemption of a security at the election of the holder subject to the terms and condition of the issue with no reduction in nominal value.
BRUP	Bankruptcy	Legal status of a company unable to pay creditors. Bankruptcy usually involves a formal court ruling. Securities may become valueless.
CAPD	CapitalDistribution	Corporate event pays shareholders an amount in cash issued from the capital account. There is no reduction to the face value of a single share (or the share has no par value). The number of circulating shares remains unchanged.
CAPG	CapitalGainsDistribution	Event is the distribution of profits resulting from the sale of securities. Shareholders of mutual funds, unit trusts, or SICAVs are recipients of capital gains distributions and are often reinvested in additional shares of the fund.
CAPI	Capitalisation	Increase of the current principal of a debt instrument without increasing the nominal value. It normally arises from the incorporation of due but unpaid interest into the principal. This is commonly done by increasing the pool factor value, for example, capitalisation, and negative amortisation.
CERT	NonUSTEFRADCertification	Non-US beneficial owner certification requirement for exchange of temporary to permanent notes.
CHAN	Change	Information regarding a change further described in the corporate action details.

Code	Name	Definition
CLSA	ClassActionProposedSettlement	Situation where interested parties seek restitution for financial loss. The security holder may be offered the opportunity to join a class action proceeding and would need to respond with an instruction.
CMET	CourtMeeting	Announcement of a meeting at a Court.
CONS	Consent	Procedure that aims to obtain consent of holder to a proposal by the issuer or a third party without convening a meeting. For example, consent to change the terms of a bond.
CONV	Conversion	Conversion of securities (generally convertible bonds or preferred shares) into another form of securities (usually common shares) at a pre-stated price/ratio.
COOP	CompanyOption	Company option may be granted by the company, allowing the holder to take up shares at some future date(s) at a pre arranged price in the company. A company may not grant options which enable the holder to take up unissued shares at a time which is five or more years from the date of the grant. Option holders are not members of a company. They are contingent creditors of a company and hence may, in some instances, be entitled to vote on and be bound by a scheme of arrangement between the creditors and the company. As many options have multiple exercise periods a company option will either lapse or carry on to the next expiry date.
CPST	CouponStripping	Coupon stripping is the process whereby interest coupons for future payment dates are separated from the security corpus that entitles the holder to the principal repayment.
CREV	CreditEvent	Occurrence of credit derivative for which the issuer of one or several underlying securities is unable to fulfill its financial obligations (as defined in terms and conditions).
DECR	DecreaseInValue	Reduction of face value of a single share or the value of fund assets. The number of circulating shares/units remains unchanged. This event may include a cash payout to holders.
DETI	Detachment	Separation of components that comprise a security, for example, usually units comprised of warrants and bond or warrants and equity. Units may be broken up at the request of the security holder or based on market convention.
DFLT	BondDefault	Failure by the company to perform obligations defined as default events under the bond agreement and that have not been remedied.

Code	Name	Definition
DLST	TradingStatusDelisted	Security is no longer able to comply with the listing requirements of a stock exchange and is removed from official board quotation.
DRAW	Drawing	Securities are redeemed in part before the scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT). Drawing is distinct from partial call since drawn bonds are chosen by lottery. Therefore, not every holder is affected in the same way.
DRCA	CashDistributionFromNonEligibleSecurities-Sales	Distribution to shareholders of cash resulting from the selling of non-eligible securities, for example, in the frame of a depositary receipt program.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.
DSCL	Disclosure	Requirement for holders or beneficial owners to disclose their name, location and holdings of any issue to the issuer.
DTCH	DutchAuction	Action by a party wishing to acquire a security. Holders of the security are invited to make an offer to sell, within a specific price range. The acquiring party will buy from the holder with lowest offer.
DVCA	CashDividend	Distribution of cash to holders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Holder must take cash and may be offered a choice of currencies.
DVOP	DividendOption	Distribution of a dividend to holders with a choice of benefit to receive additional securities or cash. To be distinguished from DRIP as the company creates new share capital in exchange for the dividend rather than investing the dividend in the market.
DVSC	ScripDividend	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
ERED	EarlyRedemption	This includes drawing, partial and full call, put. Redemption in part or full before the scheduled final maturity date of a securi-

Code	Name	Definition
		<p>ty, subject to the terms and conditions of the issue.</p> <p>Drawing - Securities are redeemed in part by lottery.</p> <p>Partial Call - Securities are redeemed in part by reducing proportionally the outstanding amount of securities.</p> <p>Put - Early redemption of a bond at the election of the bondholder.</p> <p>Full Call - The entire outstanding of a security is redeemed by the issuer.</p>
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXOP	ExchangeOption	Event is an option for the shareholders to exchange their securities for other securities and/or cash. Exchange options are mentioned in the terms and conditions of a security and are valid during the whole lifetime of a security.
EXRI	CallOnIntermediateSecurities	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
EXTM	MaturityExtension	As stipulated in the security's Terms and Conditions, the issuer or the holder may prolong the maturity date of a security. After extension, the security details may differ from the original issue. An issuer initiated extension may be subject to holder's approval.
EXWA	WarrantExercise	Option offered to holders to buy (call warrant) or to sell (put warrant) a specific amount of stock, cash, or commodity, at a predetermined price, during a predetermined period of time (which usually corresponds to the life of the issue).
INCR	IncreaseInValue	Increase in the face value of a single security. The number of circulating securities remains unchanged.
INFO	Information	Information provided by the issuer having no accounting/financial impact on the holder.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.

Code	Name	Definition
LIQU	LiquidationDividend	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MCAL	FullCall	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, before final maturity.
MEET	AnnualGeneralMeeting	Meeting Annual general meeting.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
MTNG	SecuritiesHoldersMeeting	Ordinary or annual or extraordinary or special general meeting.
NAME	NameChange	Event is a name change. The issuing company changes its name. The event shows the change from old name to new name and may involve surrendering physical shares with the old name to the registrar.
NOOF	NonOfficialOffer	Offers that are not supervised or regulated by an official entity and being offered by a party, for example a broker, usually at a discount price, for example broker offer, mini-tender, mini odd lot offer or third party offer.
ODLT	OddLotSalePurchase	Sale or purchase of odd-lots to/from the issuing company, initiated either by the holder of the security or through an offer made by the issuer.
OMET	OrdinaryGeneralMeeting	Ordinary general meeting.
OTHR	OtherEvent	Other event, use only when no other event type applies, for example, a new event type.
PARI	PariPassu	Occurs when securities with different characteristics, for example, shares with different entitlements to dividend or voting rights, become identical in all respects, for example, pari-passu or assimilation. May be scheduled in advance, for example, shares resulting from a bonus may become fungible after a pre-set period of time, or may result from outside events, for example, merger, reorganisation, issue of supplementary tranches.
PCAL	PartialRedemptionWithoutPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. It is done without any pool factor reduction. The redemption is reflected in a debit of the face amount (FAMT).

Code	Name	Definition
PDEF	Prefunding	Also called partial defeasance. Issuer has money set aside to redeem a portion of an issue and the indenture states that the securities could be called earlier than the stated maturity.
PINK	PayInKind	Interest payment, in any kind except cash, distributed to holders of an interest bearing asset.
PLAC	PlaceOfIncorporation	Changes in the state of incorporation for US companies and changes in the place of incorporation for foreign companies. Where shares need to be registered following the incorporation change, the holder(s) may have to elect the registrar.
PPMT	InstalmentCall	Instalment towards the purchase of equity capital, subject to an agreement between an issuer and a purchaser.
PRED	PartialRedemptionWithPoolFactorReduction	Securities are redeemed in part before their scheduled final maturity date. The redemption is reflected in a pool factor reduction. No movement of securities occurs.
PRII	InterestPaymentWithPrincipal	Event which consists of two components, the decrease of the amortized value of a pool factor security and an interest payment.
PRIO	PriorityIssue	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
RCLA	Reclassification	Securities related event which reclassifies the distribution in various types of income for US tax withholding purposes.
REDM	FinalMaturity	Redemption of an entire issue outstanding of securities, for example, bonds, preferred equity, funds, by the issuer or its agent, for example, asset manager, at final maturity.
REDO	Redenomination	Event by which the unit (currency and/or nominal) of a security is restated, for example, nominal/par value of security in a national currency is restated in another currency.
REMK	RemarketingAgreement	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
RHDI	IntermediateSecuritiesDistribution	Distribution of intermediate securities that gives the holder the right to take part in a future event.
RHTS	RightsIssue	Offer to holders of a security to subscribe for additional securities via the distribution of an intermediate security.

Code	Name	Definition
		Both processes are included in the same event.
RMRK	Remarketing	Purchase and sale of remarketed preferred equities/bonds through the negotiation of interest rate between the issuers and the holders.
SHPR	SharesPremiumDividend	Corporate event pays shareholders an amount in cash issued from the shares premium reserve. It is similar to a dividend but with different tax implications.
SMAL	SmallestNegotiableUnit	Modification of the smallest negotiable unit of shares in order to obtain a new negotiable unit.
SOFF	SpinOff	Distribution of securities issued by another company. The distributed securities may either be of a newly created or of an existing company. For example, spin-off, demerger, unbundling, divestment.
SPLF	StockSplit	Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.
SPLR	ReverseStockSplit	Decrease in a company's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are increased accordingly.
SUBS	Subscription	Ability for security holders to purchase (additional or new) securities at a certain price, in proportion to their holding.
SUSP	TradingStatusSuspended	Trading in the security has been suspended.
TEND	Tender	Offer made to holders by a third party, requesting them to sell (tender) or exchange their securities.
TNDP	TaxOnNonDistributedProceeds	Taxable component on non-distributed proceeds, for example, Australian deemed income or US 871m income regulation.
TREC	TaxReclaim	Event related to tax reclaim activities.
WRTH	Worthless	Booking out of valueless securities.
WTRC	WithholdingTaxReliefCertification	Certification process for withholding tax reduction or exemption based on the tax status of the holder.
XMET	ExtraordinaryGeneralMeeting	Extraordinary or Special General Meeting. Extraordinary or special general meeting.

Is restricted by

"CorporateActionEventType36Code" on page 426

6.25 CorporateActionMandatoryVoluntary1Code

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	<p>Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code/MandatoryWithOptions <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p>
MAND	Mandatory	<p>Participation in CA event is mandatory. Further instructions from the account owner are not required.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEventType/Code/Mandatory <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p>

Code	Name	Definition
VOLU	Voluntary	<p>Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionGeneralInformation/MandatoryVoluntaryEvent-Type/Code/Voluntary <p>– Market Practice Usage Rule:</p> <p>Used as described in message.</p>

Used in element(s)

"Code" on page 129

Restricts

"CorporateActionMandatoryVoluntaryCode" on page 467

6.26 CorporateActionMandatoryVoluntaryCode

Definition: Specifies whether the event is mandatory, mandatory with options or voluntary.

Type: CodeSet

Code	Name	Definition
CHOS	MandatoryWithOptions	Participation in the corporate action is mandatory and further instructions from the account owner are required, unless a default option has been specified.
MAND	Mandatory	Participation in CA event is mandatory. Further instructions from the account owner are not required.
VOLU	Voluntary	Participation in the corporate action is voluntary. If the owner wishes to take part in the event, instructions from the account owner are required.

Is restricted by

"CorporateActionMandatoryVoluntary1Code" on page 466

6.27 CorporateActionMovementPreliminaryAdvice-Function1Code

Definition: Specifies whether the message is a final eligible balance and entitlements message or a preliminary advice of payment message.

Type: CodeSet

Code	Name	Definition
CAPA	PreadviceOfMovement	Message is a preadvice of movement.
ENTL	Entitlement	Message is an entitlement. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/MovementPreliminaryAdviceGeneralInformation/Function/Entitlement) is removed.

Used in element(s)

"Function" on page 155

Restricts

"CorporateActionMovementPreliminaryAdviceFunctionCode" on page 468

6.28 CorporateActionMovementPreliminaryAdvice-FunctionCode

Definition: Specifies whether the message is a final eligible balance and entitlements message or a preliminary advice of payment message.

Type: CodeSet

Code	Name	Definition
CAPA	PreadviceOfMovement	Message is a preadvice of movement.
ENTL	Entitlement	Message is an entitlement.

Is restricted by

"CorporateActionMovementPreliminaryAdviceFunction1Code" on page 467

6.29 CorporateActionOption15Code

Definition: Specifies the type of corporate action options.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.

Code	Name	Definition
		<p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Abstain) is removed.
BOBD	BeneficialOwnerBreakdownRequest	<p>Breakdown of beneficial ownership required for withholding tax reclaim event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/BeneficialOwnerBreakdownRequest) is removed.
BSPL	BonusSharePlan	<p>Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/BonusSharePlan <p>Synonym (DTCC): Bonus Share Plan</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/BonusSharePlan <p>Comment: Holders to receive equities from the Share Premium Reserve of the company. Securities are considered a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).</p>
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/BuyUp <p>Synonym (DTCC): Buy</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/BuyUp <p>Comment: Holder to purchase additional securities.</p>
CASE	CashAndSecurity	<p>Option to choose between different security and cash options.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/CashAndSecurity <p>Synonym (DTCC): Cash and Securities</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/CashAndSecurity <p>Comment: Holder to receive a combination of cash and securities.</p>
CASH	Cash	<p>Option to choose cash.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash <p>Synonym (DTCC): Foreign Currency Payment Exempt</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash <p>Synonym (DTCC): Foreign Tax Exempt</p>

Code	Name	Definition
		<ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Tax Unfavourable • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Tax Favourable • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Favourable • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Cash • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Synonym (DTCC): Foreign Currency Payment Unfavourable • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Cash Comment: Cash: holder to receive cash. Foreign Tax Unfavourable/Favourable/Exempt: DTC only option. The event and security are eligible for Foreign Tax Relief service at DTC. Option to select Unfavourable/Favourable/Exempt respectively for this service. Foreign Currency Payment: DTC only option. The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive

Code	Name	Definition
		<p>their distribution in the foreign currency instructed.</p> <p>Foreign Currency Payment Unfavourable/Favourable/Exempt: The event and security are eligible for Foreign Currency Payment service at DTC. Participant will receive their distribution in the foreign currency and the tax withholding on that distribution will be subject to Unfavourable/Favourable/Exempt tax withholding respectively.</p>
CEXC	ConsentAndExchange	<p>Vote to consent to change the terms of the securities agreement and to exchange securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/OptionType/Code/ConsentAndExchange <p>Synonym (DTCC): Consent and Exchange</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/OptionType/Code/ConsentAndExchange <p>Comment: Holder to submit securities for exchange in addition to voting to approve the proposal.</p>
CONN	ConsentDenied	<p>Vote not to approve the event or proposal.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/OptionType/Code/ConsentDenied <p>Synonym (DTCC): Consent Denied</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/OptionType/Code/ConsentDenied <p>Comment:</p>

Code	Name	Definition
		Holder does not approve the proposal.
CONY	ConsentGranted	<p>Vote to approve the event or proposal.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/ConsentGranted <p>Synonym (DTCC): Consent Granted</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/ConsentGranted <p>Comment: Holder to approve the proposal.</p>
CTEN	ConsentAndTender	<p>Vote to consent to change the terms of the securities agreement and to tender securities for cash.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/ConsentAndTender <p>Synonym (DTCC): Consent and Tender</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/ConsentAndTender <p>Comment: Holder to submit securities for tender in addition to voting to approve the proposal.</p>
EXER	Exercise	<p>Exercise intermediate securities or warrants.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Exercise <p>– Market Practice Implementation Rule:</p>

Code	Name	Definition
		<p>Used for Subscribe (Rights) or Exercise (Warrants, etc) option types.</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Exercise Synonym (DTCC): Exercise • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Exercise Synonym (DTCC): Subscribe • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Exercise Comment: Exercise: holder to exercise the intermediate security or warrant. Subscribe: holder to exercise their right to subscribe to additional securities based upon the existing position.
LAPS	Lapse	<p>Allow event or entitled security to expire.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Lapse Synonym (DTCC): Lapse • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Lapse Comment: Holder does not take any action and allows the rights associated to the security to become null and void.
MPUT	Retain	<p>Option that allows a holder to elect to retain their holding, for example, a puttable bond.</p>

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Retain <p>Synonym (DTCC): Retain</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Retain <p>Comment: Holder to keep/retain their existing security rather than receiving cash or a new security.</p>
NOAC	NoAction	<p>Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/NoAction) is removed.
NOQU	NonQualifiedInvestor	<p>Account owner is a non-qualified investor.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/NonQualifiedInvestor) is removed.
OFFR	ProposedRate	<p>In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionMovementDetails/Op-

Code	Name	Definition
		tionType/Code/ProposedRate) is removed.
OTHR	Other	<p>Generic corporate action option to be used in case that no other specific code is appropriate.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Other <ul style="list-style-type: none"> DTCC Other Option Type Rule: <p>Identifies option type that does not have ISO equivalent.</p> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Other <p>Synonym (DTCC): Other</p> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Other <p>Comment: Applies when a specific ISO option code cannot be used.</p>
OVER	Oversubscribe	<p>Subscribe to more equities than underlying securities position allows.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Oversubscribe <p>Synonym (DTCC): Subscribe and Oversubscribe</p> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Oversubscribe <p>Comment: Holder to subscribe to more securities than underlying securities position allows.</p>

Code	Name	Definition
PRUN	UnknownProceeds	<p>Proceeds not known during election period. The option can result in cash and/or securities.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/UnknownProceeds <p>Synonym (DTCC): Convert</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/UnknownProceeds <p>Comment: Holder elects to convert security into a new security, cash or combination of cash and securities, without knowledge of the actual outcome.</p>
QINV	QualifiedInvestor	<p>Account owner is a qualified investor.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/QualifiedInvestor) is removed.
SECU	Security	<p>Distribution of securities to holders.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Security <p>Synonym (DTCC): DRIP</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Security <p>Synonym (DTCC): DRIP Favorable</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/OptionType/Code/Security <p>Synonym (DTCC): DRIP Exempt</p>

Code	Name	Definition
		<ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Security Synonym (DTCC): DRIP Unfavorable • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Security Synonym (DTCC): Securities • on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/Security Comment: Securities: holder to receive securities. DRIP: holder to receive additional securities instead of the cash distribution. Option may be declared by the issuer or a service offered by DTC. DRIP Unfavourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at unfavourable rate. DRIP Favourable: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at Favourable rate. DRIP Exempt: DTC only option. The event or security are eligible for both Dividend Reinvestment and Foreign Tax Services at DTC. Participants will receive additional shares and the tax withholding on those shares will be at exempt rate.

Code	Name	Definition
SLLE	SellEntitlement	<p>Sell the intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/SellEntitlement <p>Synonym (DTCC): Sell</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Option-Type/Code/SellEntitlement <p>Comment: Holder to sell their security.</p>

Used in element(s)

"Code" on page 153

Restricts

"CorporateActionOptionCode" on page 479

6.30 CorporateActionOptionCode

Definition: Specifies the type of corporate action options offered to the account holder for a corporate action.

Type: CodeSet

Code	Name	Definition
ABST	Abstain	<p>Vote expressed as abstain. In this case, the issuing company will add the number of shares to the quorum of the meeting.</p> <p>If the voting right is not executed, it will not be added to the quorum. In this case, code NOAC should be used.</p>
AMGT	VoteAgainstManagement	Vote against management.
BOBD	BeneficialOwnerBreakdownRequest	Breakdown of beneficial ownership required for withholding tax reclaim event.
BSPL	BonusSharePlan	Receive equities from the share premium reserve of the company and considered as a capital distribution rather than a disbursement of income with different tax implications (typically found in Australia).
BUYA	BuyUp	Buy additional securities to round up position.

Code	Name	Definition
CASE	CashAndSecurity	Option to choose between different security and cash options.
CASH	Cash	Option to choose cash.
CERT	Certification	Certification is provided.
CEXC	ConsentAndExchange	Vote to consent to change the terms of the securities agreement and to exchange securities.
CONN	ConsentDenied	Vote not to approve the event or proposal.
CONY	ConsentGranted	Vote to approve the event or proposal.
CTEN	ConsentAndTender	Vote to consent to change the terms of the securities agreement and to tender securities for cash.
EXER	Exercise	Exercise intermediate securities or warrants.
LAPS	Lapse	Allow event or entitled security to expire.
MKDW	MarkDown	Mark down the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MKUP	MarkUp	Mark up the global certificate as a result of a corporate action (instruction from International Central Securities Depositories (ICSDs) to common depositories who are holding the global certificate on behalf of the International Central Securities Depositories (ICSDs)).
MNGT	VoteWithManagement	Vote with management.
MPUT	Retain	Option that allows a holder to elect to retain their holding, for example, a puttable bond.
NOAC	NoAction	Option for the account owner not to take part in the event. This would include opt-out for class actions and lodging of dissenters' rights.
NOQU	NonQualifiedInvestor	Account owner is a non-qualified investor.
OFFR	ProposedRate	In a remarketing of variable notes, the margin that shareholders can propose in respect of the next interest period.
OTHR	Other	Generic corporate action option to be used in case that no other specific code is appropriate.
OVER	Oversubscribe	Subscribe to more equities than underlying securities position allows.
PROX	ProxyCard	Issue a proxy card in case of voting.

Code	Name	Definition
PRUN	UnknownProceeds	Proceeds not known during election period. The option can result in cash and/or securities.
QINV	QualifiedInvestor	Account owner is a qualified investor.
SECU	Security	Distribution of securities to holders.
SLLE	SellEntitlement	Sell the intermediate securities.
SPLI	SplitInstruction	Option to give a split instruction, for example, a split voting instruction on a meeting.
TAXI	TaxInstruction	Tax instruction.

Is restricted by

"CorporateActionOption15Code" on page 468

6.31 CorporateActionPreliminaryAdviceType1Code

Definition: Type of movement preliminary advice.

Type: CodeSet

Code	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

Used in element(s)

"Type" on page 155

Restricts

"CorporateActionPreliminaryAdviceTypeCode" on page 481

6.32 CorporateActionPreliminaryAdviceTypeCode

Definition: Type of movement preliminary advice.

Type: CodeSet

Code	Name	Definition
NEWM	New	New movement preliminary advice.
REPL	Replacement	Movement preliminary advice replacing a previously sent preliminary advice.

Is restricted by

"CorporateActionPreliminaryAdviceType1Code" on page 481

6.33 CorporateActionReversalReason3Code

Definition: Specifies the reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

Code	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/ReversalReason/Reason/Code/DayCountBasisDifference) is removed.
FNRC	FundsNotReceived	Funds have not been received. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/ReversalReason/Reason/Code/FundsNotReceived) is removed.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/ReversalReason/Reason/Code/IncorrectEventLevelTaxRate) is removed.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/ReversalReason/Reason/Code/IncorrectPaymentCurrency) is removed.
IPRI	IncorrectPrice	Price is incorrect.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
OTHR	Other	Other

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/ReversalReason/Reason/Code/Other) is removed.
UPAY	UnduePayment	Payment is not due.

Used in element(s)

"Code" on page 175

Restricts

"CorporateActionReversalReasonV2Code" on page 483

6.34 CorporateActionReversalReasonV2Code

Definition: The reason why a reversal of payment is taking place in corporate action processing.

Type: CodeSet

Code	Name	Definition
DCBD	DayCountBasisDifference	Difference in day count basis.
FNRC	FundsNotReceived	Funds have not been received.
IETR	IncorrectEventLevelTaxRate	Event level tax rate is incorrect.
IPCU	IncorrectPaymentCurrency	Payment currency is incorrect.
IPRI	IncorrectPrice	Price is incorrect.
IREDD	IncorrectEntitlementDate	Entitlement date is incorrect.
IVAD	IncorrectValueDate	Value date is incorrect.
OTHR	Other	Other
POCS	PaymentOutsideClearingSystem	Payment will occur outside of clearing system.
UPAY	UnduePayment	Payment is not due.

Is restricted by

"CorporateActionReversalReason3Code" on page 482

6.35 CountryCode

Definition: Code to identify a country, a dependency, or another area of particular geopolitical interest, on the basis of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Type: CodeSet

Format

pattern [A-Z]{2,2}

Rules**R14 Country ✓**

The code is checked against the list of country names obtained from the United Nations (ISO 3166, Alpha-2 code).

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00004
- *Error Text:* Invalid Country Code.

Used in element(s)

"Country" on page 301, "Country" on page 374, "Country" on page 372, "CountryOfIncomeSource" on page 385, "CountryOfIncomeSource" on page 66, "NonDomicileCountry" on page 145, "ValidDomicileCountry" on page 145

6.36 CreditDebitCode

Definition: Specifies if an operation is an increase or a decrease.

Type: CodeSet

Code	Name	Definition
CRDT	Credit	<p>Operation is an increase.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/CreditDebitIndicator/Credit <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p> • on seev.035.001.17/Corporate-ActionMovementDetails/SecuritiesMovementDetails/Credit-DebitIndicator/Credit <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p>

Code	Name	Definition
DBIT	Debit	<p>Operation is a decrease.</p> <hr/> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/CreditDebitIndicator/Debit <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p> • on seev.035.001.17/Corporate-ActionMovementDetails/SecuritiesMovementDetails/Credit-DebitIndicator/Debit <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used as described in message.</p>

Used in element(s)

["CreditDebitIndicator" on page 380](#), ["CreditDebitIndicator" on page 63](#)

Restricts

["AmountDirectionCode" on page 416](#)

6.37 DTI2024Identifier

Definition: The Digital Token Identifier (DTI) is an 8 character code assigned to fungible digital assets which uses distributed ledger technology for its issuance, storage, exchange, record of ownership or transaction validation and is not a currency (ISO 4217) as described in ISO 24165 - DTI. A check character, using the same character set as the base number, shall be calculated and added.

Type: IdentifierSet

Format

pattern [1-9B-DF-HJ-NP-TV-XZ][0-9B-DF-HJ-NP-TV-XZ]{8,8}

Used in element(s)

["DigitalLedgerIdentification" on page 374](#), ["DigitalLedgerIdentification" on page 372](#)

6.38 DateType1Code

Definition: Specifies when date is unknown.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Date is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 179

Restricts

"DateTypeCode" on page 487

6.39 DateType7Code

Definition: Type of date.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Used in element(s)

"Code" on page 180

Restricts

"DateTypeCode" on page 487

6.40 DateType8Code

Definition: Specifies the type of dates.

Type: CodeSet

Code	Name	Definition
ONGO	Ongoing	<p>Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/Cash-MovementDetails/DateDetails/PaymentDate/DateCode/Code/Ongoing) is removed. • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDe-

Code	Name	Definition
		tails/PaymentDate/DateCode/Code/Ongoing) is removed.
UKWN	Unknown	<p>Date is unknown by the sender or has not been established.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/DateDetails/PaymentDate/DateCode/Code/Unknown <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used when the value is expected but not yet available..</p> • on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/DateDetails/PaymentDate/DateCode/Code/Unknown <ul style="list-style-type: none"> – Market Practice Usage Rule: <p>Used when the value is expected but not yet available.</p>

Used in element(s)

"Code" on page 178, "NotSpecifiedDate" on page 186, "PeriodCode" on page 298

Restricts

"DateTypeCode" on page 487

6.41 DateTypeCode

Definition: Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".

Type: CodeSet

Code	Name	Definition
MEET	BlockingTillMeetingDate	Blocking occurs until and inclusive of the meeting date.
MKDT	BlockingTillMarketDeadline	Blocking occurs until and inclusive of the market deadline of the option.

Code	Name	Definition
NARR	NarrativeUnblockingDate	Refer to additional information element for the date until when the securities are blocked.
ONGO	Ongoing	Ongoing basis, which indicates that the date is determined by "ongoing basis" process, for example "au fil de l'eau".
OPEN	Open	Open-dated, which indicates that the date has not been established.
PAYD	BlockingTillPaymentDate	Unblocking will occur on payment date of the option.
PPYD	BlockingTillPremiumPaymentDate	Unblocking will occur on payment date of the premium.
PWAL	BlockingTillEndOfElectionPeriod	Blocking occurs until and inclusive of the end of the election period of the option.
RDDT	BlockingTillResponseDeadline	Blocking occurs until and inclusive of the account servicer deadline for the option.
RDTE	BlockingTillRecordDate	Blocking occurs until and inclusive of the record date of the event.
UKWN	Unknown	Date is unknown by the sender or has not been established.
VARI	Various	Partial trades have occurred over a period of two or more days.

Is restricted by

"DateType1Code" on page 485, "DateType7Code" on page 486, "DateType8Code" on page 486

6.42 DeemedRateType1Code

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Used in element(s)

"Code" on page 186

Restricts

"DeemedRateTypeCode" on page 489

6.43 DeemedRateTypeCode

Definition: Specifies the type of a deemed rate.

Type: CodeSet

Code	Name	Definition
DEDI	DeemedDividendProceeds	Deemed rate of dividend proceeds attributed to the security holder.
DEFP	DeemedFundProceeds	Deemed rate of fund proceeds attributed to the security holder.
DEIT	DeemedInterestProceeds	Deemed rate of interest proceeds attributed to the security holder.
DERY	DeemedRoyaltiesProceeds	Deemed rate of royalties proceeds attributed to the security holder.

Is restricted by

"DeemedRateType1Code" on page 488

6.44 DividendRateType1Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.

Used in element(s)

"Code" on page 351

Restricts

"DividendRateTypeCode" on page 489

6.45 DividendRateTypeCode

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ConduitForeignIncome	Rate relating to a conduit foreign income type.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.

Code	Name	Definition
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"DividendRateType1Code" on page 489

6.46 DividendRateTypeV2Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.

Code	Name	Definition
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Is restricted by

"GrossDividendRateType6Code" on page 494, "GrossDividendRateType7Code" on page 495, "Net-DividendRateType6Code" on page 521, "NetDividendRateType7Code" on page 522

6.47 Exact3NumericText

Definition: Specifies a numeric string with an exact length of 3 digits.

Type: Text

Format

pattern [0-9]{3}

Used in element(s)

"OptionNumber" on page 141, "ShortNumber" on page 192

6.48 Exact4AlphaNumericText

Definition: Specifies an alphanumeric string with a length of 4 characters.

Type: Text

Format

pattern	[a-zA-Z0-9]{4}
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Used in element(s)

"Identification" on page 245, "Identification" on page 246, "QuantityType" on page 313, "QuantityType" on page 316

6.49 ExternalFinancialInstrument-IdentificationType1Code

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Used in element(s)

"Code" on page 269

Restricts

"ExternalFinancialInstrumentIdentificationTypeCode" on page 492

6.50 ExternalFinancialInstrument-IdentificationTypeCode

Definition: Specifies the external financial instrument identification type scheme name code in the format of character string with a maximum length of 4 characters.

The list of valid codes is an external code list published separately.

External code sets can be downloaded from www.iso20022.org.

Type: CodeSet

Format

maxLength	4
minLength	1

Is restricted by

"ExternalFinancialInstrumentIdentificationType1Code" on page 492

6.51 FractionDispositionType8Code

Definition: Indicates that fractional value should be retained; no rounding.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Used in element(s)

"Code" on page 244

Restricts

"FractionDispositionTypeV2Code" on page 493

6.52 FractionDispositionTypeV2Code

Definition: Specifies how fractions resulting from derived securities will be processed or how prorated decisions will be rounding, if provided with a pro ration rate.

Type: CodeSet

Code	Name	Definition
BUYU	BuyUp	Buy securities up to next whole number.
CINL	CashInLieuOfFraction	Fractional part of cash. Take cash in lieu of fractions.
DIST	IssueFraction	Take distribution of fractions in the form of securities.
EXPI	Expire	Let fractions expire worthless.
FULL	Full	Pre-allocate shares only if fully dealt.
NOFR	NoFraction	No fractional shares are allowed.
PROR	ProRate	In case of a partial fill, pro-rate the allocations.

Code	Name	Definition
RDDN	RoundDown	Round the entitlement down to the last full unit/minimum nominal quantity, fractions are discarded.
RDUP	RoundUp	Round up to the next full unit/minimum nominal quantity at no cost.
RETA	Retain	Indicates that fractional value should be retained; no rounding.
SPEC	Specific	Pre-allocate according to the amounts shown in a linked allocation instruction.
SSTD	Standard	Indicates that if the fraction is greater than or equal to 0.5 of the value should be rounded up; otherwise rounded down.
STAN	RoundToNearest	If the fraction is greater than or equal to 0.5 of the resulting security then round up, else round down as specified in the respective RDUP and RDDN codes.
TALK	DiscussFirst	Do not pro-rate; discuss first.
UKWN	Unknown	Characteristics of the disposition of fractions are unknown.

Is restricted by

"FractionDispositionType8Code" on page 493

6.53 GrossDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
LTCG	LongTermCapitalGain	Long term capital gain.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.

Code	Name	Definition
STCG	ShortTermCapitalGain	Short term capital gain.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 353

Restricts

"DividendRateTypeV2Code" on page 490

6.54 GrossDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	<p>Rate relating to the underlying security for which capital is distributed.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/Rate-Type/Code/CapitalPortion <p>– Market Practice Implementation Rule:</p> <p>Used for Return of Capital or Capital Gains Payout type.</p>
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.

Code	Name	Definition
		<p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ForeignIncome) is removed.
FLFR	FullyFranked	<p>Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullyFranked) is removed.
FUPU	FullPeriodUnitsIncomePortion	<p>Distribution rate relating to the full period units, for example Group I units in UK.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/FullPeriodUnitsIncomePortion) is removed.
INCO	IncomePortion	<p>Rate relating to the underlying security for which income is distributed.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/Rate

Code	Name	Definition
		<p>AndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/IncomePortion) is removed.</p>
INTR	Interest	<p>Rate relating to the underlying security for which interest is paid.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Interest) is removed.
LTCG	LongTermCapitalGain	<p>Long term capital gain.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/LongTermCapitalGain <p>– Market Practice Implementation Rule:</p> <p>Used for Long Term Capital Gains Payout type.</p>
PAPU	PartWayPeriodUnitsIncomePortion	<p>Distribution rate relating to the part way period units, for example Group II units in UK.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Part-

Code	Name	Definition
		WayPeriodUnitsIncomePortion) is removed.
REES	RealEstatePropertyIncomePortion	<p>Rate of income distribution originated by real estate investment.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ RealEstatePropertyIncomePortion) is removed.
SOIC	SundryOrOtherIncome	<p>Rate relating to the underlying security for which other income is paid.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ SundryOrOtherIncome) is removed.
STCG	ShortTermCapitalGain	<p>Short term capital gain.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/ShortTermCapitalGain <ul style="list-style-type: none"> – Market Practice Implementation Rule:

Code	Name	Definition
		Used for Short Term Capital Gains Payout type.
TXBL	TaxablePortion	<p>Rate relating to the underlying security for which tax is charged.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxablePortion) is removed.
TXDF	TaxDeferred	<p>Rate relating to the underlying security for which tax is deferred.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxDeferred) is removed.
TXFR	TaxFree	<p>Rate relating to the underlying security which is not taxable.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/TaxFree) is removed.

Code	Name	Definition
UNFR	Unfranked	<p>Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/ CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/RateTypeAndAmountAndRateStatus/RateType/Code/Unfranked) is removed.

Used in element(s)

"Code" on page 355

Restricts

"DividendRateTypeV2Code" on page 490

6.55 IBAN2007Identifier

Definition: The International Bank Account Number is a code used internationally by financial institutions to uniquely identify the account of a customer at a financial institution as described in the 2007 edition of the ISO 13616 standard "Banking and related financial services - International Bank Account Number (IBAN)" and replaced by the more recent edition of the standard.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[0-9]{2,2}[a-zA-Z0-9]{1,30}

Rules**R67 IBAN ✓**

A valid IBAN consists of all three of the following components: Country Code, check digits and BBAN.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00003
- *Error Text:* Invalid IBAN format or invalid check digits.

Used in element(s)

"IBAN" on page 60

6.56 ISINOct2015Identifier

Definition: The International Securities Identification Number is a code allocated to financial instruments as well as referential instruments, as described in the ISO 6166 standard, associated with the minimum descriptive data. The ISIN consists of a prefix using the alpha-2 country codes or reserved codes specified in ISO 3166 or other prefixes as may be determined by the Registration Authority for the ISO 6166 standard, a nine characters (alphanumeric) basic code and a check digit.

Note: this identifier also supports the new version of the standard, which was published in 2021 (ISO 6166:2021) as there is no update in the pattern.

Type: IdentifierSet

Format

pattern [A-Z]{2,2}[A-Z0-9]{9,9}[0-9]{1,1}

Used in element(s)

"ISIN" on page 397

6.57 ISO20022MessageIdentificationText

Definition: ISO 20022 Message identifier of an MX message.

Type: Text

Format

pattern [a-z]{4}\.[0-9]{3}\.[0-9]{3}\.[0-9]{2}

Used in element(s)

"LongNumber" on page 193

6.58 ISODate

Definition: A particular point in the progression of time in a calendar year expressed in the YYYY-MM-DD format. This representation is defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Type: Date

Used in element(s)

"ConversionDate" on page 200, "ConversionDate" on page 211, "Date" on page 177, "Date" on page 186, "Date" on page 181, "Date" on page 185, "DatedDate" on page 200, "DatedDate" on page 211, "ExpiryDate" on page 198, "FloatingRateFixingDate" on page 198, "FloatingRateFixingDate" on page 209, "IssueDate" on page 199, "IssueDate" on page 210, "MaturityDate" on page 198, "MaturityDate" on page 209, "NextCallableDate" on page 199, "NextCallableDate" on page 210, "NextCouponDate" on page 198, "NextCouponDate" on page 209, "PutableDate" on page 199, "PutableDate" on page 210

6.59 ISODateTime

Definition: A particular point in the progression of time defined by a mandatory date and a mandatory time component, expressed in either UTC time format (YYYY-MM-DDThh:mm:ss.sssZ), local time with UTC offset format (YYYY-MM-DDThh:mm:ss.sss+/-hh:mm), or local time format (YYYY-MM-DDThh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: DateTime

Used in element(s)

"DateTime" on page 177

6.60 ISOTime

Definition: A particular point in the progression of time in a calendar day expressed in either UTC time format (hh:mm:ss.sssZ), local time with UTC offset format (hh:mm:ss.sss+/-hh:mm), or local time format (hh:mm:ss.sss). These representations are defined in "XML Schema Part 2: Datatypes Second Edition - W3C Recommendation 28 October 2004" which is aligned with ISO 8601.

Note on the time format:

1) beginning / end of calendar day

00:00:00 = the beginning of a calendar day

24:00:00 = the end of a calendar day

2) fractions of second in time format

Decimal fractions of seconds may be included. In this case, the involved parties shall agree on the maximum number of digits that are allowed.

Type: Time

Used in element(s)

"Time" on page 181

6.61 InterestComputationMethod2Code

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated sepa-

Code	Name	Definition
		rately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and

Code	Name	Definition
		convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.
NARR	Narrative	Other method than A001-A020. See Narrative.

Used in element(s)

"Code" on page 272

Restricts

"InterestComputationMethodCode" on page 506

6.62 InterestComputationMethodCode

Definition: Specifies the method used to compute accruing interest of a financial instrument.

Type: CodeSet

Code	Name	Definition
A001	IC30360ISDAor30360AmericanBasicRule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February, and provided that the interest period started on a 30th or a 31st. This means that a 31st is assumed to be a 30th if the period started on a 30th or a 31st and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). This is the most commonly used 30/360 method for US straight and convertible bonds.
A002	IC30365	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and a 365-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that a 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th).
A003	IC30Actual	Method whereby interest is calculated based on a 30-day month in a way similar to the 30/360 (basic rule) and the assumed number of days in a year in a way similar to the Actual/Actual (ICMA). Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). The assumed number of days in a year is computed as the actual number of days in the coupon period multiplied by the number of interest payments in the year.
A004	Actual360	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 360-day year.
A005	Actual365Fixed	Method whereby interest is calculated based on the actual number of accrued days in the interest period and a 365-day year.

Code	Name	Definition
A006	ActualActualICMA	Method whereby interest is calculated based on the actual number of accrued days and the assumed number of days in a year, that is, the actual number of days in the coupon period multiplied by the number of interest payments in the year. If the coupon period is irregular (first or last coupon), it is extended or split into quasi-interest periods that have the length of a regular coupon period and the computation is operated separately on each quasi-interest period and the intermediate results are summed up.
A007	IC30E360orEuroBondBasismodel1	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and the 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. However, if the last day of the maturity coupon period is the last day of February, it will not be assumed to be the 30th. It is a variation of the 30/360 (ICMA) method commonly used for eurobonds. The usage of this variation is only relevant when the coupon periods are scheduled to end on the last day of the month.
A008	ActualActualISDA	Method whereby interest is calculated based on the actual number of accrued days of the interest period that fall (falling on a normal year, year) divided by 365, added to the actual number of days of the interest period that fall (falling on a leap year, year) divided by 366.
A009	Actual365LorActuActubasisRule	Method whereby interest is calculated based on the actual number of accrued days and a 365-day year (if the coupon payment date is NOT in a leap year) or a 366-day year (if the coupon payment date is in a leap year).
A010	ActualActualAFB	Method whereby interest is calculated based on the actual number of accrued days and a 366-day year (if 29 Feb falls in the coupon period) or a 365-day year (if 29 Feb does not fall in the coupon period). If a coupon period is longer than one year, it is split by repetitively separating full year subperiods counting backwards from the end of the coupon period (a year backwards from 28 Feb being 29 Feb, if it exists). The first of the subperiods starts on the start date of the accrued interest period and thus is possibly shorter than a year. Then the interest computation is operated separately on each subperiod and the intermediate results are summed up.

Code	Name	Definition
A011	IC30360ICMAor30360basicrule	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for February. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be the 28th (or 29th). It is the most commonly used 30/360 method for non-US straight and convertible bonds issued before 1 January 1999.
A012	IC30E2360orEurobondbasismodel2	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month, except for the last day of February whose day of the month value shall be adapted to the value of the first day of the interest period if the latter is higher and if the period is one of a regular schedule. This means that the 31st is assumed to be the 30th and 28 Feb of a non-leap year is assumed to be equivalent to 29 Feb when the first day of the interest period is the 29th, or to 30 Feb when the first day of the interest period is the 30th or the 31st. The 29th day of February in a leap year is assumed to be equivalent to 30 Feb when the first day of the interest period is the 30th or the 31st. Similarly, if the coupon period starts on the last day of February, it is assumed to produce only one day of interest in February as if it was starting on 30 Feb when the end of the period is the 30th or the 31st, or two days of interest in February when the end of the period is the 29th, or three days of interest in February when it is 28 Feb of a non-leap year and the end of the period is before the 29th.
A013	IC30E3360orEurobondbasismodel3	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. It is a variation of the 30E/360 (or Eurobond basis) method where the last day of February is always assumed to be the 30th, even if it is the last day of the maturity coupon period.
A014	Actual365NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 365-day year.

Code	Name	Definition
A015	ActualActualUltimo	Method whereby interest is calculated based on the actual number of days in the coupon period divided by the actual number of days in the year. This method is a variation of the ActualActualICMA method with the exception that it assumes that the coupon always falls on the last day of the month. Method equal to ACT/ACT.ISMA in the FpML model and Act/Act (ICSMA Ultimo) in the FixML model.
A016	IC30EPlus360	Method whereby interest is calculated based on a 30-day month and a 360-day year. Accrued interest to a value date on the last day of a month shall be the same as to the 30th calendar day of the same month. This means that the 31st is assumed to be the 30th and 28 Feb (or 29 Feb for a leap year) is assumed to be equivalent to 30 Feb. This method is a variation of the 30E360 method with the exception that if the coupon falls on the last day of the month, change it to 1 and increase the month by 1 (ie next month). Method equal to ThirtyEPlusThreeSixty in the FixML model.
A017	Actual364	Method whereby interest is calculated based on the actual number of accrued days in the interest period divided by 364. Method equal to Act364 in the FixML model.
A018	Business252	Method whereby interest is calculated based on the actual number of business days in the interest period divided by 252. Usage: Brazilian Currency Swaps. Method equal to BUS/252 in the FpML model and BusTwoFiftyTwo in the FixML model.
A019	Actual360NL	Method whereby interest is calculated based on the actual number of accrued days in the interest period, excluding any leap day from the count, and a 360-day year.
A020	OneOne	Also named 1/1. ELABORATION: If parties specify the Day Count Fraction to be 1/1 then in calculating the applicable amount, 1 is simply input into the calculation as the relevant Day Count Fraction. See also 2006 ISDA Definitions, Section 4.16. Day Count Fraction, paragraph (a).
NARR	Narrative	Other method than A001-A020. See Narrative.

Code	Name	Definition
OTHR	Other	Any other interest computation method.

Is restricted by

"InterestComputationMethod2Code" on page 502

6.63 InterestRateTypeCode

Definition: Specifies the type of interest rate.

Type: CodeSet

Code	Name	Definition
CAPP	FloatingRateUnderlyingExposureWithCap	Interest rate type is Floating rate underlying exposure with cap.
DISC	Discount	Interest rate type is Discount.
FINX	FloatingLinkedToIndex	Interest rate type is Floating rate underlying exposure linked to one index that will revert to another index in the future.
FIXE	Fixed	Rate is fixed.
FLCA	FloatingRateUnderlyingExposureWithFloor-AndCap	Interest rate type is Floating rate underlying exposure with both floor and cap.
FLCF	FixedRateUnderlyingExposureWithCompulsory-FutureSwitchToFloating	Interest rate type is Fixed rate underlying exposure with compulsory future switch to floating.
FLFL	FloatingRateUnderlyingExposureWithFloor	Interest rate type is Floating rate underlying exposure with floor.
FLIF	FloatingRateUnderlyingExposureForLife	Interest rate type is Floating rate underlying exposure (for life).
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
FXPR	FixedWithFuturePeriodicResets	Interest rate type is Fixed with future periodic resets.
FXRL	FixedRateUnderlyingExposureForLife	Interest rate type is Fixed rate underlying exposure (for life).
MODE	Modular	Interest rate type is Modular.
OBLS	ObligorSwapped	Interest rate type is Obligor Swapped.
OTHR	Other	Any other type of interest rate that can be applied.
SCHD	Scheduled	Rate of the scheduled payment.
SWIC	SwichOptionality	Interest rate type is Switch Optionality.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.

Is restricted by

"RateType7Code" on page 542

6.64 IntermediateSecurityDistributionType6Code

Definition: Specifies the type of intermediate security distribution.

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	<p>Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEventTypeIndicator/Code/ReverseRights) is removed.
BONU	BonusRights	<p>Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEventTypeIndicator/Code/BonusRights) is removed.
DRIP	DividendReinvestment	<p>Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market rather than creating new share capital in exchange for the dividend.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEventTypeIndicator/Code/DividendReinvestment) is removed.

Code	Name	Definition
DVCA	CashDividend	<p>Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/CashDividend) is removed.
DVOP	DividendOption	<p>Distribution of dividend option.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/DividendOption) is removed.
DVSC	ScripDividendOrPayment	<p>Dividend or interest paid in the form of scrip.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/ScripDividendOrPayment) is removed.
DVSE	StockDividend	<p>Dividend paid to shareholders in the form of equities of the issuing corporation.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/StockDividend) is removed.
EXOF	Exchange	<p>Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For exam-</p>

Code	Name	Definition
		<p>ple "exchange offer", "capital reorganisation" or "funds separation".</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Exchange) is removed.
EXRI	SubscriptionRights	<p>Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/SubscriptionRights <ul style="list-style-type: none"> – Rules: <p>DTCC Event Type Rule RHDI</p>
INTR	InterestPayment	<p>Interest payment distributed to holders of an interest bearing asset.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/InterestPayment) is removed.
LIQU	LiquidationDividendOrPayment	<p>Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-

Code	Name	Definition
		TypeIndicator/Code/Liquidation-DividendOrPayment) is removed.
MRGR	Merger	<p>Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/Merger) is removed.
PRI0	OpenOfferRights	<p>Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/OpenOffer-Rights) is removed.
SOFF	SpinOff	<p>Distribution of subsidiary stock to the shareholders.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent-TypeIndicator/Code/SpinOff) is removed.
SPLF	StockSplit	<p>Also known as change in nominal value or subdivision.</p> <p>Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.</p>

Code	Name	Definition
		Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent- TypeIndicator/Code/StockSplit) is removed.
UKWN	Unknown	<p>Intermediate securities are distributed before the use of them has been established.</p> Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionDetails/FollowingEvent- TypeIndicator/Code/Unknown) is removed.

Used in element(s)

"Code" on page 274

Restricts

"IntermediateSecurityDistributionTypeV2Code" on page 515

6.65 IntermediateSecurityDistributionTypeV2Code

Definition: Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".

Type: CodeSet

Code	Name	Definition
BIDS	ReverseRights	Offer to existing holders by the issuing company to repurchase its own securities. The objective of the offer is to reduce the number of outstanding securities.
BONU	BonusRights	Bonus or capitalisation issue. Security holders receive additional assets free of payment from the issuer, in proportion to their holding.
DRIP	DividendReinvestment	Dividend payment where holders can keep cash or have the cash reinvested in the market by the issuer into additional shares in the issuing company. To be distinguished from DVOP as the company invests the dividend in the market

Code	Name	Definition
		rather than creating new share capital in exchange for the dividend.
DVCA	CashDividend	Distribution of cash to shareholders, in proportion to their equity holding. Ordinary dividends are recurring and regular. Shareholder must take cash and may be offered a choice of currency.
DVOP	DividendOption	Distribution of dividend option.
DVSC	ScripDividendOrPayment	Dividend or interest paid in the form of scrip.
DVSE	StockDividend	Dividend paid to shareholders in the form of equities of the issuing corporation.
EXOF	Exchange	Exchange of holdings for other securities and/or cash. The exchange can be either mandatory or voluntary involving the exchange of outstanding securities for different securities and/or cash. For example "exchange offer", "capital reorganisation" or "funds separation".
EXRI	SubscriptionRights	Call or exercise on nil paid securities or intermediate securities resulting from an intermediate securities distribution (RHDI). This code is used for the second event, when an intermediate securities' issue (rights/coupons) is composed of two events, the first event being the distribution of intermediate securities.
INTR	InterestPayment	Interest payment distributed to holders of an interest bearing asset.
LIQU	LiquidationDividendOrPayment	Distribution of cash, assets or both. Debt may be paid in order of priority based on preferred claims to assets specified by the security.
MRGR	Merger	Exchange of outstanding securities, initiated by the issuer which may include options, as the result of two or more companies combining assets, that is, an external, third party company. Cash payments may accompany share exchange.
PRIO	OpenOfferRights	Form of open or public offer where, due to a limited amount of securities available, priority is given to existing shareholders.
SOFF	SpinOff	Distribution of subsidiary stock to the shareholders.
SPLF	StockSplit	Also known as change in nominal value or subdivision. Increase in a corporation's number of outstanding equities without any change in the shareholder's equity or the aggregate market value at the time of the split. Equity price and nominal value are reduced accordingly.

Code	Name	Definition
UKWN	Unknown	Intermediate securities are distributed before the use of them has been established.

Is restricted by

"IntermediateSecurityDistributionType6Code" on page 511

6.66 IssuerTaxability2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Used in element(s)

"Code" on page 275

Restricts

"IssuerTaxabilityV2Code" on page 517

6.67 IssuerTaxabilityV2Code

Definition: Specifies whether the corporate action proceeds are taxable at issuer level.

Type: CodeSet

Code	Name	Definition
TXBL	Taxable	The relevant proceeds are taxable.

Is restricted by

"IssuerTaxability2Code" on page 517

6.68 LEIIdentifier

Definition: Legal Entity Identifier is a code allocated to a party as described in ISO 17442 "Financial Services - Legal Entity Identifier (LEI)".

Type: IdentifierSet

Format

pattern [A-Z0-9]{18,18}[0-9]{2,2}

Used in element(s)

"LEI" on page 297

6.69 LotteryType1Code

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Used in element(s)

["Code" on page 276](#)

Restricts

["LotteryTypeCode" on page 518](#)

6.70 LotteryTypeCode

Definition: Specifies the type of lottery.

Type: CodeSet

Code	Name	Definition
ORIG	OriginalLotteryNotification	Original lottery that has been announced for a specific amount and date.
SUPP	SupplementalLotteryNotification	Supplemental or additional quantity called on a previously announced original lottery.

Is restricted by

["LotteryType1Code" on page 518](#)

6.71 MICIdentifier

Definition: Market Identifier Code. The identification of a financial market, as stipulated in the norm ISO 10383 'Codes for exchanges and market identifications'.

Type: IdentifierSet

Format

pattern [A-Z0-9]{4,4}

Used in element(s)

["MarketIdentifierCode" on page 277](#)

6.72 Max140Text

Definition: Specifies a character string with a maximum length of 140 characters.

Type: Text

Format

maxLength	140
minLength	1

Used in element(s)

"Identification" on page 58

6.73 Max16Text

Definition: Specifies a character string with a maximum length of 16 characters.

Type: Text

Format

maxLength	16
minLength	1

Used in element(s)

"BuildingNumber" on page 300, "PostCode" on page 301, "Suffix" on page 292

6.74 Max30DecimalNumber

Definition: Number of objects represented as a decimal number, for example 0.75 or 45.6 with a maximum of 30 digits and 29 fraction digits.

Type: Quantity

Format

fractionDigits	29
totalDigits	30

Used in element(s)

"DigitalTokenUnit" on page 237, "DigitalTokenUnit" on page 243, "DigitalTokenUnit" on page 239

6.75 Max350Text

Definition: Specifies a character string with a maximum length of 350 characters.

Type: Text

Format

maxLength	350
minLength	1

Used in element(s)

["PlaceAndName" on page 403](#)

6.76 Max35Text

Definition: Specifies a character string with a maximum length of 35 characters.

Type: Text

Format

maxLength	35
minLength	1

Used in element(s)

["CountrySubDivision" on page 301](#), ["Issuer" on page 245](#), ["SchemeName" on page 245](#), ["TownName" on page 301](#)

6.77 Max4AlphaNumericText

Definition: Specifies an alphanumeric string with a maximum length of 4 characters.

Type: Text

Format

maxLength	4
minLength	1
pattern	[a-zA-Z0-9]{1,4}

Used in element(s)

["Issuer" on page 246](#), ["Issuer" on page 260](#), ["Issuer" on page 263](#), ["Issuer" on page 313](#), ["Issuer" on page 316](#), ["SchemeName" on page 253](#), ["SchemeName" on page 261](#), ["SchemeName" on page 264](#), ["SchemeName" on page 313](#), ["SchemeName" on page 317](#)

6.78 Max5NumericText

Definition: Specifies a numeric string with a maximum length of 5 digits.

Type: Text

Format

pattern	[0-9]{1,5}
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Used in element(s)

["PageNumber" on page 293](#)

6.79 Max70Text

Definition: Specifies a character string with a maximum length of 70 characters.

Type: Text

Format

maxLength	70
minLength	1

Used in element(s)

"AddressLine" on page 300, "Name" on page 59, "StreetName" on page 300

6.80 NetDividendRateType6Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 354

Restricts

"DividendRateTypeV2Code" on page 490

6.81 NetDividendRateType7Code

Definition: Specifies the type of dividend rate.

Type: CodeSet

Code	Name	Definition
CAPO	CapitalPortion	Rate relating to the underlying security for which capital is distributed.
CDFI	ForeignIncome	Rate relating to a foreign income type such as a conduit foreign income.
FLFR	FullyFranked	Rate resulting from a fully franked dividend paid by a company; the rate includes tax credit for companies that have made sufficient tax payments during the fiscal period.
FUPU	FullPeriodUnitsIncomePortion	Distribution rate relating to the full period units, for example Group I units in UK.
INCO	IncomePortion	Rate relating to the underlying security for which income is distributed.
INTR	Interest	Rate relating to the underlying security for which interest is paid.
PAPU	PartWayPeriodUnitsIncomePortion	Distribution rate relating to the part way period units, for example Group II units in UK.
REES	RealEstatePropertyIncomePortion	Rate of income distribution originated by real estate investment.
SOIC	SundryOrOtherIncome	Rate relating to the underlying security for which other income is paid.
TXBL	TaxablePortion	Rate relating to the underlying security for which tax is charged.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFree	Rate relating to the underlying security which is not taxable.
UNFR	Unfranked	Rate resulting from an unfranked dividend paid by a company; the rate does not include tax credit and is subject to withholding tax.

Used in element(s)

"Code" on page 356

Restricts

"DividendRateTypeV2Code" on page 490

6.82 NewSecuritiesIssuanceType5Code

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	<p>Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/DefeasedSecurityIndicator <p>Synonym (DTCC (Redemptions)): Defeasement Indicator (Defeased)</p>
EXIS	ExistingIssue	<p>Securities proceeds are not new issues.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/ExistingIssue) is removed.
NDEF	NonDefeasedSecurityIndicator	<p>Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonDefeasedSecurityIndicator

Code	Name	Definition
		Synonym (DTCC (Redemptions)): Defeasement Indicator (Non De-feased)
NEIS	NewIssue	Securities proceeds are newly issued. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NewIssue) is removed.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/NonRefundedSecurityIndicator Synonym (DTCC): Refunding Indicator
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event. Usage Guideline restrictions for this code <ul style="list-style-type: none"> • on seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/RefundedSecurityIndicator Synonym (DTCC): Refunding Indicator

Code	Name	Definition
UKWN	Unknown	<p>Not known whether the securities proceeds are newly issued or not.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/SecuritiesMovementDetails/NewSecuritiesIssuanceIndicator/Unknown) is removed.

Used in element(s)

"NewSecuritiesIssuanceIndicator" on page 382

Restricts

"NewSecuritiesIssuanceTypeCode" on page 525

6.83 NewSecuritiesIssuanceTypeCode

Definition: Specifies the type of securities proceeds; whether they are newly issued or not, defeased or non-defeased.

Type: CodeSet

Code	Name	Definition
DEFE	DefeasedSecurityIndicator	Funds have been placed in escrow by a trustee or agent to ensure payment on a portion of the outstanding balance of the original security on maturity date. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
EXIS	ExistingIssue	Securities proceeds are not new issues.
NDEF	NonDefeasedSecurityIndicator	Funds will not be guaranteed at maturity by the issuer or paying agent for this newly issued non-defeased security. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
NEIS	NewIssue	Securities proceeds are newly issued.
NREF	NonRefundedSecurityIndicator	New Security issued that has not been refunded and the maturity date of the original underlying security is unchanged. Applicable only in the frame of a Partial Defeasance PDEF corporate action event.
REFU	RefundedSecurityIndicator	New security issued that has been refunded to an earlier maturity date. Applicable only in the frame of a partial defeasance PDEF corporate action event.

Code	Name	Definition
UKWN	Unknown	Not known whether the securities proceeds are newly issued or not.

Is restricted by

"NewSecuritiesIssuanceType5Code" on page 523

6.84 NonEligibleProceedsIndicator1Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Used in element(s)

"Code" on page 284

Restricts

"NonEligibleProceedsIndicatorCode" on page 526

6.85 NonEligibleProceedsIndicator2Code

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Used in element(s)

"Code" on page 284

Restricts

"NonEligibleProceedsIndicatorCode" on page 526

6.86 NonEligibleProceedsIndicatorCode

Definition: Specifies information regarding the non eligibility of the outturn resources.

Type: CodeSet

Code	Name	Definition
ACLI	AccountLimitation	Participant's account limitation.
NELC	NonEligibleCurrency	Currency not eligible for settlement in the Central Securities Depository (CSD).
NELS	NonEligibleSecurity	Security not eligible in the Central Securities Depository (CSD).
ONEL	OtherNonEligibility	Other source of non eligibility.

Is restricted by

"NonEligibleProceedsIndicator1Code" on page 526, "NonEligibleProceedsIndicator2Code" on page 526

6.87 OfferType6Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

Used in element(s)

"Code" on page 285

Restricts

"OfferTypeV2Code" on page 528

6.88 OfferTypeV2Code

Definition: Specifies the conditions that apply to the offer.

Type: CodeSet

Code	Name	Definition
ACPR	AcceptancePriority	Event will be paid in a particular priority stated in the offering documentation. Higher tiers of securities will be paid ahead of lower tiers of securities.
DISS	DissenterRights	Securities holder has the right to disagree with a mandatory event. This right is not inherent in all offers and therefore must be noted in announcements only when applicable.
ERUN	RestrictionExchange	Exchange of Restricted to Unrestricted (or vice versa).
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
FINL	FinalOffer	Final or last offer to holders subject to offer conditions being reached, for example, 50 percent accepted on a tender.
MINI	MiniOddLotOffer	Sale or purchase of odd lots to/from a third party other than the issuing company.
NDIS	DissenterRightsNotApplicable	Dissenter's rights are not applicable for this option of the offer.
PART	PartialOffer	Offer made by the offeror for a portion of the outstanding shares not already owned by the offeror.
SQUE	SqueezeOutBid	Offer launched by a company, in possession of a large number of the shares (90% - 95%) of a company (generally as a result of a tender offer), in order to acquire the remaining shares of that company.

Is restricted by

"OfferType6Code" on page 527

6.89 OptionAvailabilityStatus1Code

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option

Code	Name	Definition
		are considered void and new responses will be required.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

Used in element(s)

"Code" on page 286

Restricts

"OptionAvailabilityStatusCode" on page 529

6.90 OptionAvailabilityStatusCode

Definition: Specifies the status of the option availability.

Type: CodeSet

Code	Name	Definition
CANC	Cancelled	Option is not valid; it has been cancelled by the market or service provider, and cannot be responded to. Any responses already processed against this option are considered void and new responses will be required.
INTV	Inactive	Option is not active and can no longer be responded to. Any responses already processed against this option will remain valid, for example, expired option.

Is restricted by

"OptionAvailabilityStatus1Code" on page 528

6.91 OptionFeatures15Code

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.

Code	Name	Definition
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Used in element(s)

"Code" on page 287

Restricts

"OptionFeaturesCode" on page 530

6.92 OptionFeaturesCode

Definition: Specifies the features that may apply to a corporate action option.

Type: CodeSet

Code	Name	Definition
ASVO	AccountServicerOption	Option is offered by the account servicer only.
BOIS	BeneficiaryOwnerInstruction	Indicates that the holder needs to instruct at beneficiary owner level only.
CAOS	OptionApplicability	Option applicability is not subject to the account owner decision but depends on the terms defined by the issuer, for example in the case of equity linked notes or warrants.
COND	Conditional	Feature whereby the holder can elect to place a condition on the acceptance of the option.
FCFS	FirstComeFirstServed	Securities will be accepted in the order they have been submitted until the target number of quantity sought is reached.
MAXC	MaximumCash	Maximum cash option, may be subject to scaling, as such you may receive a combination of cash and securities outturn.
MAXS	MaximumSecurities	Maximum stock option, may be subject to scaling, as such you may receive a combination of securities and cash outturn.
NOSE	NoServiceOffered	Feature whereby the holder must elect directly to the issuer's agent (issuer only supported option).
OPLF	OddLotPreference	Tender or exchange with the odd lot preference.
PINS	PreviousInstructionInvalidity	Indicates the previously sent instructions becomes invalid. This is only applicable after a market deadline extension.
PROR	Proration	Feature whereby the option can be subject to pro ration in case, for example, of over-subscription.
QCAS	InstructCashAmount	Feature whereby the holder should only instruct a cash amount.
QOVE	OverAndAbove	Feature whereby the holder can elect a quantity to receive over and above normal ensured entitlement.
QREC	QuantityToReceive	Feature whereby the holder can elect a quantity to receive.
RGRS	GrossDividendReinvestment	Gross dividend is reinvested.
RNET	NetDividendReinvestment	Dividend reinvestment is processed net of withholding tax.
SHAR	ShareholderNumber	Indicates usage of a reference number to identify an investor or a shareholder with the issuer or the registration provider (for instance allocation code).
VVPR	ReducedWithholdingTax	Reduced withholding tax rate applies to the option.

Is restricted by

"OptionFeatures15Code" on page 529

6.93 OptionStyle2Code

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
EURO	European	Option that can be exercised on expiry date only.

Used in element(s)

"Code" on page 288

Restricts

"OptionStyleCode" on page 532

6.94 OptionStyleCode

Definition: Defines how an option can be exercised.

Type: CodeSet

Code	Name	Definition
AMER	American	Option can be exercised before or on expiry date.
ASIA	Asian	Option where the payoff is not determined by the underlying price at maturity but by the average underlying price over some pre-set period of time.
BERM	Bermudan	Option that can be exercised on multiple discrete dates prior to, or on expiry date.
CANA	Canary	Option whose exercise style lies somewhere between European options and Bermudan options. The holder can exercise the option at quarterly dates, but not before a set time period (typically one year) has elapsed.
EURO	European	Option that can be exercised on expiry date only.
OTHR	Other	Other type of option style.

Is restricted by

"OptionStyle2Code" on page 532

6.95 ParentCurrencyCode

Definition: Code allocated to a currency, by a maintenance agency, under an international identification scheme as described in the latest edition of the international standard ISO 4217 "Codes for the representation of currencies and funds". Valid currency codes are registered with the ISO 4217 Maintenance Agency, and consist of three contiguous letters.

Type: CodeSet

Format

pattern [A-Z]{3,3}

Is restricted by

"ActiveCurrencyCode" on page 410, "ActiveOrHistoricCurrencyCode" on page 411

6.96 Payment1Code

Definition: Specifies the type of payment.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Used in element(s)

"ContractualPaymentIndicator" on page 63

Restricts

"PaymentCode" on page 533

6.97 PaymentCode

Definition: Indicates whether the cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer or upon receipt of proceeds from the issuer.

Type: CodeSet

Code	Name	Definition
ACTU	ActualPayment	The cash payment occurs or will occur upon receipt of proceeds from the issuer.
CONT	ContractualPayment	The cash payment occurs or will occur in advance of receipt of proceeds from the issuer and based on a contractual agreement established with the account servicer.

Is restricted by

"Payment1Code" on page 533

6.98 Percentage14Rate

Definition: Rate expressed as a percentage, that is, in hundredths, for example, 0.7 is 7/10 of a percent, and 7.0 is 7% with 13 fractional digits maximum and 14 total digits.

Type: Rate

Format

fractionDigits	13
totalDigits	14

Used in element(s)

"PriceValue" on page 298, "Rate" on page 346, "Rate" on page 348, "Rate" on page 349, "Rate" on page 342, "Rate" on page 273, "Rate" on page 340, "Rate" on page 367, "Rate" on page 347, "Rate" on page 401, "Rate" on page 343, "Rate" on page 367, "TransformationRate" on page 164

6.99 PriceRateType3Code

Definition: Specifies the type of price rate.

Type: CodeSet

Code	Name	Definition
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
YIEL	Yield	Price expressed as a yield.

Used in element(s)

"PercentagePriceType" on page 297

Restricts

"PriceValueTypeCode" on page 537

6.100 PriceValueType10Code

Definition: Specifies the value of a price.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Price is unknown by the sender or has not been established.

Used in element(s)

"NotSpecifiedPrice" on page 311, "NotSpecifiedPrice" on page 305, "NotSpecifiedPrice" on page 304, "NotSpecifiedPrice" on page 309

Restricts

"PriceValueTypeCode" on page 537

6.101 PriceValueType8Code

Definition: Specifies a type of price value.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	<p>Price will not be paid.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/NilPayment <p>– Market Practice Implementation Rule:</p> <p>NILP will be used only in the following instances: (1) there is no fungible mass for that security at CEDE & Co (2) the agent or issuer has informed DTC that the event will never allocate.</p>
TBSP	ToBeSpecified	<p>Price to be specified by account owner.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/ToBeSpecified

Code	Name	Definition
		<p>– Market Practice Implementation Rule:</p> <p>Used when the Unspecified Price Allowed Flag value is "No". Indicates the price must be specified by the owner when the instruction is submitted.</p>
UKWN	Unknown	<p>Price is unknown by the sender or has not been established.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unknown <p>– Market Practice Implementation Rule:</p> <p>Used when the cash rate is expected for the event but is not yet available.</p>
UNSP	Unspecified	<p>Price is not required to be specified by account owner.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/PriceDetails/GenericCashPriceReceivedPerProduct/NotSpecifiedPrice/Unspecified <p>– Market Practice Implementation Rule:</p> <p>Used when the Unspecified Price Allowed Flagvalue is "Yes". Indicates the price does not need to be specified by the owner when the instruction is submitted.</p>

Used in element(s)

["NotSpecifiedPrice" on page 306](#)

Restricts

["PriceValueTypeCode" on page 537](#)

6.102 PriceValueTypeCode

Definition: Price will not be paid.

Type: CodeSet

Code	Name	Definition
ABSO	Absolute	Price is expressed as absolute.
ACTU	ActualAmount	Price expressed as a currency and amount per unit or per share.
DISC	Discount	Price expressed as a number of percentage points below par, for example, a discount price of 2.0% equals a price of 98 when par is 100.
FICT	FixedCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
NEGA	NegativeActualAmount	Price must be interpreted as a negative amount of currency per unit or per share.
NILP	NilPayment	Price will not be paid.
OPEN	OpenDated	Price has not been established.
PARV	Par	Price is the face amount.
PEUN	PerUnit	Price expressed per unit.
PRCT	Percentage	Price expressed as a percentage of par.
PREM	Premium	Price expressed as a number of percentage points above par, for example, a premium price of 2.0% equals a price of 102 when par is 100.
SPRE	Spread	Difference between a market maker's bid and asked price.
TBSP	ToBeSpecified	Price to be specified by account owner.
TEDP	TEDPrice	Price is expressed as Treasury Euro Dollar price.
TEDY	TEDYield	Price is expressed as Treasury Euro Dollar yield.
UKWN	Unknown	Price is unknown by the sender or has not been established.
UNSP	Unspecified	Price is not required to be specified by account owner.

Code	Name	Definition
VACT	VariableCabinetTrade	Cabinet trades are used to indicate prices that trade at a price lower than that available on an exchange and they can be fixed or variable (primarily used for listed futures and options).
YIEL	Yield	Price expressed as a yield.

Is restricted by

"PriceRateType3Code" on page 534, "PriceValueType10Code" on page 534, "PriceValueType8Code" on page 535

6.103 ProcessingPosition3Code

Definition: Specifies the processing position.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Used in element(s)

"Code" on page 311

Restricts

"ProcessingPositionCode" on page 538

6.104 ProcessingPositionCode

Definition: Specifies when an transaction/instruction is to be executed relative to a linked transaction/instruction.

Type: CodeSet

Code	Name	Definition
AFTE	After	Specifies that the transaction/instruction is to be executed after the linked transaction/instruction.

Code	Name	Definition
BEFO	Before	Specifies that the transaction/instruction is to be executed before the linked transaction/instruction.
INFO	Information	Specifies that the transactions/instructions are linked for information purposes only.
WITH	With	Specifies that the transaction/instruction is to be executed with the linked transaction/instruction.

Is restricted by

"ProcessingPosition3Code" on page 538

6.105 Quantity4Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 239

Restricts

"QuantityCode" on page 540

6.106 Quantity5Code

Definition: Specifies quantity of a financial instrument.

Type: CodeSet

Code	Name	Definition
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Used in element(s)

"Code" on page 242

Restricts

"QuantityCode" on page 540

6.107 QuantityCode

Definition: Quantity is unknown by the sender or has not been established.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Instruction applies to the entire eligible balance of underlying securities.
OPEN	Open	Quantity has not been established.
QALL	AllSecurities	Instruction applies to the entire eligible balance of underlying securities.
UKWN	UnknownQuantity	Quantity is unknown by the sender or has not been established.

Is restricted by

"Quantity4Code" on page 539, "Quantity5Code" on page 539

6.108 RateStatus1Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Used in element(s)

"Code" on page 350, "RateStatus" on page 43

Restricts

"RateStatusCode" on page 540

6.109 RateStatusCode

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
ACTU	ActualRate	Rate is actual.
INDI	IndicativeRate	Rate is indicative.

Is restricted by

"RateStatus1Code" on page 540

6.110 RateType13Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
NILP	NilPayment	<p>Rate will not be paid.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/NotSpecifiedRate/NilPayment) is removed. • This code(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/RateAndAmountDetails/GrossDistributionRate/NotSpecifiedRate/NilPayment) is removed.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 267](#), ["NotSpecifiedRate" on page 274](#), ["NotSpecifiedRate" on page 269](#)

Restricts

["RateTypeCode" on page 543](#)

6.111 RateType14Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 349](#)

Restricts

["RateTypeCode" on page 543](#)

6.112 RateType5Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 346](#), ["NotSpecifiedRate" on page 346](#), ["NotSpecifiedRate" on page 348](#)

Restricts

["RateTypeCode" on page 543](#)

6.113 RateType7Code

Definition: Specifies the type of rate.

Type: CodeSet

Code	Name	Definition
SCHD	Scheduled	<p>Rate of the scheduled payment.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Code/Scheduled <p>– Market Practice Implementation Rule:</p> <p>Used when the event type is Interest.</p>

Code	Name	Definition
USCD	Unscheduled	<p>Rate of the unscheduled payment.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • on seev.035.001.17/Corporate-ActionMovementDetails/Cash-MovementDetails/RateAndAmountDetails/GrossInterestRateUsedForPayment/RateTypeAndAmountAndRateStatus/RateType/Code/Unscheduled – Market Practice Implementation Rule: <p>Used when the event type is not Interest.</p>

Used in element(s)

"Code" on page 350

Restricts

"InterestRateTypeCode" on page 510

6.114 RateTypeCode

Definition: Any and all rate is sought.

Type: CodeSet

Code	Name	Definition
ANYA	AnyAndAll	Any and all rate is sought.
ATAX	AdditionalTax	Rate used for additional tax that cannot be categorised.
CHAR	Charges	Rate used to calculate the amount of the charges/fees that cannot be categorised.
CINL	CashInLieuOfSecurities	Rate used to calculate the cash disbursement in lieu of a fractional quantity of, for example, equity.
FIXE	Fixed	Rate is fixed.
FORF	Forfeit	No specific repurchase rate applies to the transaction Repo, only a forfeit.
GRSS	Gross	Cash dividend per equity before deductions or allowances have been made.
IMPU	Imputed	Imputed tax.

Code	Name	Definition
INCE	CashIncentive	Rate of the cash premium made available if the securities holder consents or participates to an event, for example, consent fees.
JLID	IssuerDiscretion	Indicates that there will not be a uniform proration rate that applies to all instructions or accounts.
LIDT	LocalTax	Interest liable for interest down payment tax (proportion of gross interest per unit/ interim profits that is not covered by the credit in the interest pool).
NETT	Net	Relates to the netting of settlement instructions.
NILP	NilPayment	Rate will not be paid.
OPEN	Open	Rate has not been established.
PREC	Precompte	Rate is a precompte.
SCHD	Scheduled	Rate of the scheduled payment.
SOFE	Sollicitation	Cash rate made available in an offer in order to encourage participation in the offer.
STAM	StampDuty	Financial instrument has not been stamped and/or duly signed.
STEX	StockExchangeTax	Rate of stock exchange tax.
TAXR	WithholdingTax	Relates to a tax refund from the authorities on the associated corporate action event.
TIER	OneTierTax	Rate is a one tier tax.
TRAN	TransferTax	Transaction has been generated due to transformation following a corporate action.
TRAX	TransactionTax	Rate used to calculate the amount of transaction tax.
TXDF	TaxDeferred	Rate relating to the underlying security for which tax is deferred.
TXFR	TaxFeeAmount	Rate relating to the underlying security which is not taxable.
UKWN	Unknown	Rate is unknown by the sender or has not been established.
USCD	Unscheduled	Rate of the unscheduled payment.
VARI	Variable	Rate is variable.
WITF	WithholdingOfForeignTax	Rate at which the income will be withheld by the jurisdiction in which the income was originally paid, for which relief at source and/or reclaim may be possible.

Code	Name	Definition
WITL	WithholdingOfLocalTax	Rate at which the income will be withheld by the jurisdiction in which the account owner is located, for which relief at source and/or reclaim may be possible.

Is restricted by

["RateType13Code" on page 541](#), ["RateType14Code" on page 541](#), ["RateType5Code" on page 542](#), ["RateValueType7Code" on page 545](#)

6.115 RateValueType7Code

Definition: Specifies the value of a rate.

Type: CodeSet

Code	Name	Definition
UKWN	Unknown	Rate is unknown by the sender or has not been established.

Used in element(s)

["NotSpecifiedRate" on page 342](#), ["NotSpecifiedRate" on page 281](#), ["NotSpecifiedRate" on page 340](#), ["NotSpecifiedRate" on page 368](#), ["NotSpecifiedRate" on page 370](#), ["NotSpecifiedRate" on page 347](#), ["NotSpecifiedRate" on page 402](#), ["NotSpecifiedRate" on page 283](#), ["NotSpecifiedRate" on page 340](#), ["NotSpecifiedRate" on page 344](#)

Restricts

["RateTypeCode" on page 543](#)

6.116 RestrictedFINActiveCurrencyAnd13Decimal-Amount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217. The number of fractional digits (or minor unit of currency) is not checked as per ISO 4217: It must be lesser than or equal to 13.

Note: The decimal separator is a dot.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 410

Format

fractionDigits	13
minInclusive	0
totalDigits	14

Used in element(s)

"Amount" on page 342, "Amount" on page 266, "Amount" on page 43, "Amount" on page 361, "Amount" on page 280, "Amount" on page 362, "Amount" on page 273, "Amount" on page 357, "Amount" on page 340, "Amount" on page 359, "Amount" on page 41, "Amount" on page 347, "Amount" on page 48, "Amount" on page 268, "Amount" on page 364, "Amount" on page 402, "Amount" on page 282, "Amount" on page 365, "Amount" on page 339, "Amount" on page 344, "Amount" on page 360, "Amount1" on page 50, "Amount2" on page 51, "PriceValue" on page 45, "PriceValue" on page 46, "PriceValue" on page 44, "PriceValue" on page 49, "PriceValue" on page 47

6.117 RestrictedFINActiveCurrencyAndAmount

Definition: A number of monetary units specified in an active currency where the unit of currency is explicit and compliant with ISO 4217.

Type: Amount

This data type must be used with the following XML Attributes:

Attribute	Tag	Datatype
Currency	Ccy	"ActiveCurrencyCode" on page 410

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Rules**R62 CurrencyAmount ✓**

The number of fractional digits (or minor unit of currency) must comply with ISO 4217.

Note: The decimal separator is a dot.

Error handling:

- *Error severity:* Fatal
- *Error Code:* D00007
- *Error Text:* Invalid currency code or too many decimal digits

Usage Guideline restrictions for this element

- This rule(seev.035.001.17/CorporateActionMovementDetails/CashMovementDetails/AmountDetails/EntitledAmount/CurrencyAmount) is removed.

Used in element(s)

"AccruedInterestAmount" on page 90, "AdditionalTaxAmount" on page 85, "BackUpWithholdingTaxAmount" on page 92, "BuyUpAmount" on page 95, "CapitalGain" on page 79, "CashInLieuOfShare" on page 79, "ChargesAmount" on page 88, "DeemedAmount" on page 93, "DeemedDividendAmount" on page 94, "DeemedFundAmount" on page 94, "DeemedInterestAmount" on page 94, "DeemedRoyaltiesAmount" on page 95, "EntitledAmount" on page 89, "EqualisationAmount" on page 91, "Executing-

[BrokerAmount](#) on page 87, [FATCATaxAmount](#) on page 91, [FiscalStampAmount](#) on page 86, [ForeignIncomeAmount](#) on page 93, [FullyFrankedAmount](#) on page 82, [GrossAmount](#) on page 77, [IncomePortion](#) on page 90, [IndemnityAmount](#) on page 81, [InterestAmount](#) on page 80, [LocalBrokerCommissionAmount](#) on page 87, [ManufacturedDividendPaymentAmount](#) on page 81, [MarketClaimAmount](#) on page 80, [NRATaxAmount](#) on page 91, [NetAmount](#) on page 78, [OriginalAmount](#) on page 89, [PayingAgentCommissionAmount](#) on page 87, [RegulatoryFeesAmount](#) on page 88, [ReinvestmentAmount](#) on page 81, [ResultingAmount](#) on page 244, [SecondLevelTaxAmount](#) on page 75, [SecondLevelTaxAmount](#) on page 86, [ShippingFeesAmount](#) on page 88, [SolicitationFees](#) on page 78, [StampDutyAmount](#) on page 84, [SundryOrOtherAmount](#) on page 82, [TaxCreditAmount](#) on page 84, [TaxDeferredAmount](#) on page 83, [TaxFreeAmount](#) on page 83, [TaxOnIncomeAmount](#) on page 92, [TaxReclaimAmount](#) on page 84, [TransactionTax](#) on page 92, [UnfrankedAmount](#) on page 82, [ValueAddedTaxAmount](#) on page 83, [WithholdingTaxAmount](#) on page 75, [WithholdingTaxAmount](#) on page 85

6.118 RestrictedFINDecimalNumber

Definition: Number of objects represented as a decimal number, for example, 0.75 or 45.6.

Type: Quantity

Format

<code>fractionDigits</code>	14
<code>totalDigits</code>	14

Used in element(s)

["IndexPoints"](#) on page 309, ["IndexPoints"](#) on page 307, ["Quantity"](#) on page 312, ["Quantity"](#) on page 314, ["Quantity"](#) on page 42, ["Quantity1"](#) on page 324, ["Quantity2"](#) on page 325, ["Unit"](#) on page 216, ["Unit"](#) on page 240, ["Unit"](#) on page 238

6.119 RestrictedFINExact2Text

Definition: Specifies a character string with an exact length of 2 characters that must have a pattern XX|TS.

Type: Text

Format

<code>length</code>	2
<code>pattern</code>	XX TS

Used in element(s)

["Proprietary"](#) on page 270

6.120 RestrictedFINImpliedCurrencyAndAmount

Definition: Number of monetary units specified in a currency where the unit of currency is implied by the context and compliant with ISO 4217. The decimal separator is a dot.

Note: a zero amount is considered a positive amount.

Type: Amount

Format

fractionDigits	5
minInclusive	0
totalDigits	14

Used in element(s)

["AmortisedValue" on page 230](#), ["AmortisedValue" on page 290](#), ["AmortisedValue" on page 241](#), ["AmortisedValue" on page 239](#), ["AmortisedValue" on page 289](#), ["FaceAmount" on page 223](#), ["FaceAmount" on page 290](#), ["FaceAmount" on page 241](#), ["FaceAmount" on page 238](#), ["FaceAmount" on page 289](#)

6.121 RestrictedFINX2Max34Text

Definition: Specifies a character string with a maximum length of 34 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	34
minLength	1
pattern	[0-9a-zA-Z/\-?\:(\)\.,\'+]{1,34}

Used in element(s)

["Proprietary" on page 61](#)

6.122 RestrictedFINXMax140Text

Definition: Specifies a character string with a maximum length of 140 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	140
minLength	1
pattern	[0-9a-zA-Z/\-?\:(\)\.\\n\\r,\'+]{1,140}

Used in element(s)

["BlockchainAddressOrWallet" on page 36](#), ["Description" on page 397](#), ["Name" on page 278](#)

6.123 RestrictedFINXMax16Text

Definition: Specifies a character string with a maximum length of 16 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	16
minLength	1
pattern	<code>([0-9a-zA-Z\-\?:\(\)\.,'\+]([0-9a-zA-Z\-\?:\(\)\.,'\+]*([0-9a-zA-Z\-\?:\(\)\.,'\+])?)*)</code>

Used in element(s)

["AccountOwnerDocumentIdentification" on page 191](#), ["AccountServicerDocumentIdentification" on page 191](#), ["ClassActionNumber" on page 125](#), ["CorporateActionEventIdentification" on page 124](#), ["Identification" on page 188](#), ["Identification" on page 192](#), ["LinkedCorporateActionIdentification" on page 120](#), ["LinkedOfficialCorporateActionEventIdentification" on page 120](#), ["MovementPreliminaryAdviceIdentification" on page 154](#), ["OfficialCorporateActionEventIdentification" on page 125](#)

6.124 RestrictedFINXMax256Text

Definition: Specifies a character string with a maximum length of 256 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	256
minLength	1
pattern	<code>[0-9a-zA-Z\-\?:\(\)\.,\n\r,'\+]{1,256}</code>

Used in element(s)

["AdditionalReasonInformation" on page 176](#)

6.125 RestrictedFINXMax30Text

Definition: Specifies a character string with a maximum length of 30 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	30
minLength	1
pattern	<code>([0-9a-zA-Z\-\?:\(\)\.,'\+]([0-9a-zA-Z\-\?:\(\)\.,'\+]*([0-9a-zA-Z\-\?:\(\)\.,'\+])?)*)</code>

Used in element(s)

["Description" on page 277](#), ["Identification" on page 260](#), ["Identification" on page 376](#), ["Identification" on page 265](#), ["Identification" on page 377](#)

6.126 RestrictedFINXMax31Text

Definition: Specifies a character string with a maximum length of 31 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	31
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,\'+]{1,31}

Used in element(s)

["Identification" on page 291](#)

6.127 RestrictedFINXMax34Text

Definition: Specifies a character string with a maximum length of 34 characters. It has a pattern that disables the use of characters that is not part of the character set X, that is, that is not a-z A-Z / - ? : () . , ' + , and disable the use of slash "/" at the beginning and end of line and double slash "/" within the line.

Type: Text

Format

maxLength	34
minLength	1
pattern	(([0-9a-zA-Z/\-?:(\)\.,\'+] ([0-9a-zA-Z/\-?:(\)\.,\'+])*([0-9a-zA-Z/\-?:(\)\.,\'+])?))*

Used in element(s)

["Identification" on page 261](#)

6.128 RestrictedFINXMax350Text

Definition: Specifies a character string with a maximum length of 350 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	350
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,\n\r,\'+]{1,350}

Used in element(s)

["AdditionalInformation" on page 408](#), ["AdditionalInformation" on page 406](#)

6.129 RestrictedFINXMax35Text

Definition: Specifies a character string with a maximum length of 35 characters limited to character set X, that is, a-z A-Z / - ? : () . , ' + .

Type: Text

Format

maxLength	35
minLength	1
pattern	[0-9a-zA-Z/\-?:(\)\.,'\+]{1,35}

Used in element(s)

["SafekeepingAccount" on page 35](#)

6.130 SafekeepingAccountIdentification1Code

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Used in element(s)

["IdentificationCode" on page 38](#)

Restricts

["SafekeepingAccountIdentificationCode" on page 551](#)

6.131 SafekeepingAccountIdentificationCode

Definition: Specifies the account identification via a code.

Type: CodeSet

Code	Name	Definition
GENR	General	Announcement applies to all safekeeping accounts that own underlying financial instrument. (Used for general or preliminary announcements.).

Is restricted by

["SafekeepingAccountIdentification1Code" on page 551](#)

6.132 SafekeepingPlace1Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 375](#)

Restricts

["SafekeepingPlaceCode" on page 553](#)

6.133 SafekeepingPlace2Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 376](#)

Restricts

["SafekeepingPlaceCode" on page 553](#)

6.134 SafekeepingPlace3Code

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Used in element(s)

["SafekeepingPlaceType" on page 377](#)

Restricts

["SafekeepingPlaceCode" on page 553](#)

6.135 SafekeepingPlaceCode

Definition: Specifies the type of place of safekeeping.

Type: CodeSet

Code	Name	Definition
ALLP	AllPlaces	All places of safekeeping where the financial instrument is held.
CUST	SharesHeldAtLocalCustodian	Financial instruments held at a local custodian.
ICSD	SharesHeldAtICSD	Financial instruments held at an International Central Securities Depository.
NCSD	SharesHeldAtNCSD	Financial instruments held at a National Central Securities Depository.
SHHE	SharesHeldElsewhere	Used for certain financial instruments, for example, US mutual funds, where settlement is internal only, that is, there is no external movement of securities.

Is restricted by

["SafekeepingPlace1Code" on page 552](#), ["SafekeepingPlace2Code" on page 552](#), ["SafekeepingPlace3Code" on page 552](#)

6.136 ShortLong1Code

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.

Code	Name	Definition
SHOR	Short	<p>Position is short, that is, the balance is negative.</p> <p>Usage Guideline restrictions for this code</p> <ul style="list-style-type: none"> • This code(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Affected-Balance/Balance/ShortLongPosition/Short) is removed. • This code(seev.035.001.17/AccountDetails/AccountsListAndBalanceDetails/Balance/Unaffected-Balance/Balance/ShortLongPosition/Short) is removed.

Used in element(s)

["ShortLongPosition" on page 290](#), ["ShortLongPosition" on page 398](#), ["ShortLongPosition" on page 312](#), ["ShortLongPosition" on page 399](#)

Restricts

["ShortLongCode" on page 554](#)

6.137 ShortLongCode

Definition: Specifies whether the securities position is short or long, that is, whether the balance is a negative or positive balance.

Type: CodeSet

Code	Name	Definition
LONG	Long	Position is long, that is, the balance is positive.
SHOR	Short	Position is short, that is, the balance is negative.

Is restricted by

["ShortLong1Code" on page 553](#)

6.138 WithholdingTaxRateType1Code

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Used in element(s)

"Code" on page 352

Restricts

"WithholdingTaxRateTypeCode" on page 555

6.139 WithholdingTaxRateTypeCode

Definition: Specifies the type of withholding tax rate.

Type: CodeSet

Code	Name	Definition
BWIT	BackUpWithholding	Withholding tax related to payments subject to back up withholding.
FTCA	FATCATax	Withholding tax related to income subject to FATCA (Foreign Account Tax Compliance Act).
NRAT	NRATax	Withholding tax related to income subject to NRA (Non Resident Alien).

Is restricted by

"WithholdingTaxRateType1Code" on page 554

6.140 YesNoIndicator

Definition: Indicates a "Yes" or "No" type of answer for an element.

Type: Indicator

Meaning When True: Yes

Meaning When False: No

Used in element(s)

"AppliedOptionIndicator" on page 147, "CertificationBreakdownIndicator" on page 146, "ChangeAllowedIndicator" on page 147, "ChargesAppliedIndicator" on page 146, "DefaultOptionIndicator" on page 187, "LastPageIndicator" on page 293, "StandingInstructionIndicator" on page 187, "TemporaryIndicator" on page 403, "WithdrawalAllowedIndicator" on page 147

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